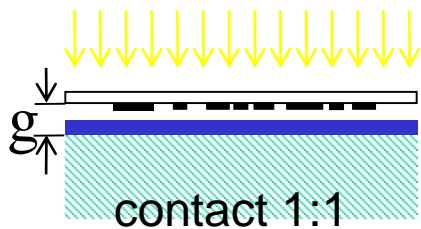


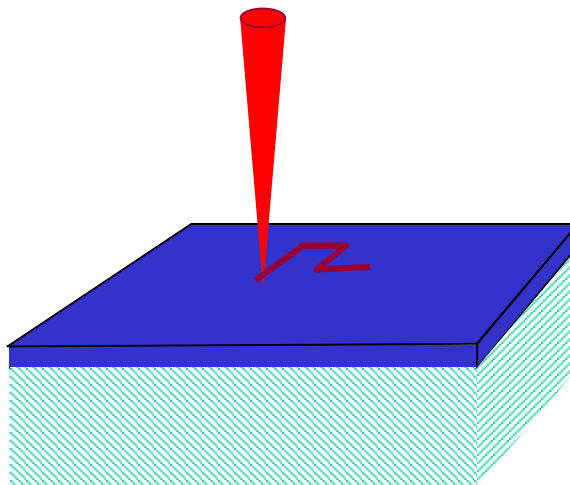
# lithography

optical



projection  
1:5 to 1:20

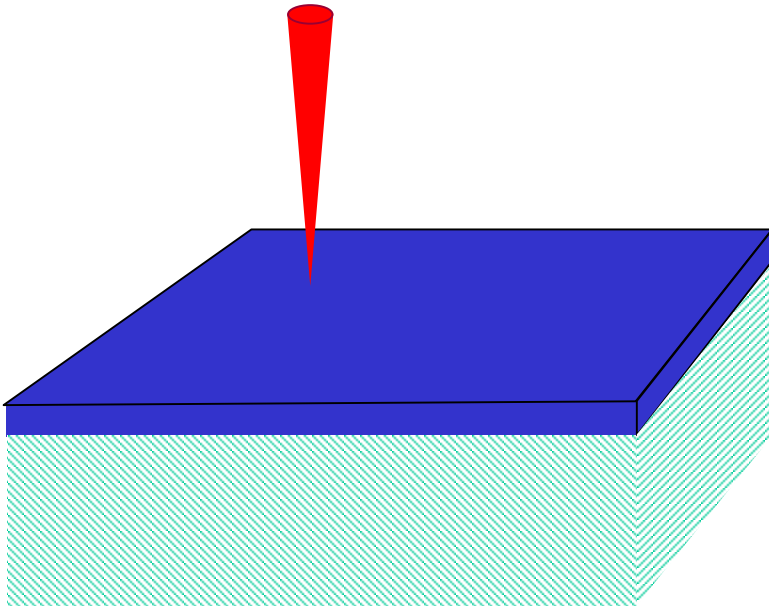
Focused beam



Nanoimprint  
1:1



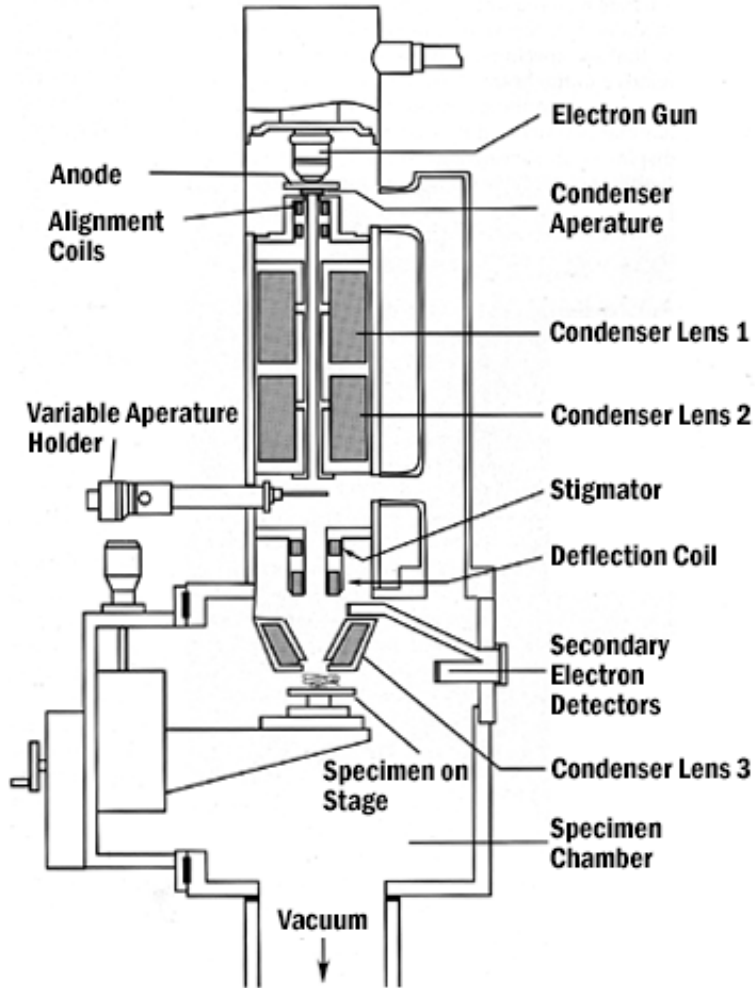
# ebeam lithography



- We know how to focalize an electron beam : spot < 10nm
- wave length very small : no limitation due to diffraction
- direct writing: no mask needed
- sequential writing: very slow
- Very high resolution : depending on resist 1nm has been achieved

*Electron beams are quite important in nanofabrication since they are also used to observe small samples by electron microscopy. So I will give some overview on focused electron beams.*

# A standard SEM



**Electron gun**  
Thermo-ionic  
Field effect  
Schottky

## Lens

Lens for demagnifying the source  
Lens for focus on the sample  
Lens for correcting astigmatism  
Lens to scan the sample

## Detectors

standard secondary electron detector  
in-lens secondary electron detector

# Electrons under a potential

An electron under a potential U acquire a speed :  $v = \sqrt{2eU/m}$

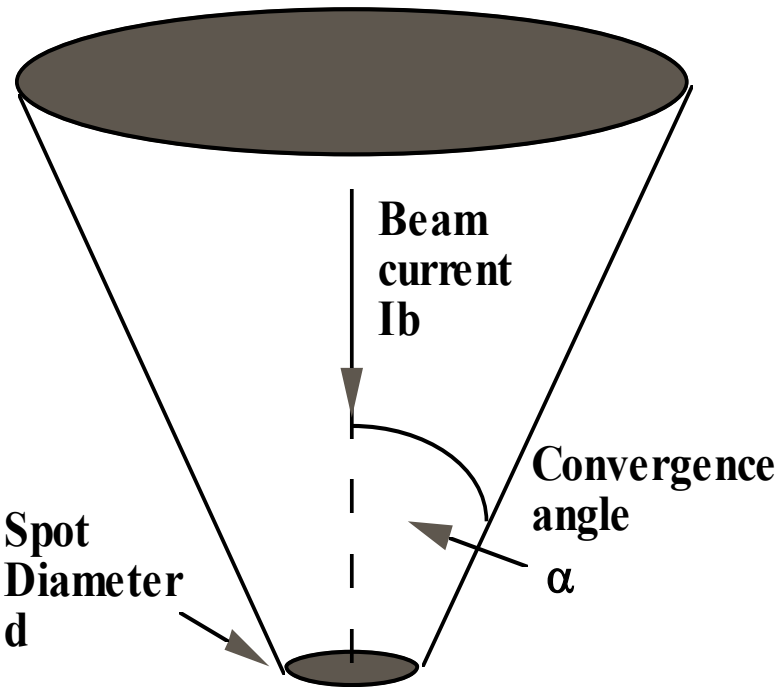
And a wavelength :  $\lambda = \frac{h}{p} = \frac{h}{\sqrt{2meU}}$

Voltage kV	Speed km/s	Wavelength nm
1	18760	0,0386
10	59320	0,0122
20	83806	0,0086
50	132600	0,0054
100	187600	0,0037

Above 100kV needs relativitics corrections

# Electron sources: source brightness $\beta$

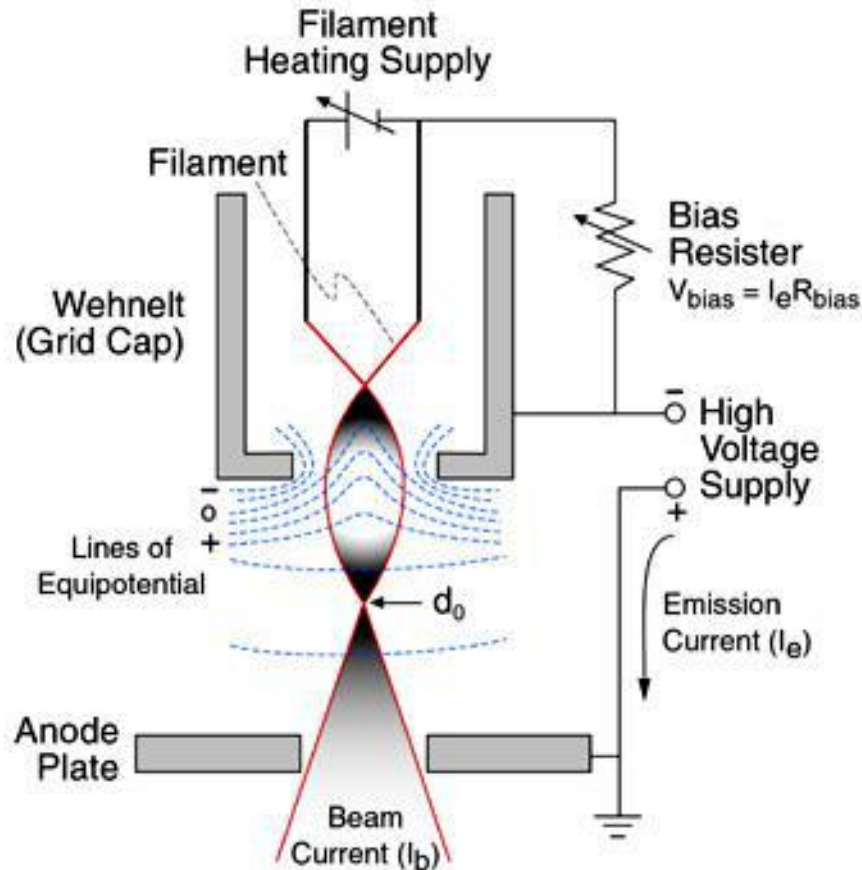
$$\beta = \frac{4I_b}{\pi^2 \alpha^2 d^2}$$



- Brightness is defined as current per unit area per solid angle, with unit amp/cm<sup>2</sup>/steradian.
- Brightness is the most useful measure of gun performance.
- High brightness is not the same as high current.
- E.g. thermionic emission can have very high beam current, but low brightness (due to large d).

# wehnelt

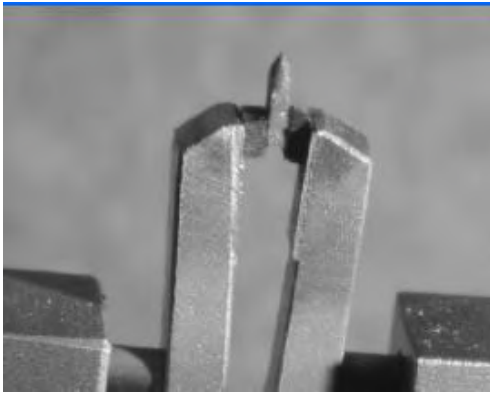
The wehnelt is a hollow cylinder, between the anode + and the filament – polarized to - 200V to -300V.



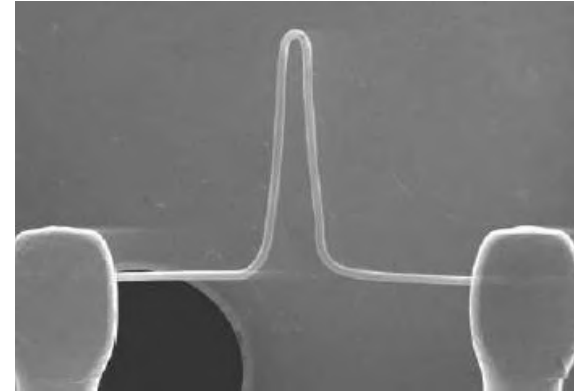
Wehnelt allows to reduce the spreading of the emission. It forms an image of the source  $d_0$ . It is an electrostatic lens. The stronger the emission the stronger the wehnelt acts. It stabilizes the emission

$d_0$  is typically  $40\mu\text{m}$  for W and  $15\mu\text{m}$  for LaB6

# Thermo-ionic sources



LaB6 crystal  
Vacuum barrier : 2eV  
T=1500°C  
Min source size: 5nm  
Ionic pump:  $10^{-7}$ mBar

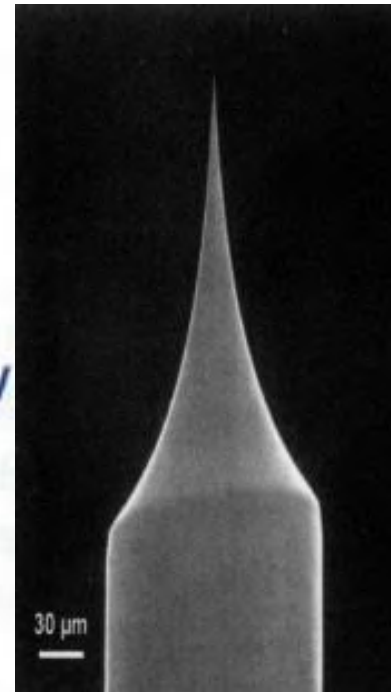
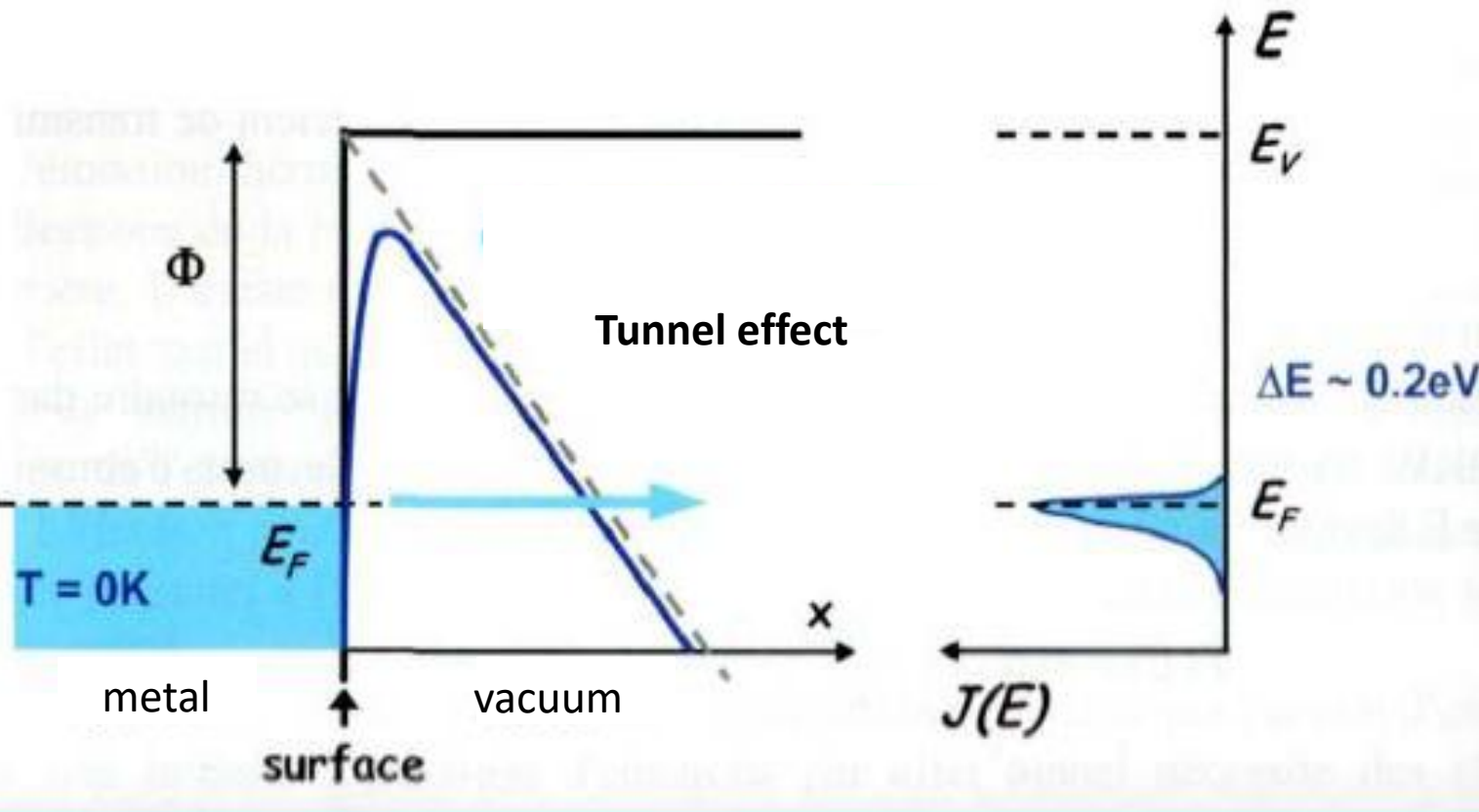


Tungsten  
Vacuum barrier 4eV  
T=2700°C  
Min source size: 15nm  
Turbo pumping  $10^{-6}$ mBar

Very easy to handle  
cheap  
Good emission stability  
Weak brightness:  
W :  $10^6$  Acm<sup>-2</sup>/str  
LaB6 :  $10^7$  Acm<sup>-2</sup>/str  
Small live time specially for W  
Quite big virtual source size  
Strong energy spread > 5 eV (chromatic aberration)

# Field effect gun

**Field effect gun** : one use very sharp tip ( $\sim 100\text{nm}$ ) and strong voltage ( $\sim 4\text{kV}$ ) to obtain high field to lower the work function to get strong tunneling effect.  
No heating ( called cold cathode)



Very spatially localized small energy dispersion

$$J_0 = AF^2 \exp\left[-\frac{B\Phi^{3/2}}{F}\right]$$

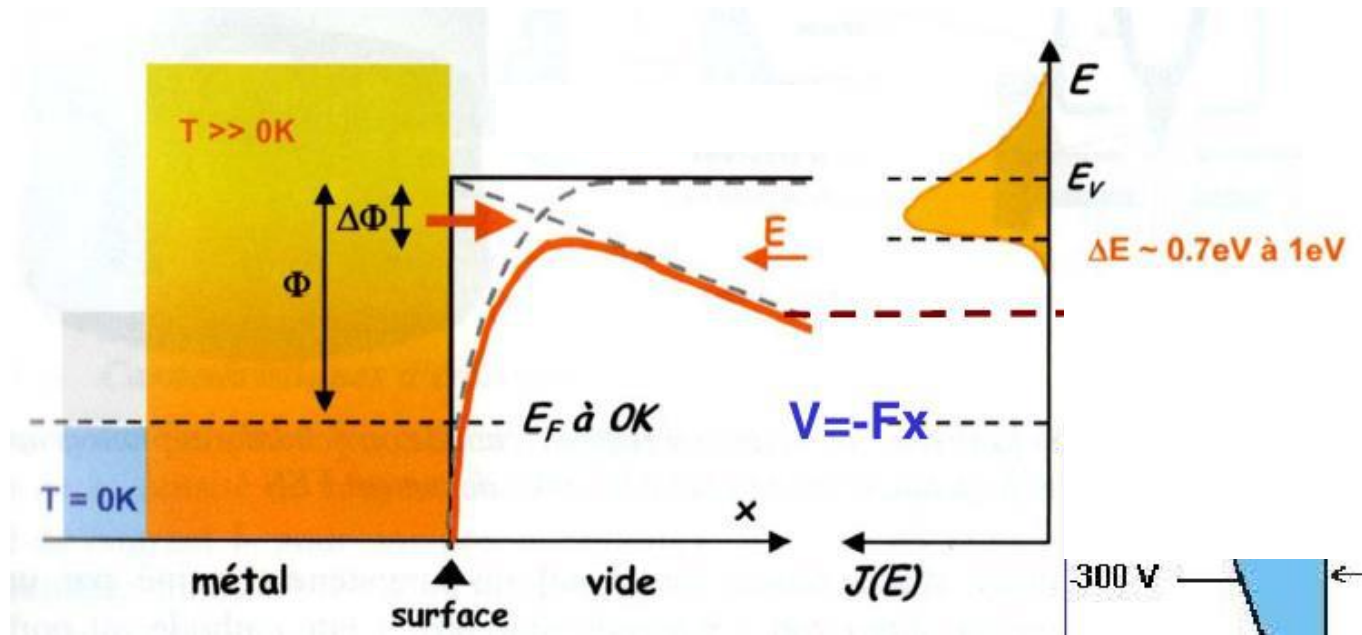


# Field effect gun

- High brightness :  $10^9\text{A/cm}^2/\text{str}$
- Small source size
- Small energy spread :  $0.2\text{eV}$
- The tip must be very clean to perform properly as a field emitter.
- Even at  $10^{-6}\text{Torr}$ , a monolayer of gas is deposited in just 1 sec.
- So tip needs higher vacuum,  $\sim 10^{-10}\text{Torr}$  vacuum (baking the gun assembly).
- Cleaning is performed by “flashing” - heating the tip for a few seconds to desorbs gas.
- The emission is never very stable, drift of typically few percent/hour
- This best for SEM imaging applications.
- Because of the current instability, cold FEG is not good choice for e-beam lithography,

# Schottky source (hot cathode)

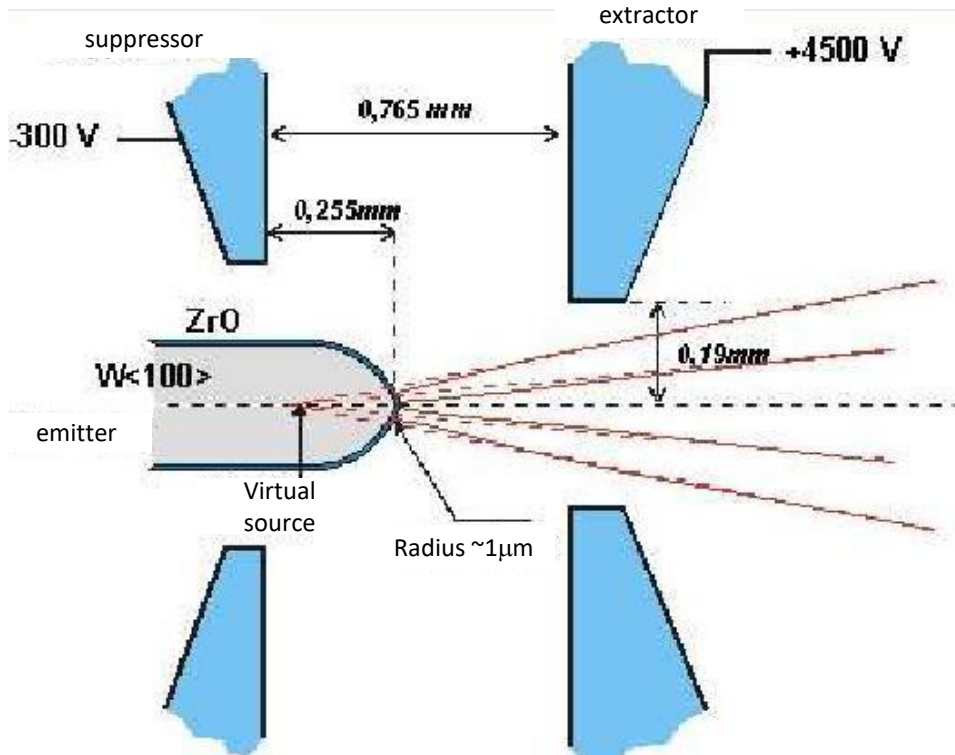
**Source Schottky** : combine thermo-ionic emission and field effect to increase stability and current emission compare to cold FEG. It is not a tunneling emission



For Schottky emitter, the field  $F$  reduces the work function  $\phi$  by an amount of  $\Delta\phi = 3.80 \times 10^{-4} F^{1/2} eV$  (e.g.  $\Delta\phi = 0.5eV$  for  $1.7 \times 10^6 V/cm$ ).

$T \sim 1800^\circ C$

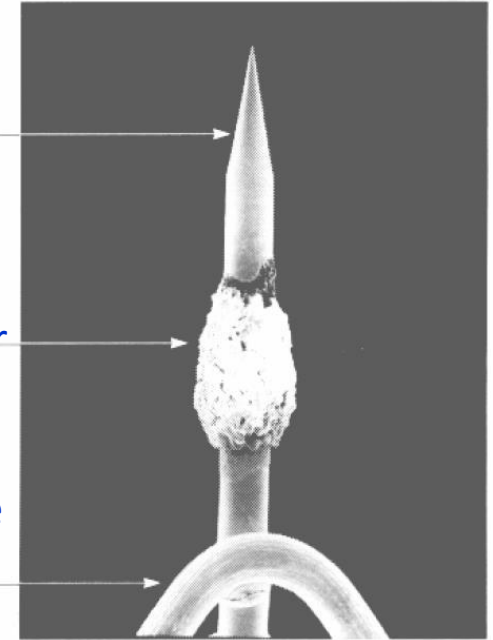
# Schottky FEG



<100> W  
crystal

ZrO<sub>2</sub> reservoir

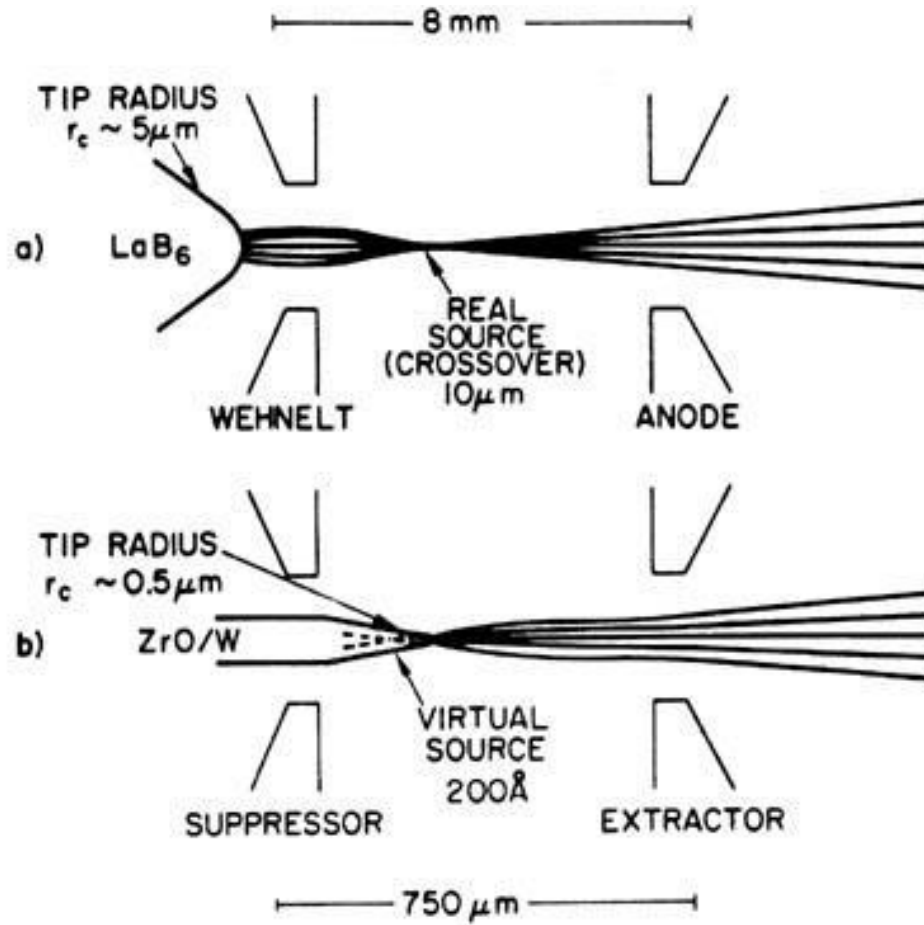
Polycrystalline  
W heating  
filament



ZrO<sub>2</sub> lower the work function. The suppressor negatively charged forces the emission toward the tip.

Brightness  $10^8 \text{Acm}^{-2}/\text{str}$   
Good stability  
Strong current  
Good life time > 5000h

# Source size



# Comparison between guns

Emitter type	Thermionic	Thermionic	Cold FE	Schottky FE
Cathode materials	W	LaB <sub>6</sub>	W	ZrO/W
Operating temperature (K)	2800	1900	300	1800
Cathode radius (μm)	60	10	<0.1	<1
Virtual source radius (nm)	15,000	5000	2.5	15
Emission current density (A cm <sup>-2</sup> )	3	30	17,000	5300
Total emission current (μA)	200	80	5	200
Brightness	10 <sup>4</sup>	10 <sup>5</sup>	2×10 <sup>7</sup>	10 <sup>7</sup>
Maximum probe current (nA)	1000	1000	0.2*	10
Energy spread at cathode (eV)	0.59	0.40	0.26	0.31
Energy spread at gun exit (eV)	1.5–2.5	1.3–2.5	0.3–0.7	0.35–0.7
Beam noise (%)	1	1	5–10	1
Emission current drift (% hr <sup>-1</sup> )	0.1	0.2	5	<0.5
Vacuum requirement (Torr)	≤10 <sup>-5</sup>	≤10 <sup>-6</sup>	≤10 <sup>-10</sup>	≤10 <sup>-8</sup>
Cathode life (h)	200	1000	2000	2000
Cathode regeneration ( <b>flashing</b> )	Not required	Not required	Every 6–8 h	Not required
Sensitivity to external influence	Minimal	Minimal	High	Low

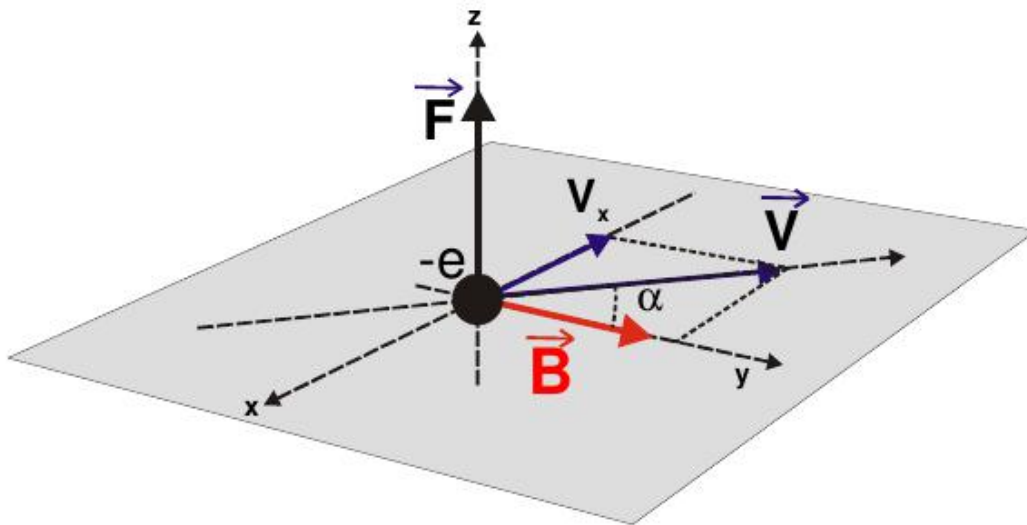
# Electron lens

•Mvt electron in a B:

$$\mathbf{F} = -e\vec{v} \wedge \vec{B}$$

•Force is perpendicular to  $v$  and  $B$  and its amplitude is proportional to the projection of  $v$  on the normal of  $B$

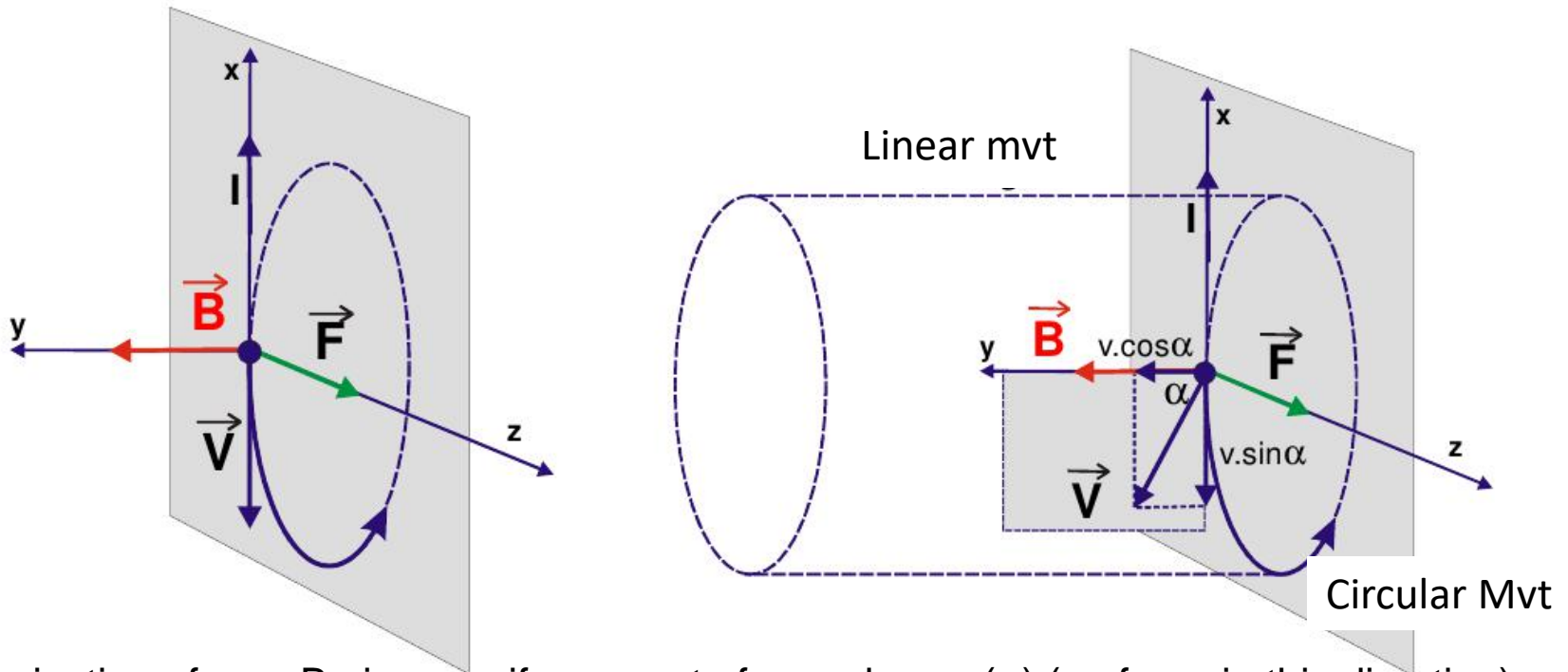
$$F = -e |v| B \sin(\alpha)$$



# Electron in a magnetic field

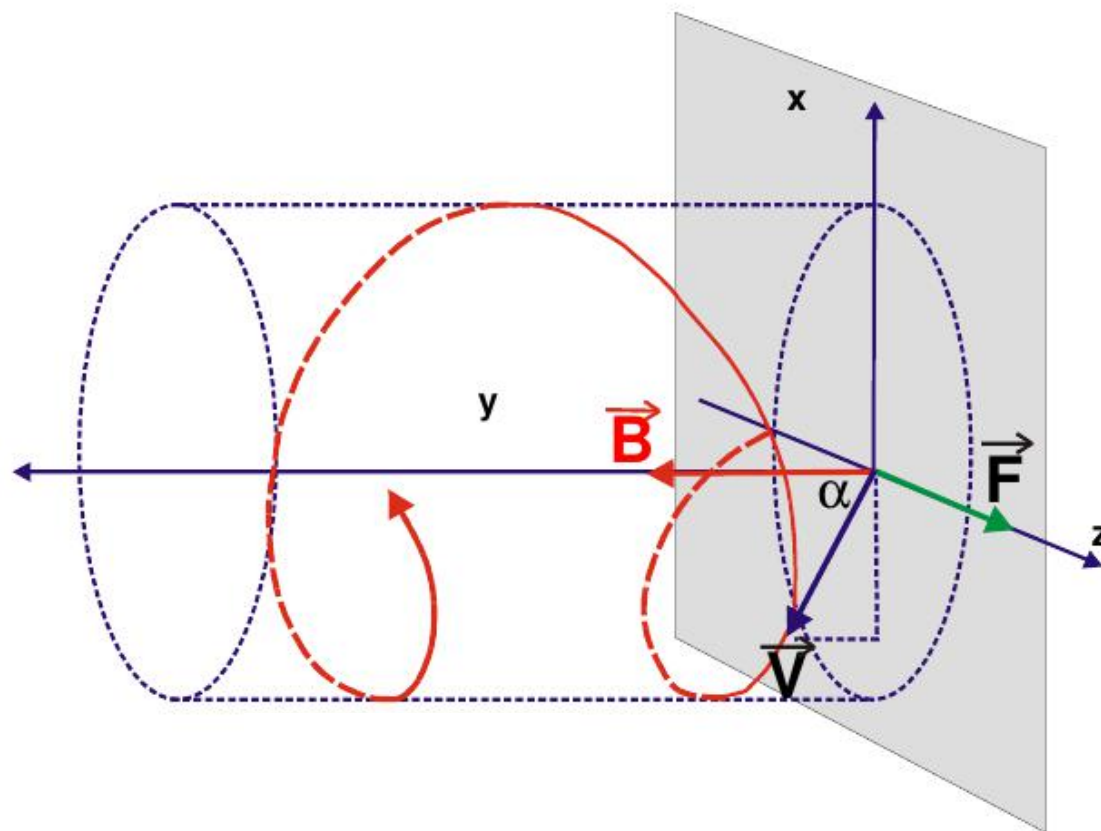
The force direction change in a uniform  $B$  which is not the case for a  $E$  field

If  $v$  is perpendicular to  $B$  then the mvt is circular with a radius :  $R = \frac{mv}{eB}$



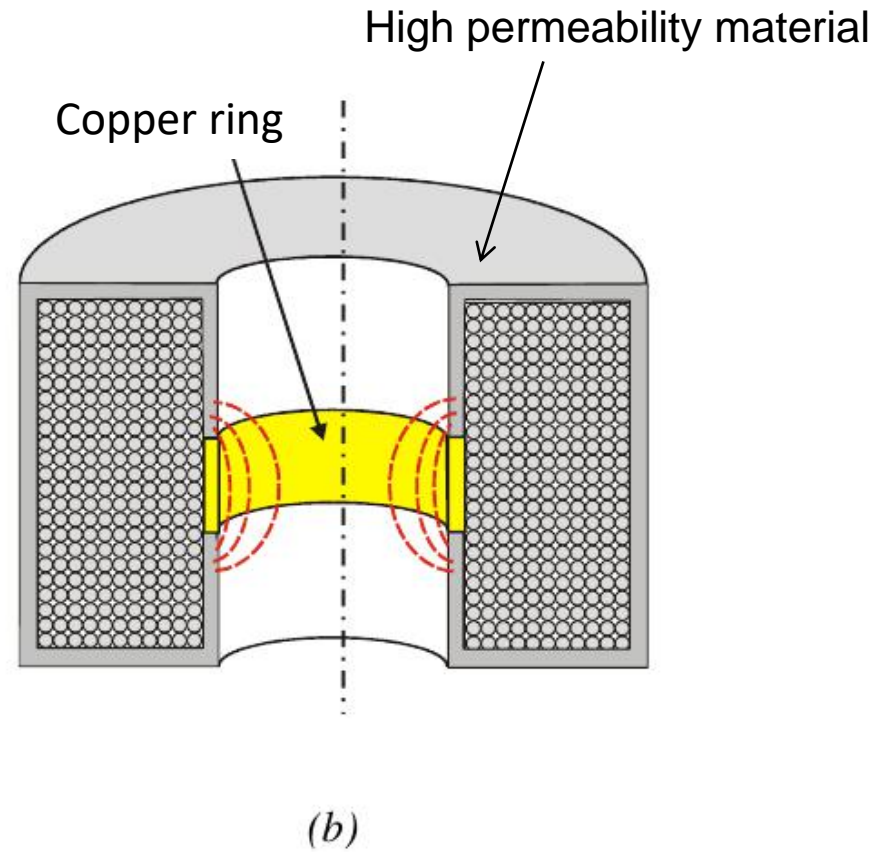
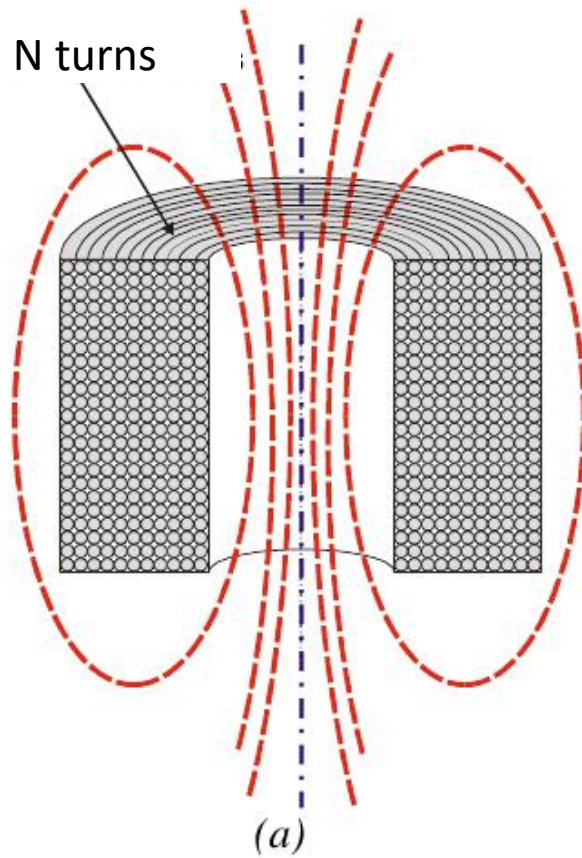
The projection of  $v$  on  $B$  give a uniforme mvt of speed  $v \cos(\alpha)$  (no force in this direction)

# Helicoidal mouvement

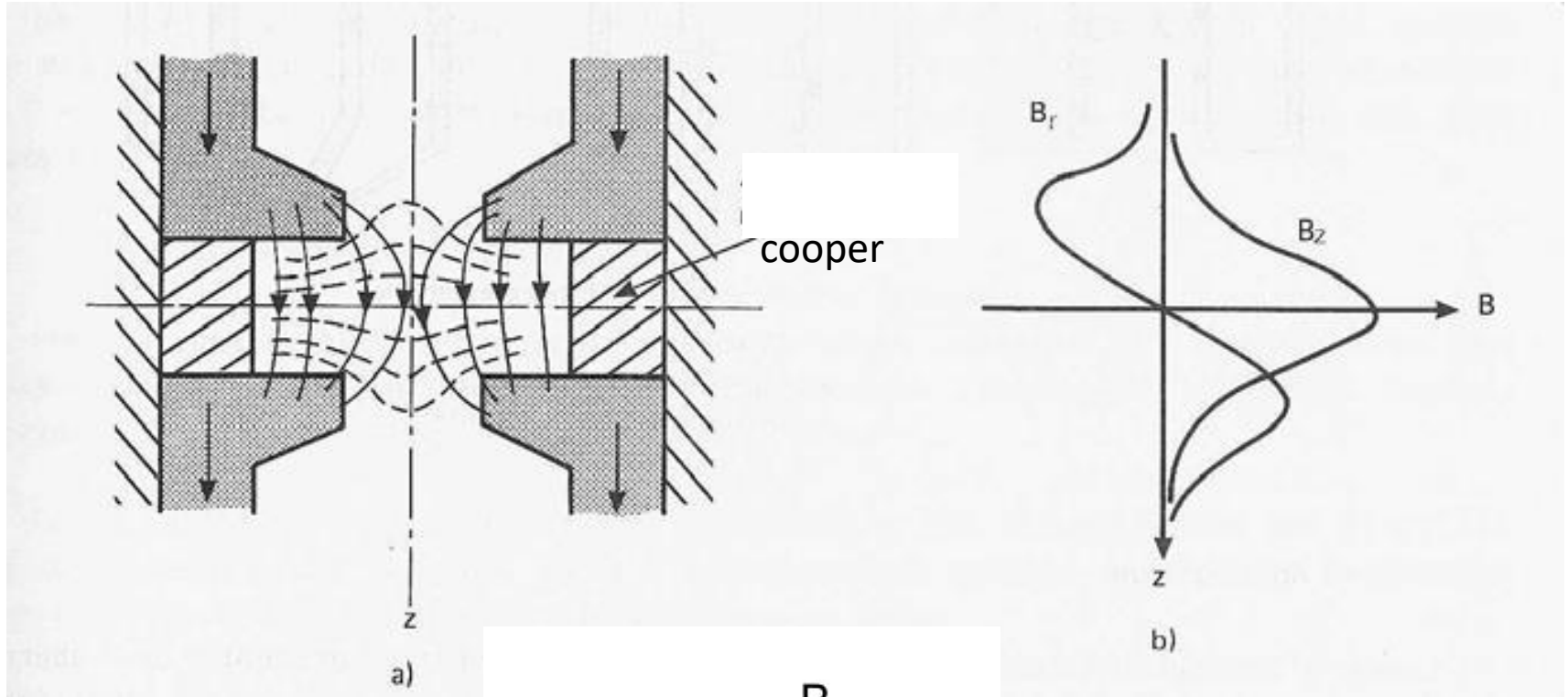




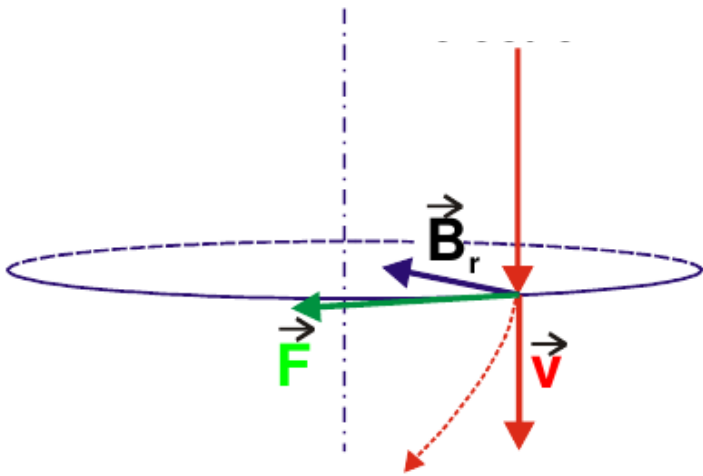
# Magnetic lens :



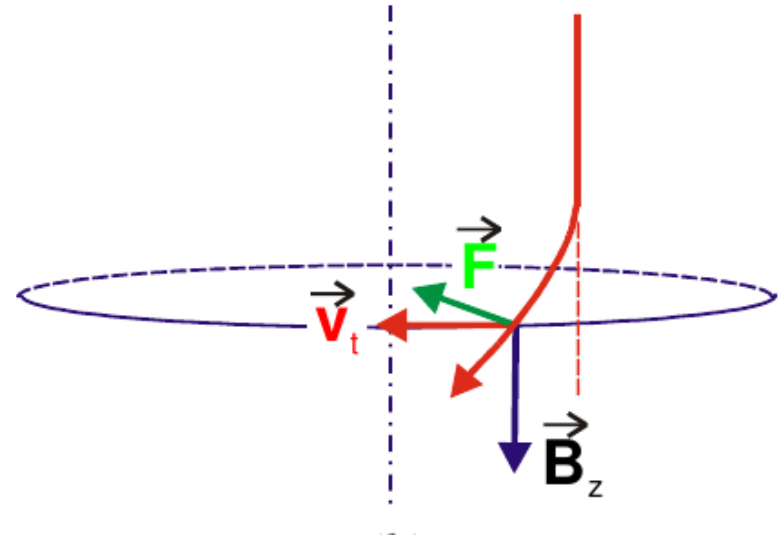
# Magnetic lens : equipotentials



$$B(z) = \frac{B_0}{\left[1 + \left(\frac{z}{a}\right)^2\right]^n}$$



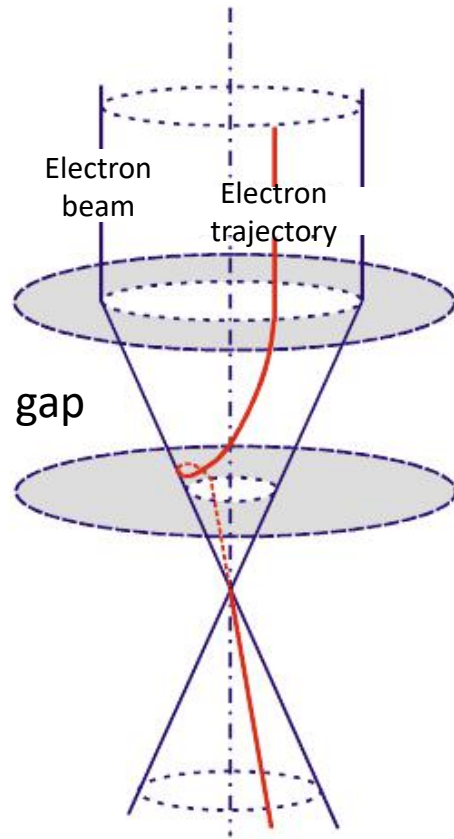
Radial component push electron out of the  $[B, v]$  plan



Normal component then push the electron toward the center

If far from center strong  $B_z$  the electron is strongly attracted toward the center: focusing lens

# Focal lens



$$\frac{1}{f} = \frac{e}{8mU} \int_{-\infty}^{+\infty} B_z^2 dz = \frac{e}{8mU} \int_{-\infty}^{+\infty} \frac{B_0^2}{\left[1 + \left(\frac{z}{a}\right)^2\right]^2} dz$$

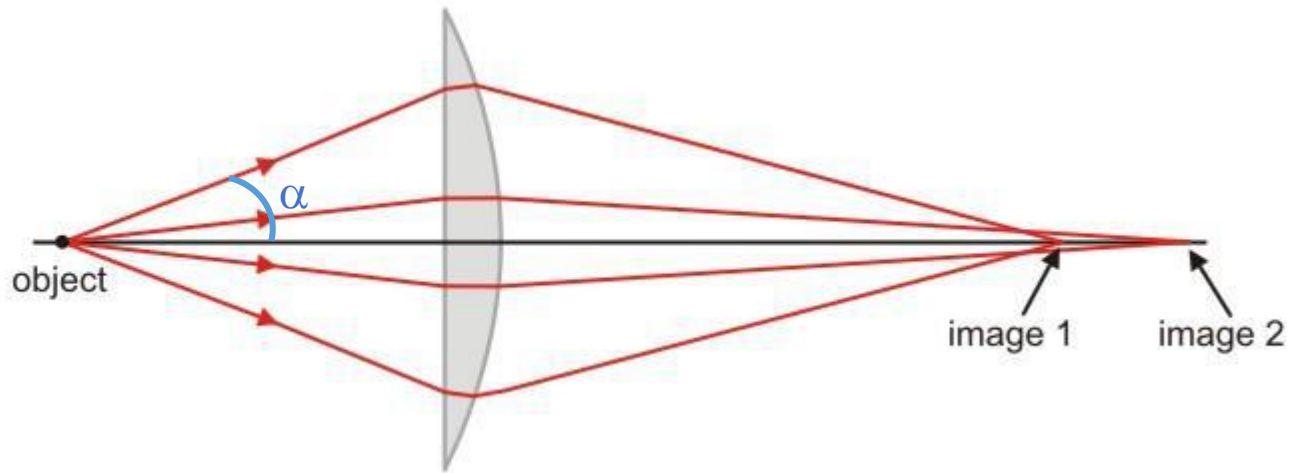
Focal length depends:

- Energy of electron
- B i.e. the current in the coil

It is a lens where you can easily change the focal length

# Spherical aberration

The focus depends on the distance of the trajectory of the axis



Links to imperfection of the geometry of the coil

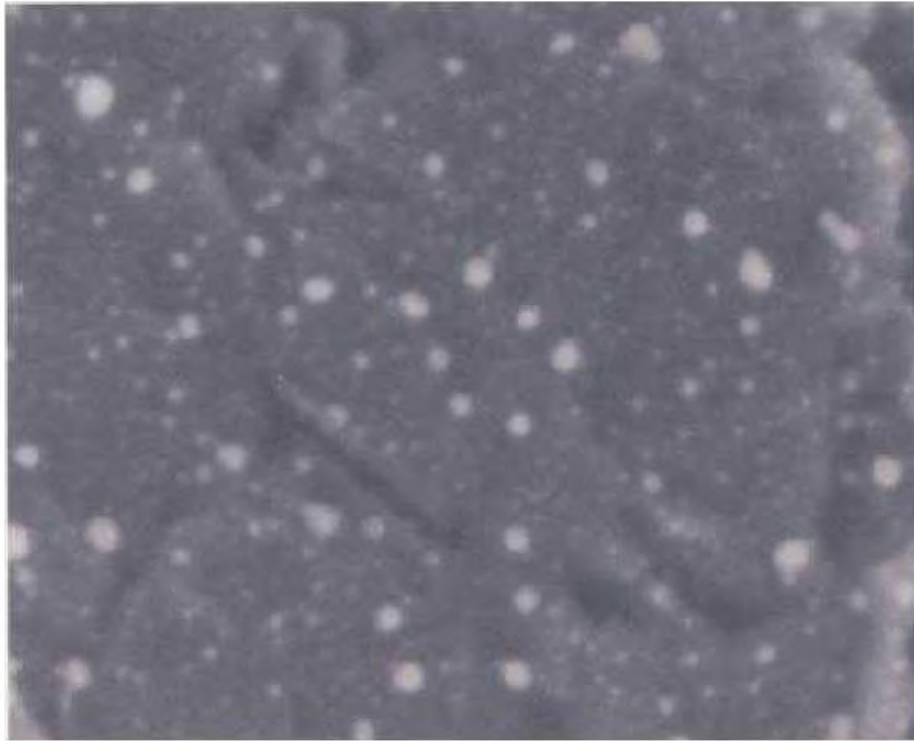
Minimum spot size :

$$d_s = 0.5C_s\alpha^3 \quad C_s \text{ depends on working distance}$$

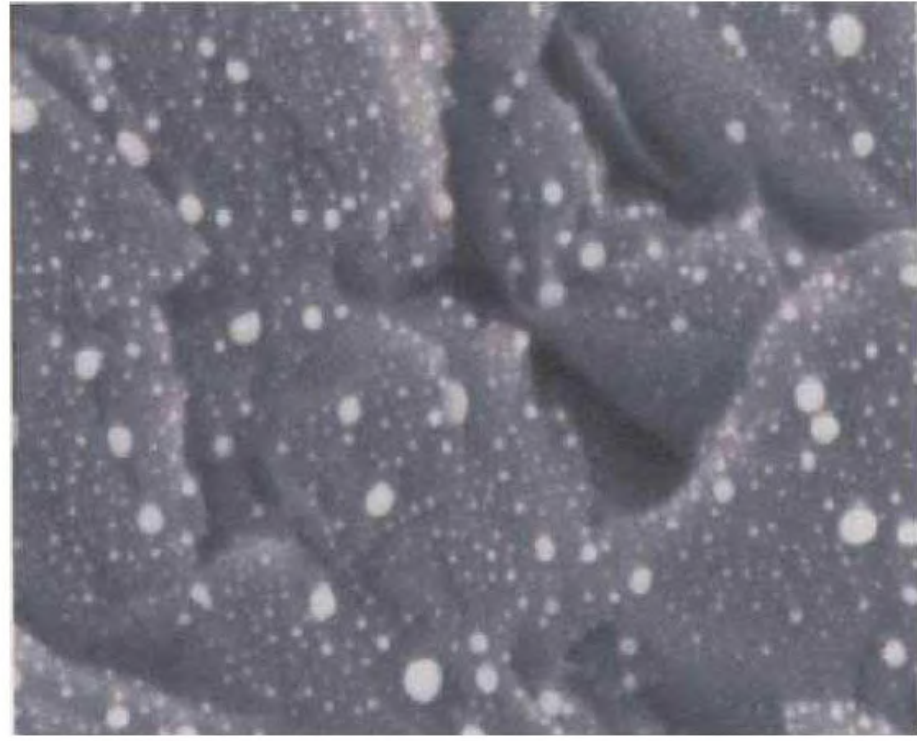
Need to decrease  $\alpha$  but it decreases the current...

High resolution microscope  $\rightarrow$  correction by added coil and software

# Spherical aberrations corrections

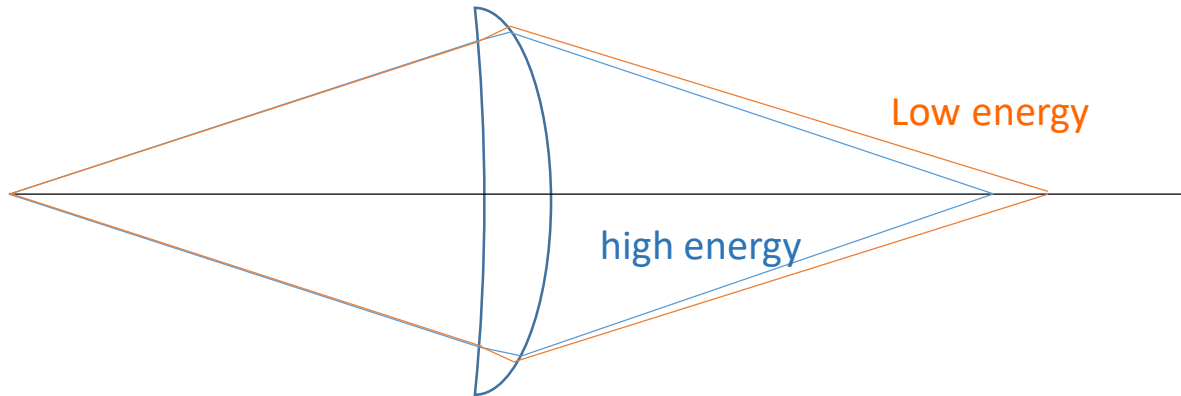


Without corrections



With corrections

# Chromatic aberrations

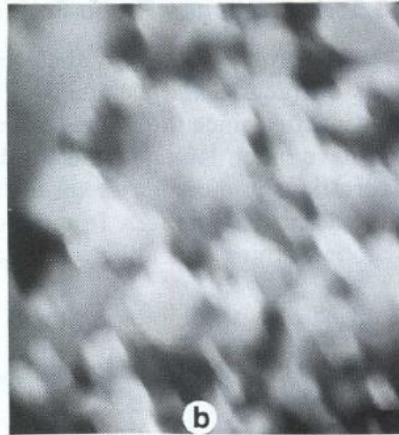
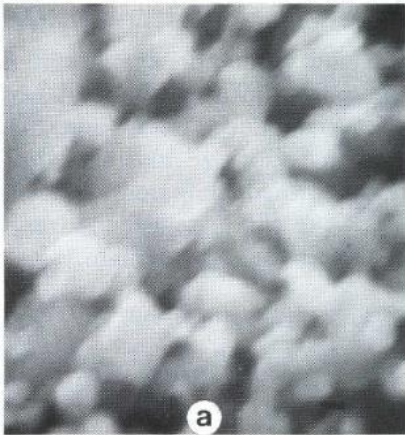
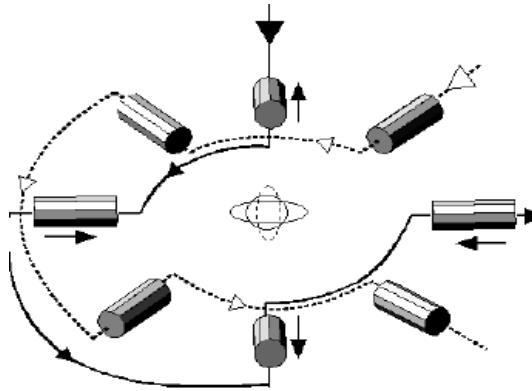


- The focal length of higher energy electrons is longer than that for lower energy electrons.
- The minimum spot size is

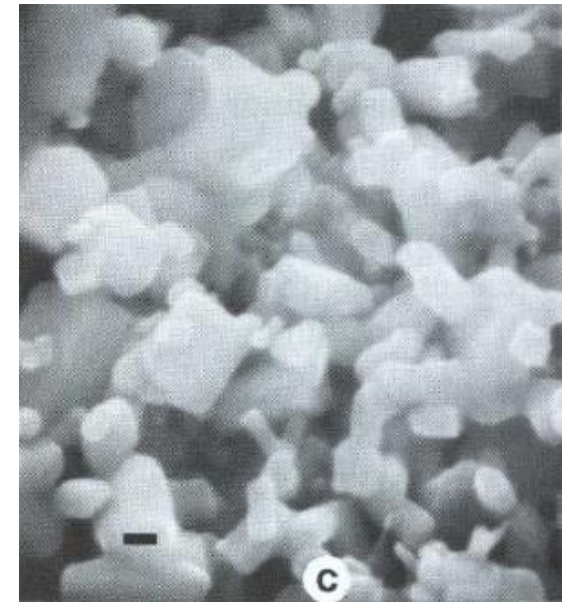
$d_c = C_c \alpha \Delta E / E_0$  (or  $\Delta V / V$ ) which increase at low energies  $E_0$ , or when using thermionic emitters with high energy spread  $\Delta E$ .

# astigmatism

Astigmatism : non circular spot link to imperfection in the coils or dust in the column (that charges). One can correct them using octopole lens.



Defocus below and above focal point



Corrected image



# Final spot size

$$d = \sqrt{d_g^2 + d_s^2 + d_c^2 + d_d^2}$$

$$d_g = \frac{d_v}{M}$$

$d_v$ : virtual source diameter  
M: demagnification

$$d_s = \frac{1}{2} C_s \alpha^3$$

Spherical aberration

$$d_c = C_c \alpha \frac{\Delta V}{V}$$

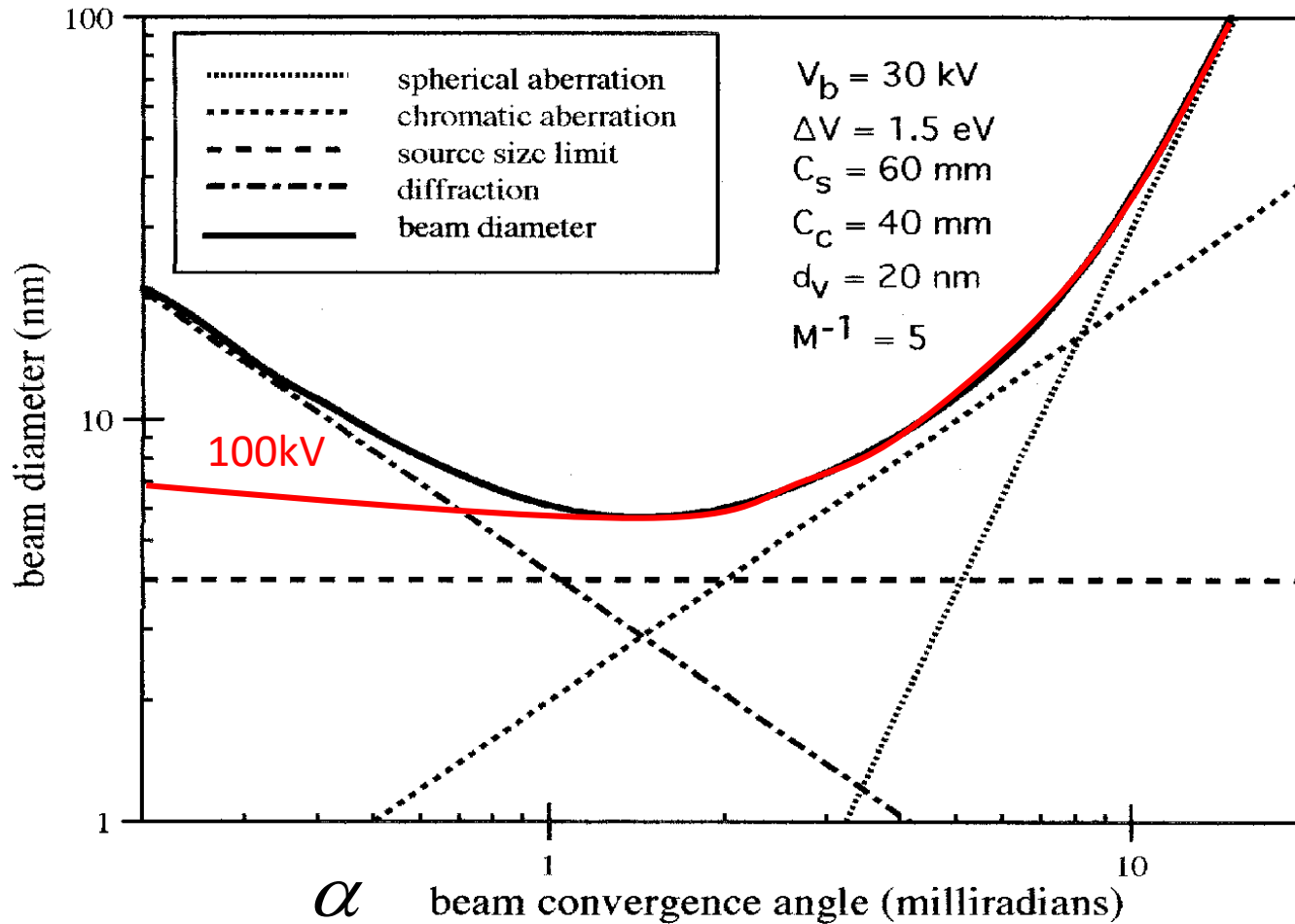
Chromatic aberration

$$d_d = 0.61 \frac{\lambda}{\alpha}, \lambda = \frac{1.2}{\sqrt{V}} \text{ nm}$$

Diffraction (remember optical lithography)

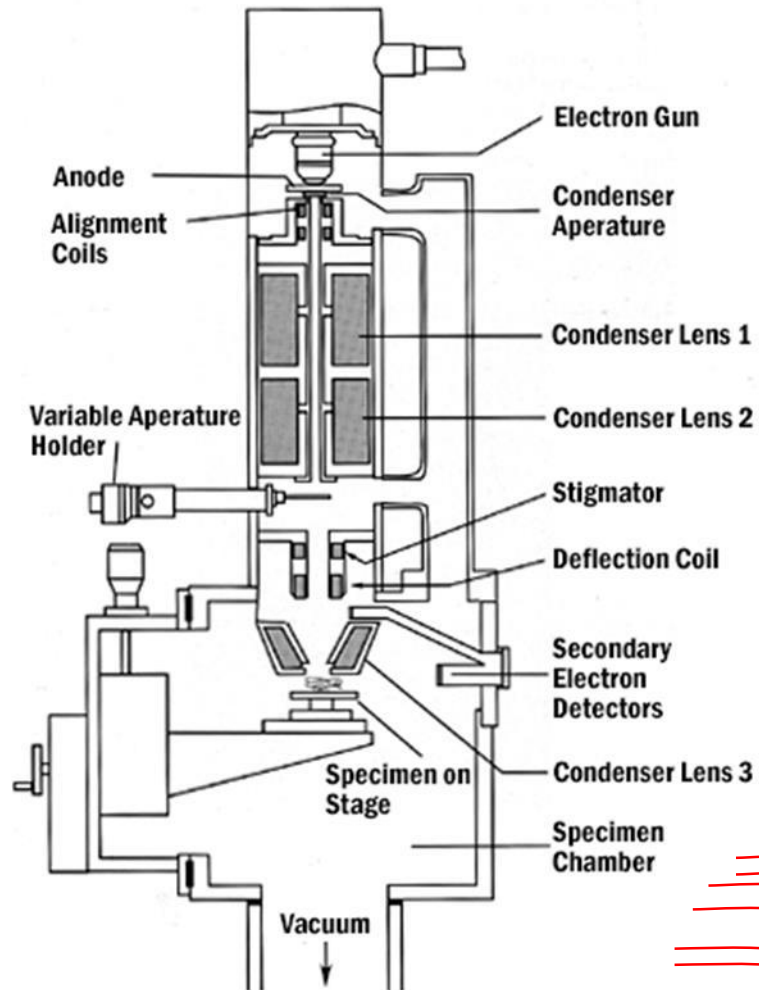
- Beam spot size depends on acceleration voltage, because higher voltage leads to: smaller chromatic aberration, and shorter  $\lambda$  thus smaller diffraction.
- This is particular true for thermionic emission guns, where high resolution ( $\sim 5\text{nm}$ ) can only be achieved at 30kV.
- Such resolution can be achieved at  $\sim 5\text{kV}$  for field emission (cold and Schottky) guns.

# practical exemple



On can adjust  $\alpha$  by playing with aperture at cross over. But reducing  $\alpha$  decrease current

# SEM

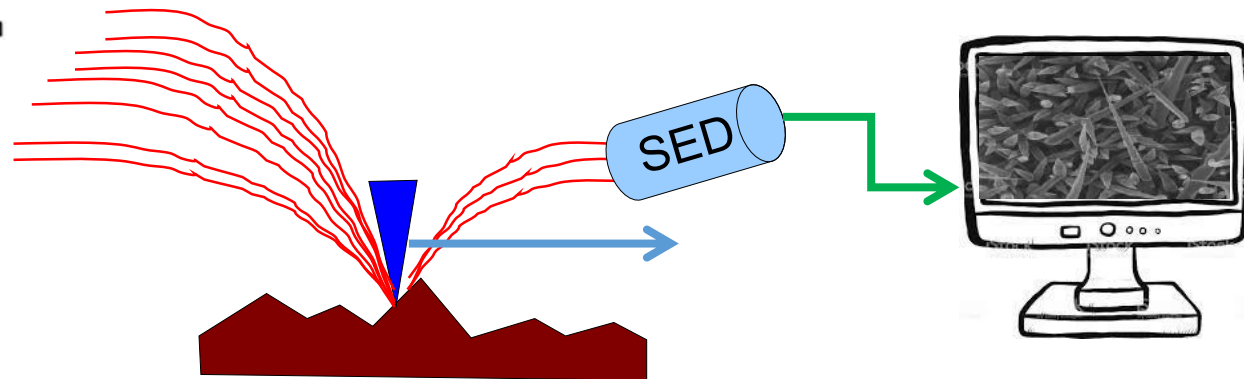


Condensers demagnify the virtual image source

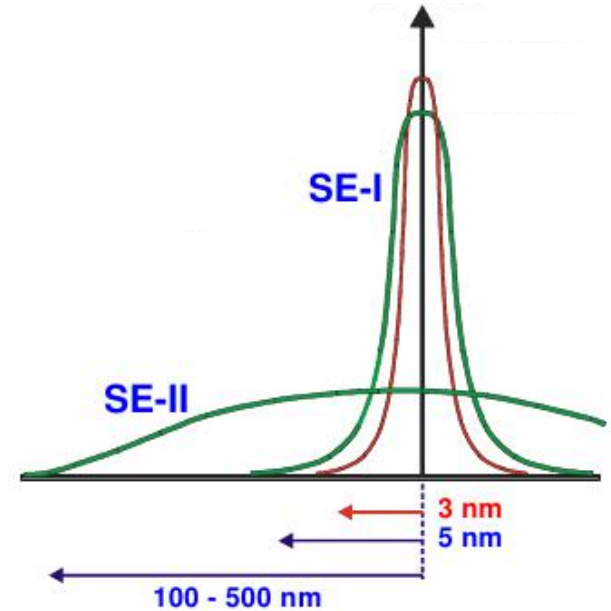
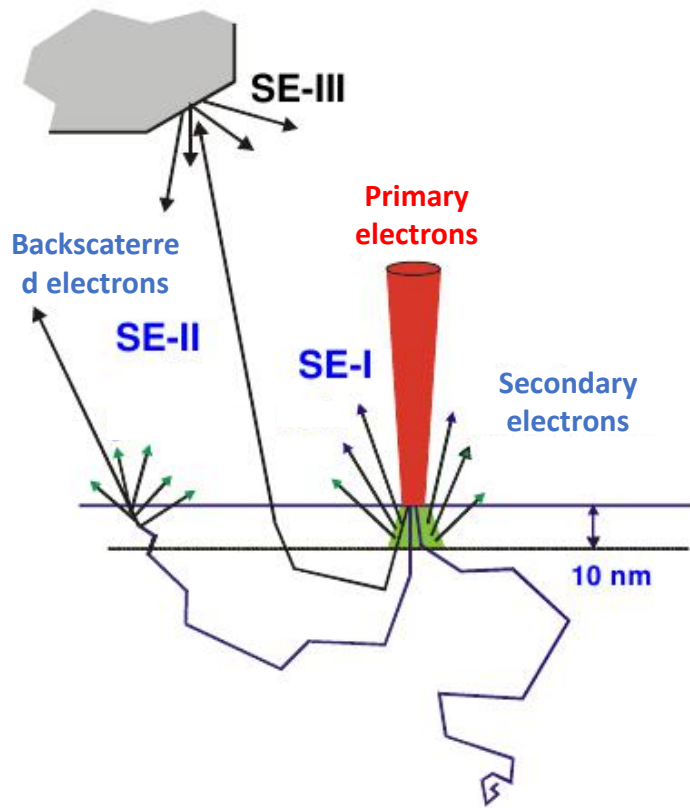
The image is obtained by a TV scanning of the beam on the sample while recording electrons re-emitted by the material.

Spot size fixes the resolution

Magnification is obtained by decreasing the sweep area since the screen is the same.

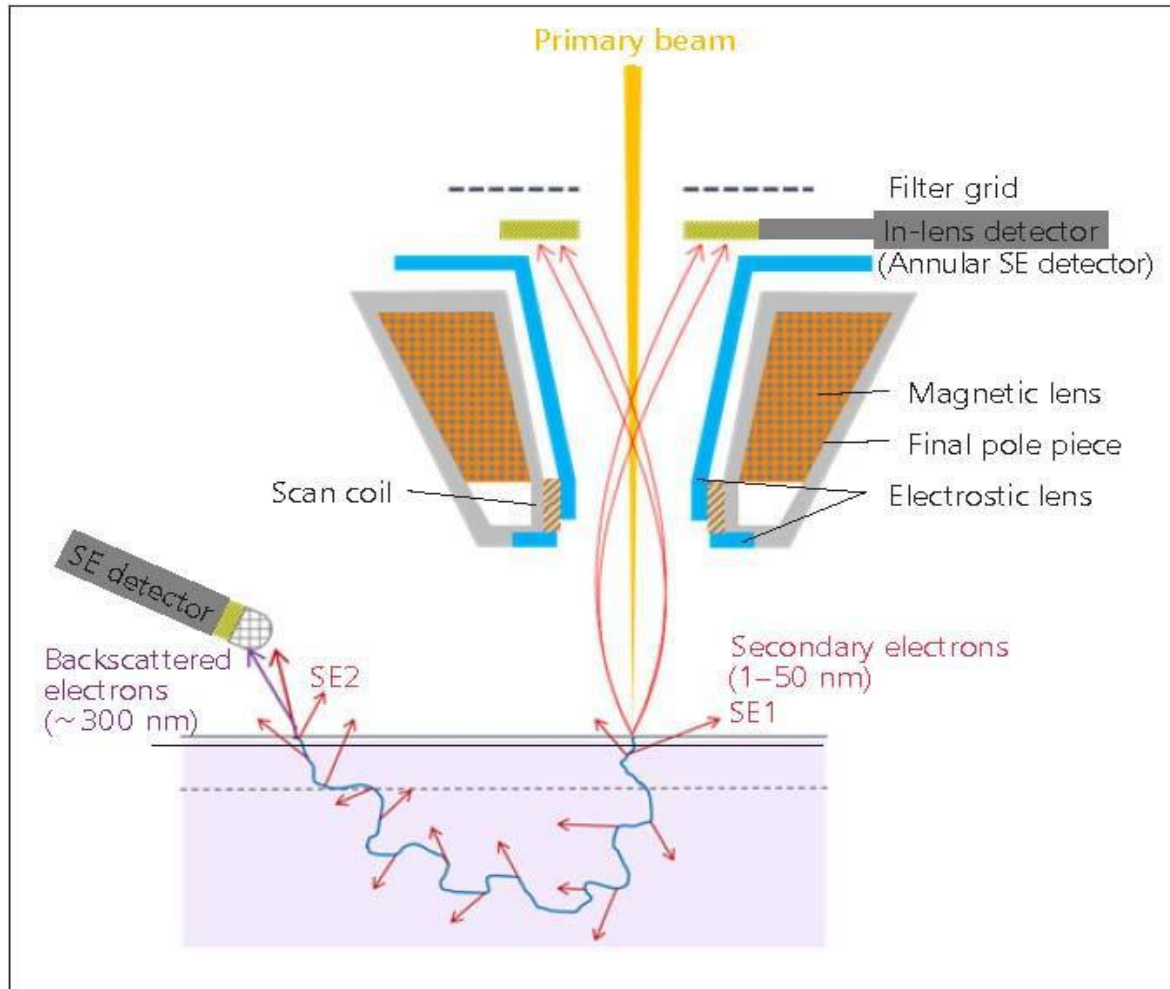


# Image formation

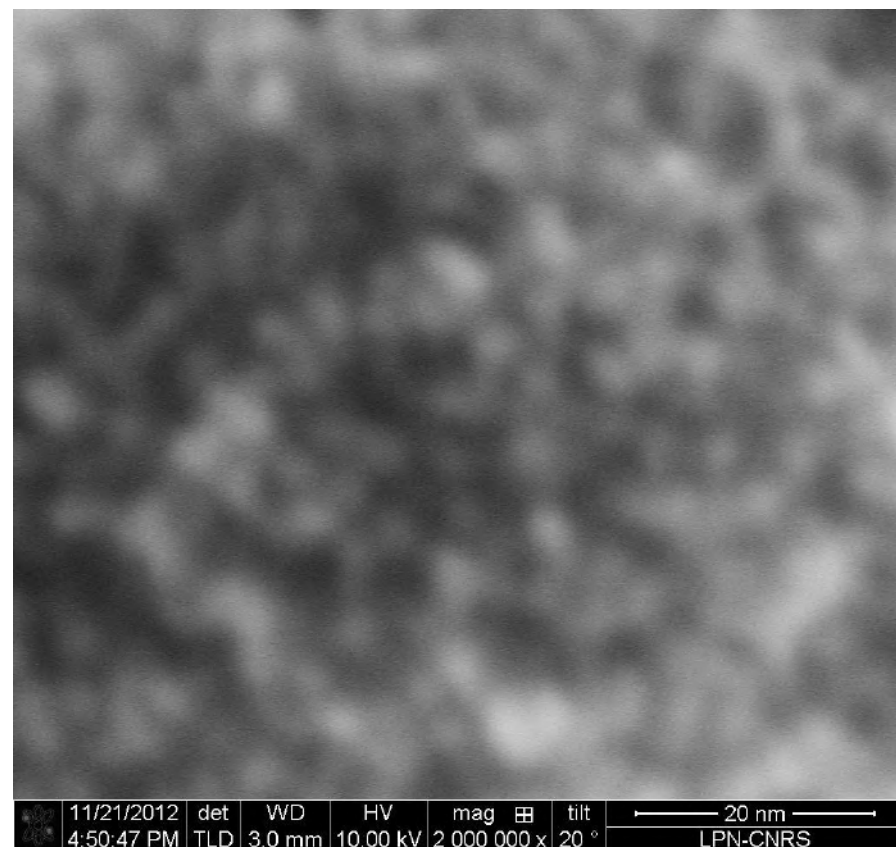
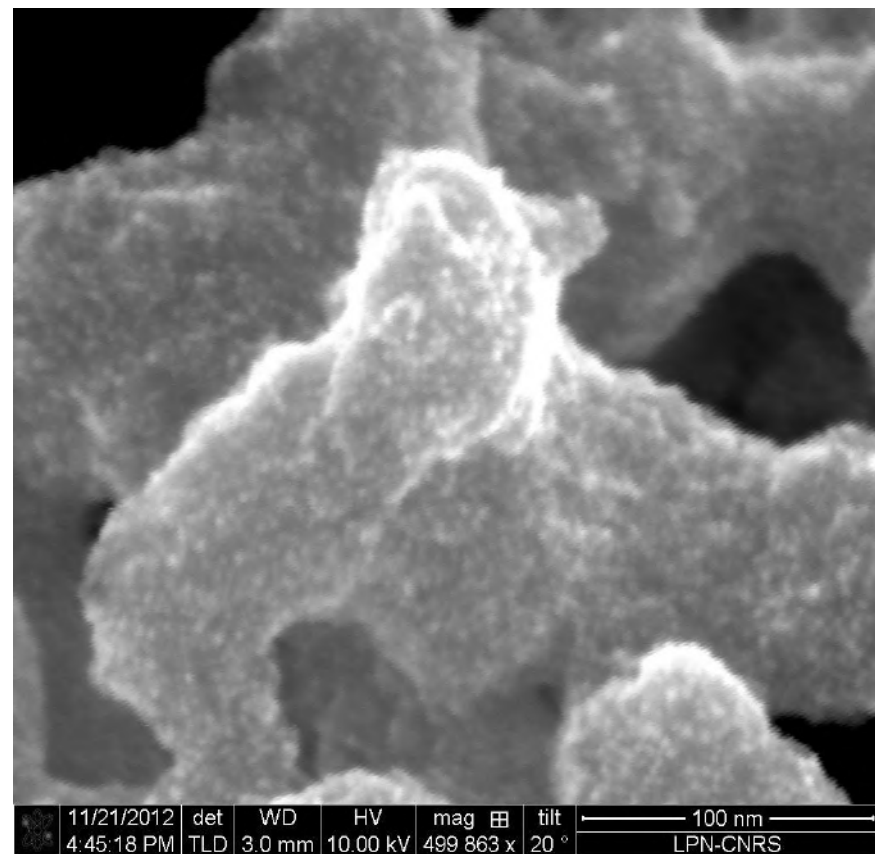


Using a grid at positive voltage one can filter the secondary electrons and change the contrast of the image.

# In lens detection



The strong field at the final lens acts as a lens to collect efficiently the secondary electrons and give a strong S/N . Very helpful at small current i.e. high resolution



# Electron beam lithography

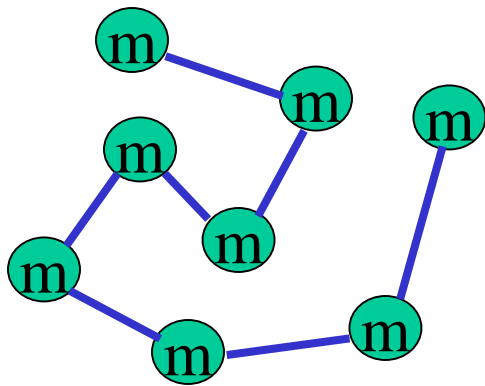
Now we understand how we can have a nice small electron beam

Let's use it to pattern a resist.

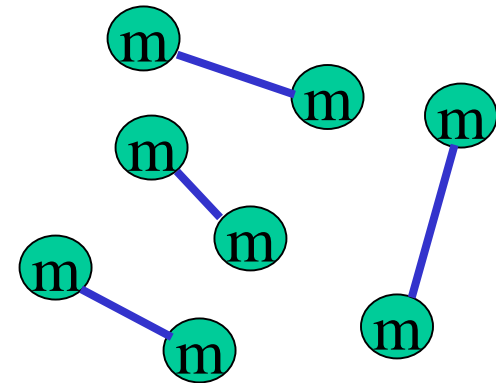
Need to understand electron resist interaction

# electron-resist interaction

Organic resist (PMMA)



non soluble



soluble

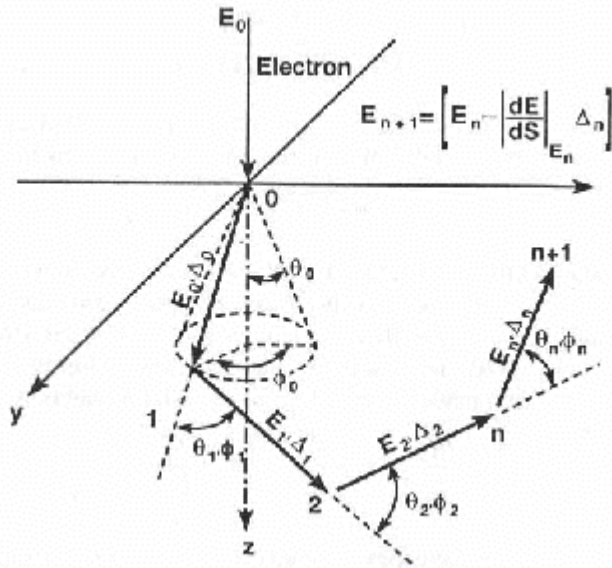
Typical energy to break a bond: 10eV

Typical energy of the beam: several 10keV

One needs to know how the electron loses its energy down to zero energy!



# Monte Carlo simulation



Elastic collisions: Bethe approx the energy lost between two collisions is proportional to the length  $\Lambda$  (radiation like dissipation) and depends on  $E$  and the material.

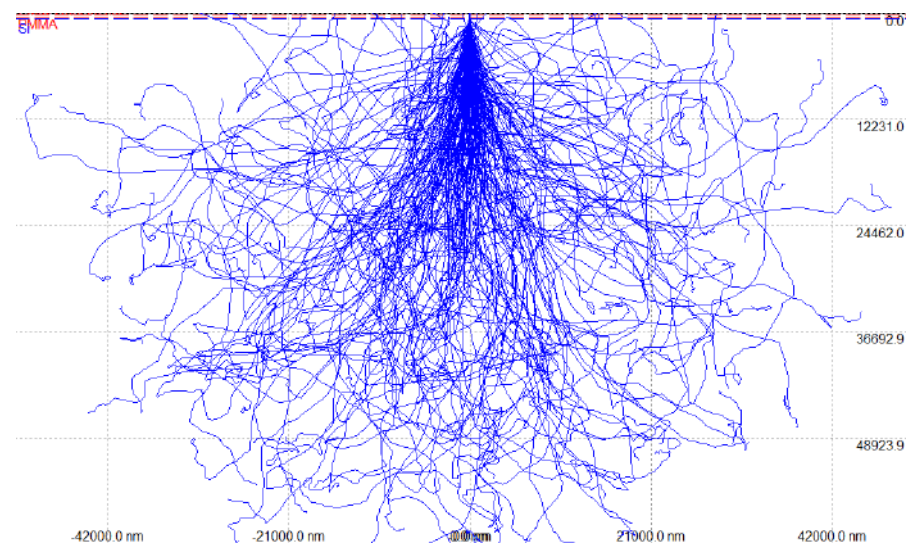
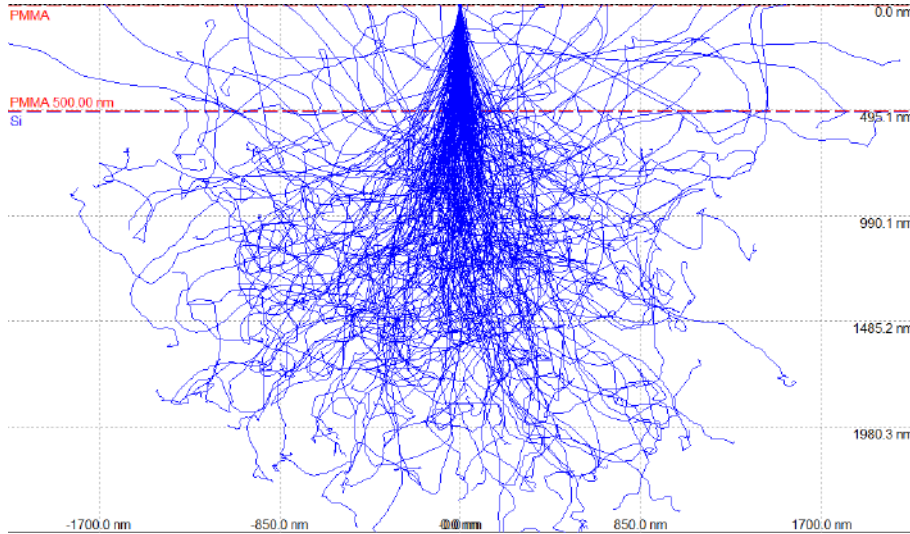
$\Lambda$  depends on energy and taken in a distribution

$\Theta$  and  $\phi$  are taken in a distribution following a screened Rutherford cross section depending on  $Z$  and  $E$

# Monte Carlo resist on InP 200 electrons

3.4 $\mu\text{m}$

42 $\mu\text{m}$



15kV

100kV

Forward scattering



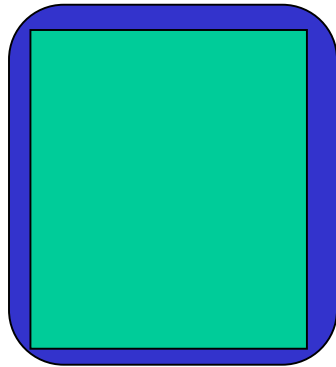
Back scattering



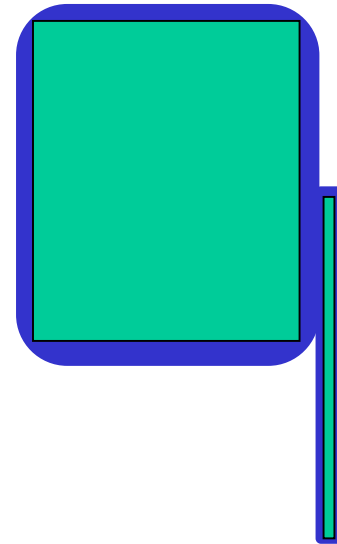
Divergence of the beam, degrade resolution

Energy far from the impact of electron  
Proximity effect

## Proximity Effect

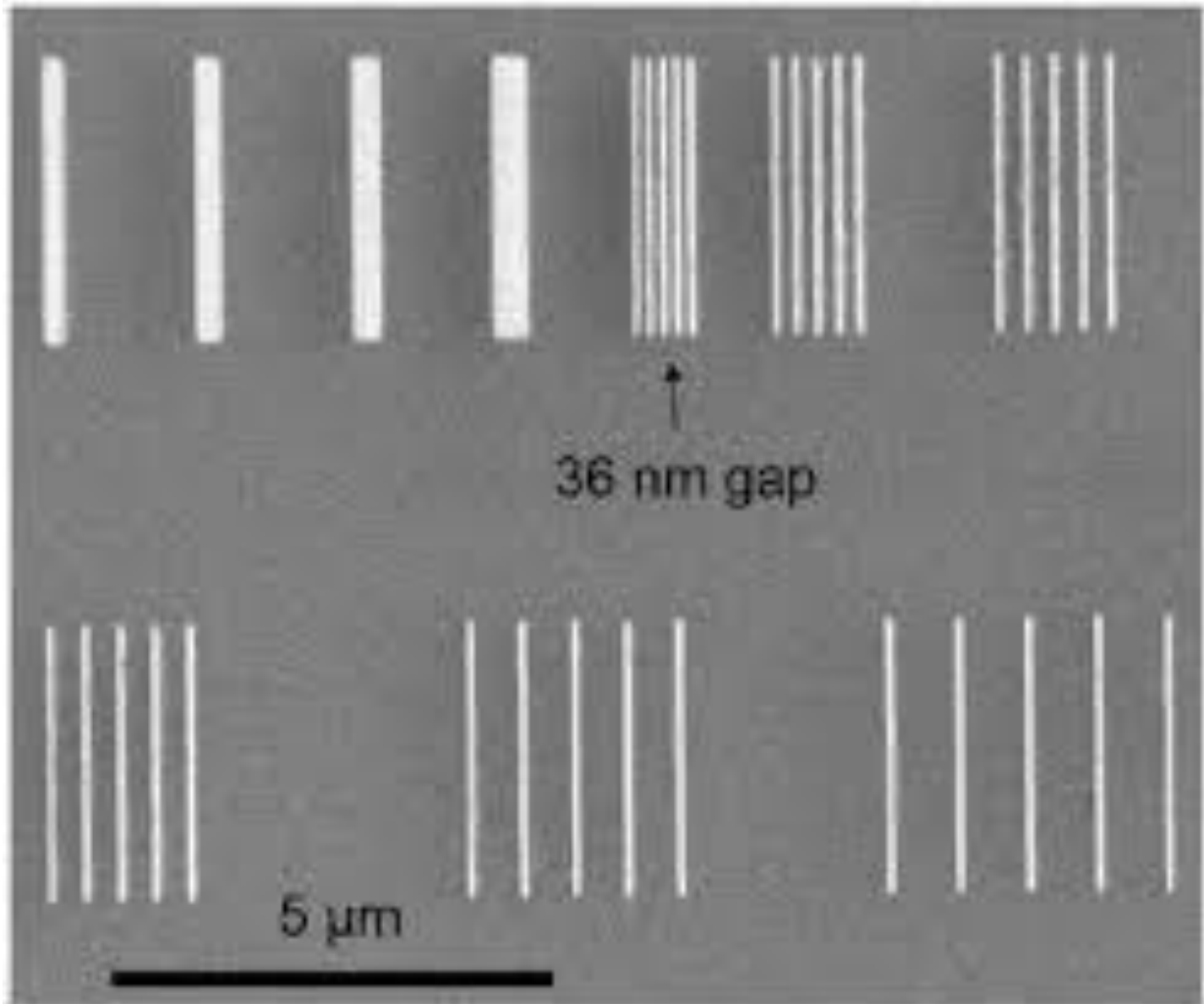


Dose depends on the  
pattern: intra-proximity

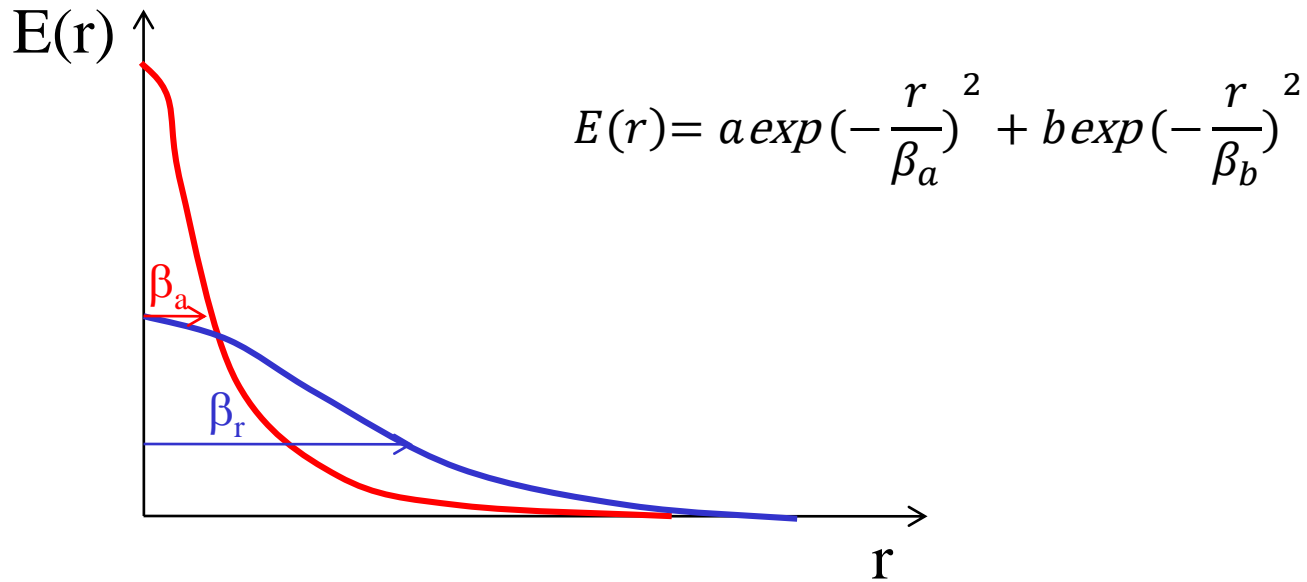


Dose depends on the surrounding of  
the pattern: inter-proximity

# Example: difficult to produce dense pattern



# Double Gaussian model



Tension kV	$\beta_a$ ( $\mu\text{m}$ )	$\beta_r$ ( $\mu\text{m}$ )
20	0.08	2
50	0.04	9
60	-	13
120	-	43

Substrate Si

$\beta_a$  forward scattering:

Depends essentially on the voltage

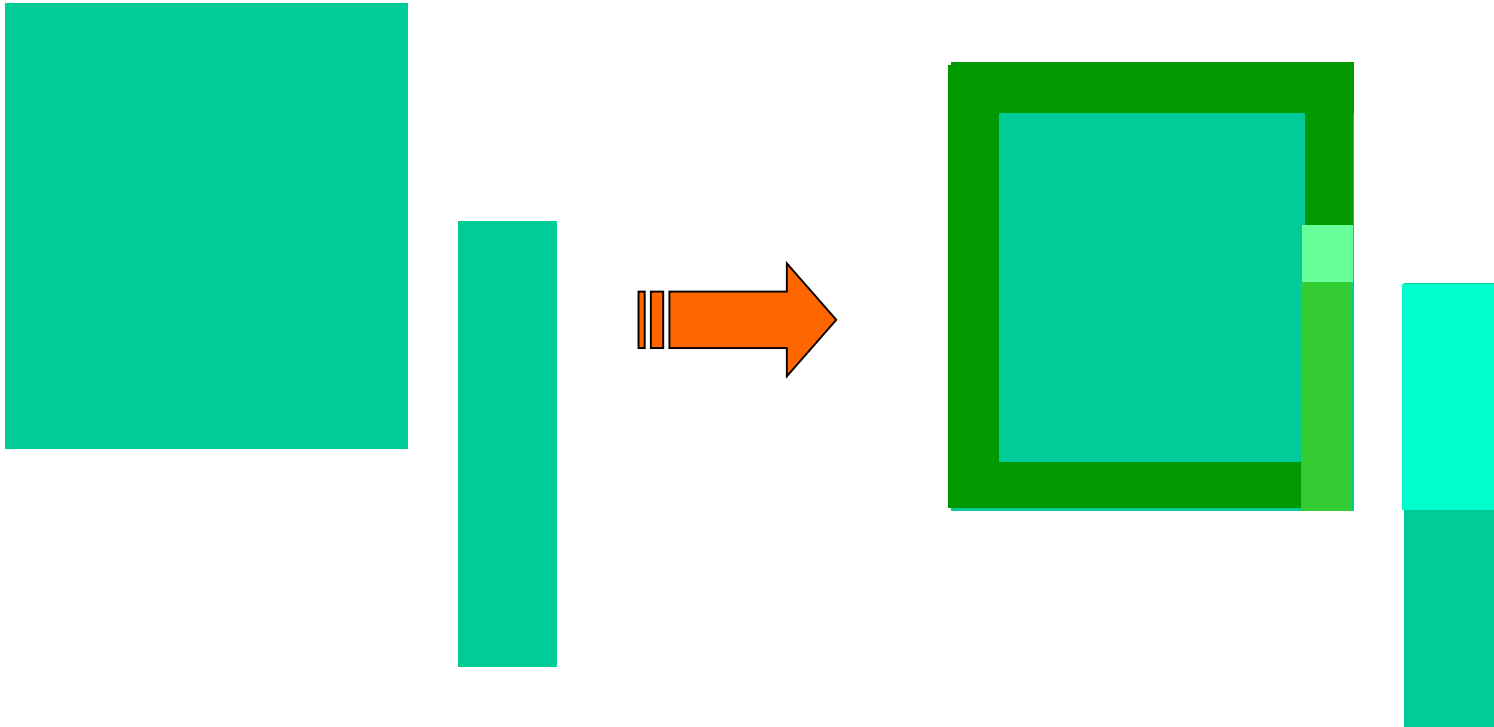
$\beta_r$  backscattering:

Depends on the voltage and the Z of the substrate

# How to fight against proximity effect

- Vary the dose at a point to take into account its surrounding
- Use very low  $Z$  substrate – multilayer technique
- Use high energy to dilute the backscattered in a large area
- Use resists only sensitive to high energies – backscattered have lost quite energy
- Write on membranes – not always possible!
- Use very low energy (no back scattering) but large forward scattering : STEM lithography

# Varying the dose

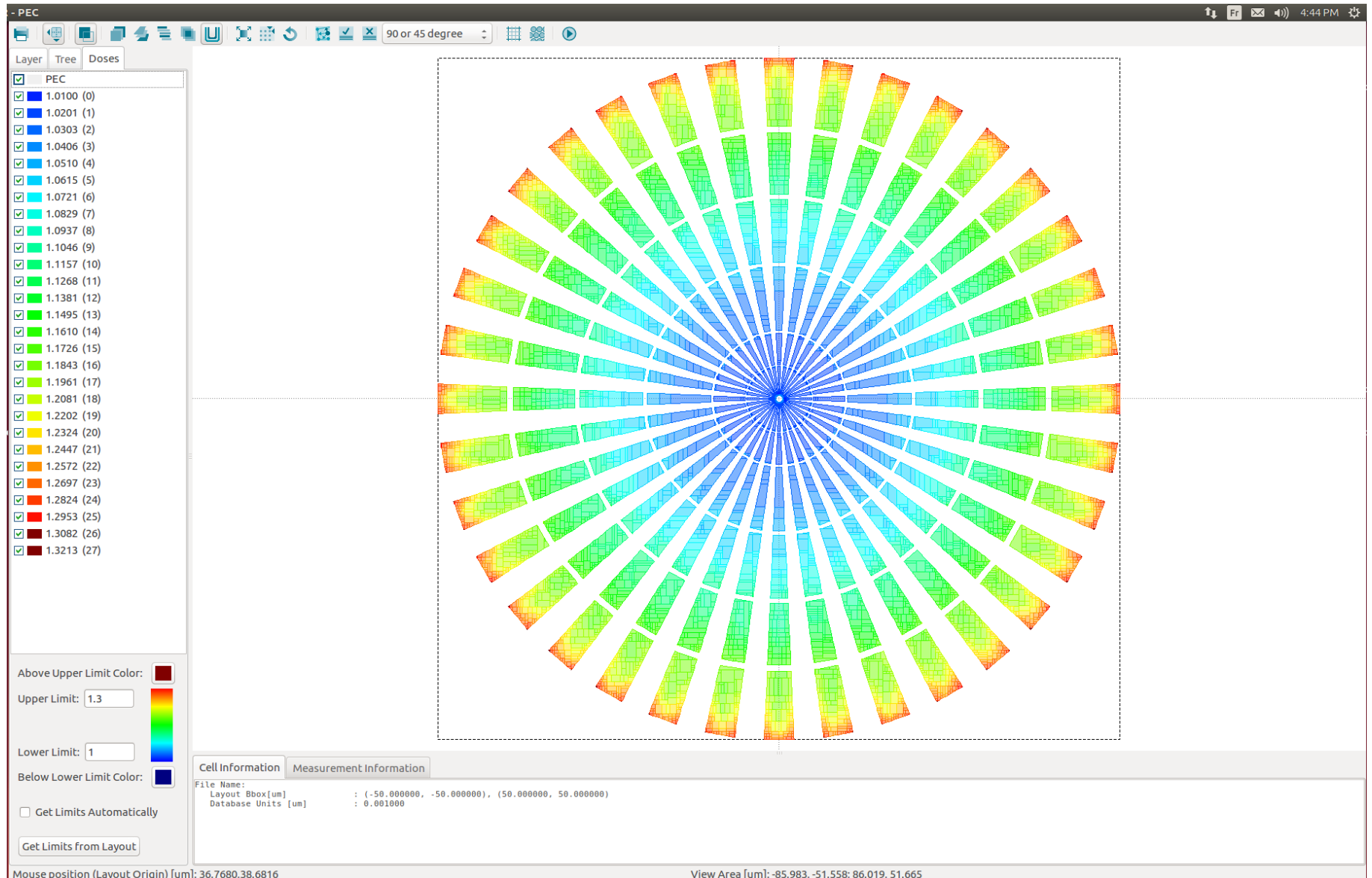


Commercial software

Not always possible( negative dose!)

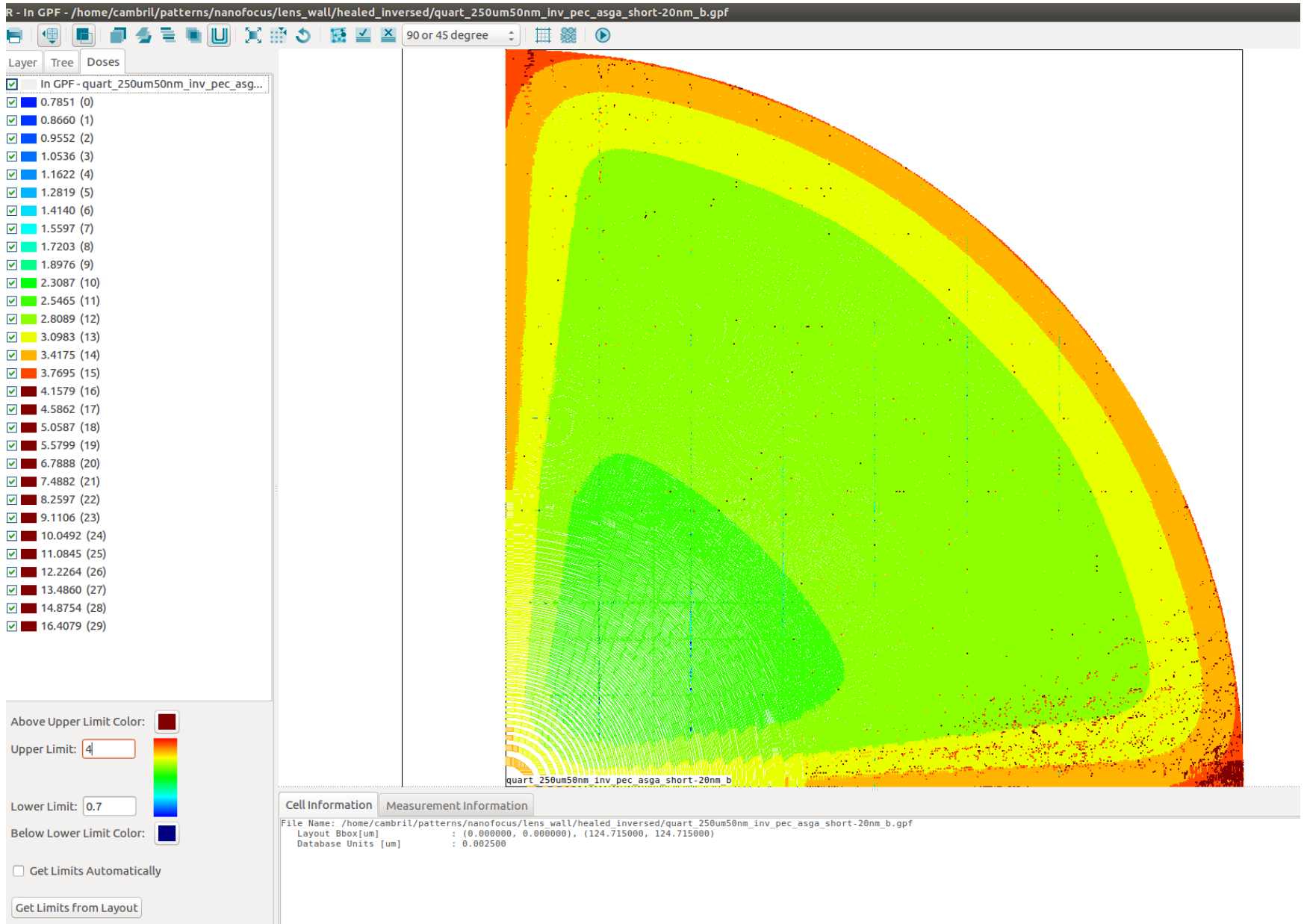
Still difficult to fabricate very dense line array

# Example of proximity effect correction

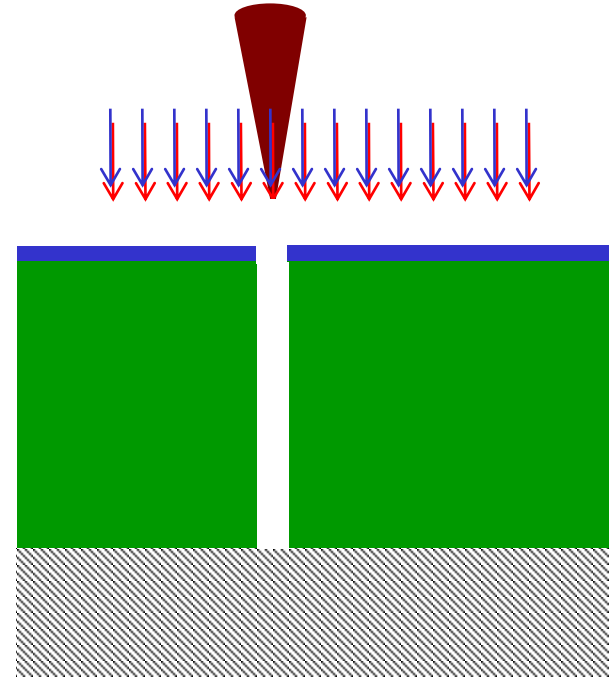
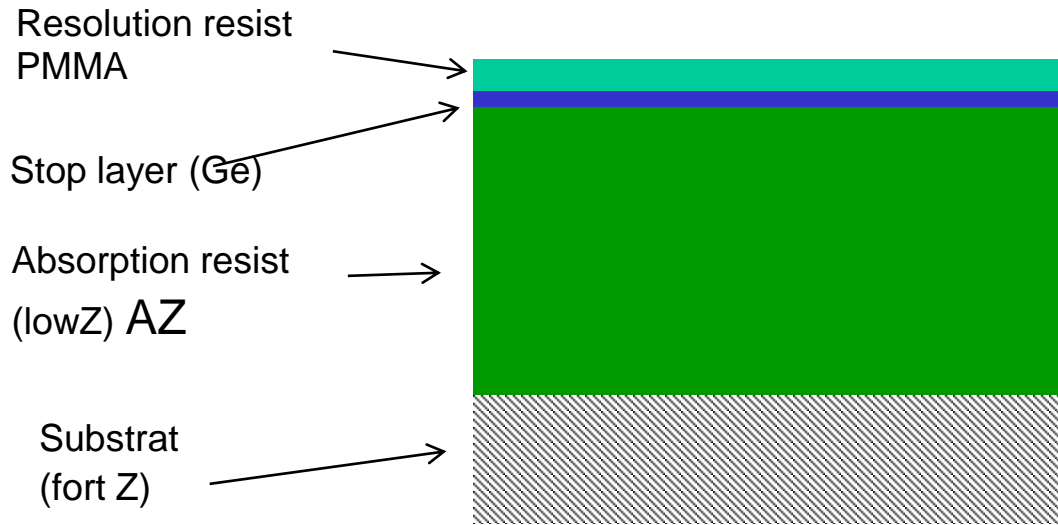




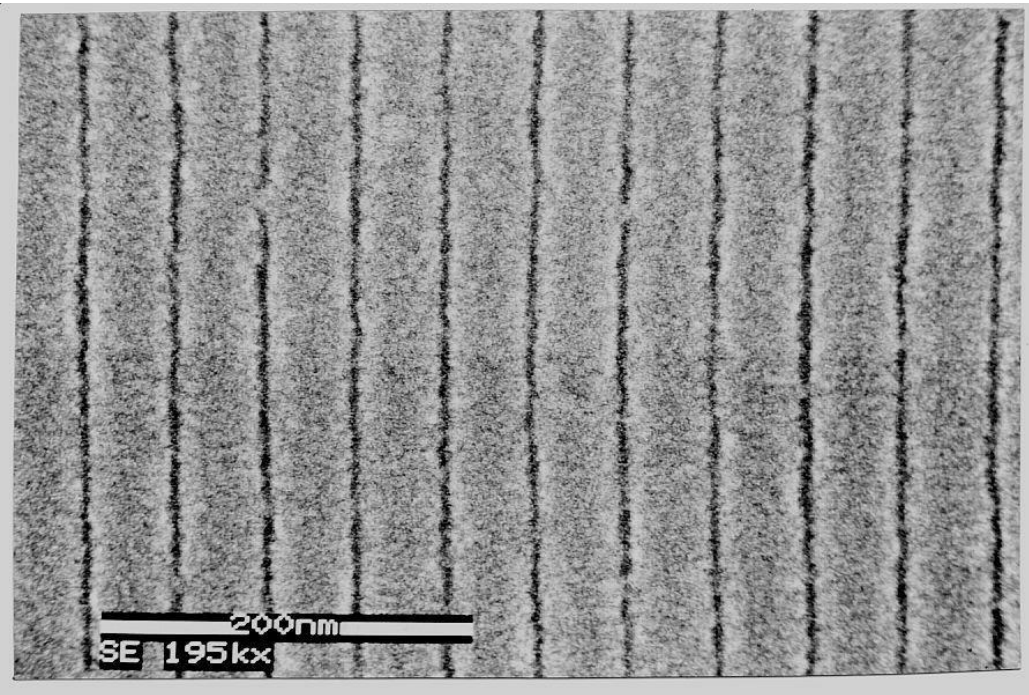
# Example of proximity effect correction



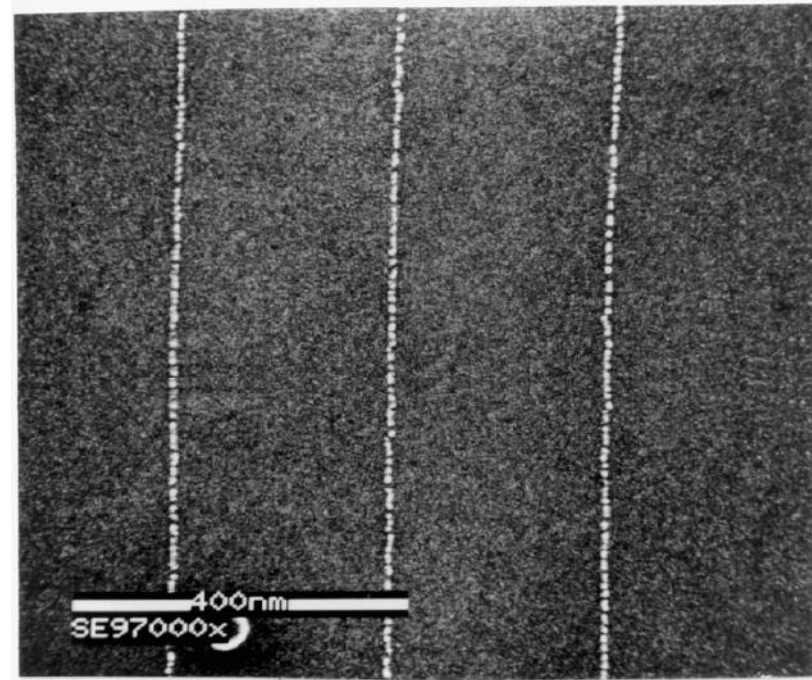
# Multilayer Techniques



# High voltage :200kV e-beam lithography on PMMA

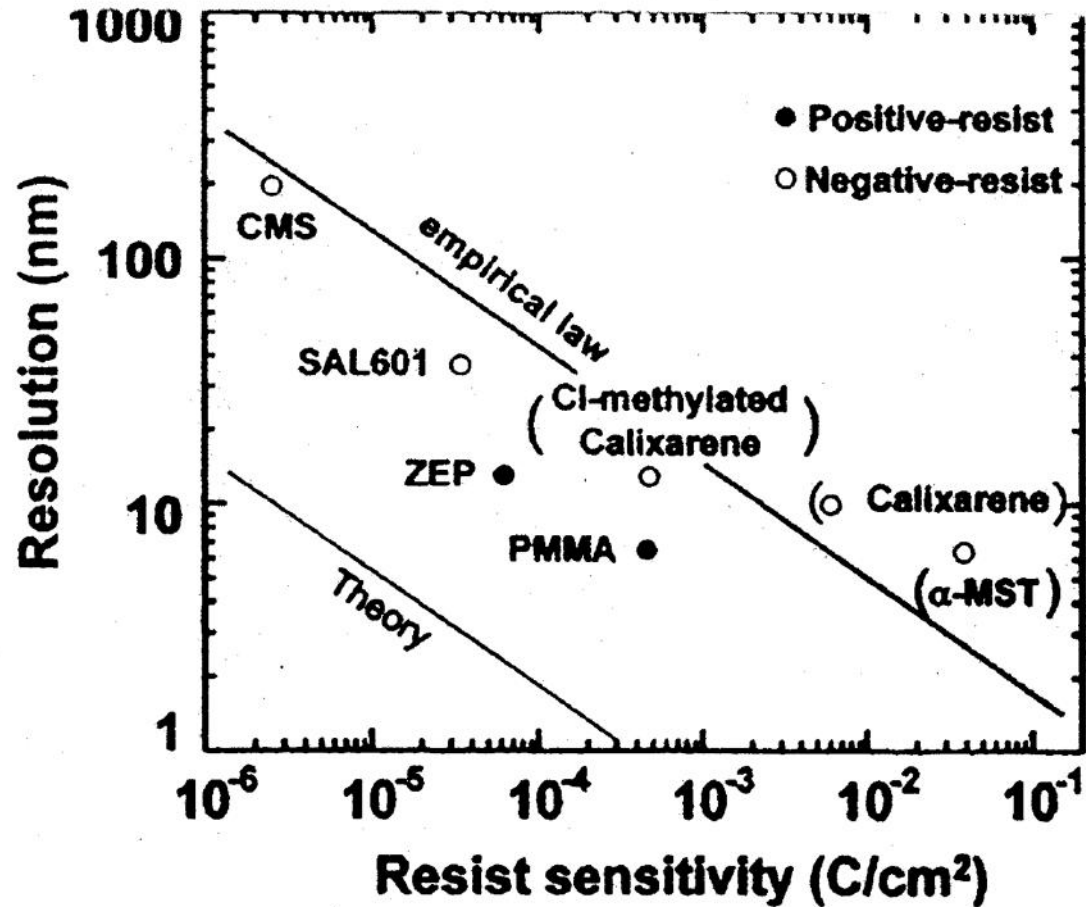


Line <10nm



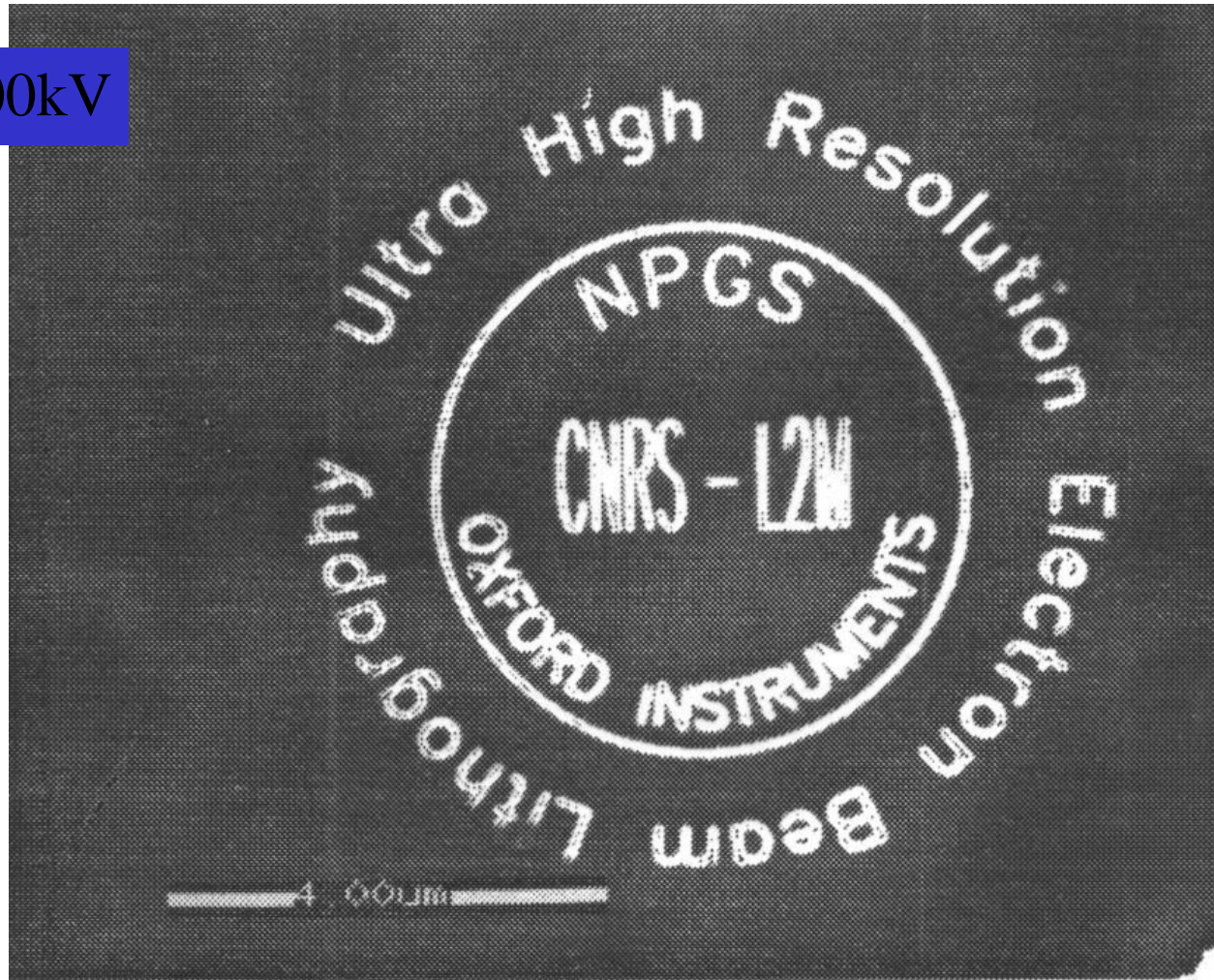
lift-off granular gold

# Organic resist resolution



# Inorganic resist

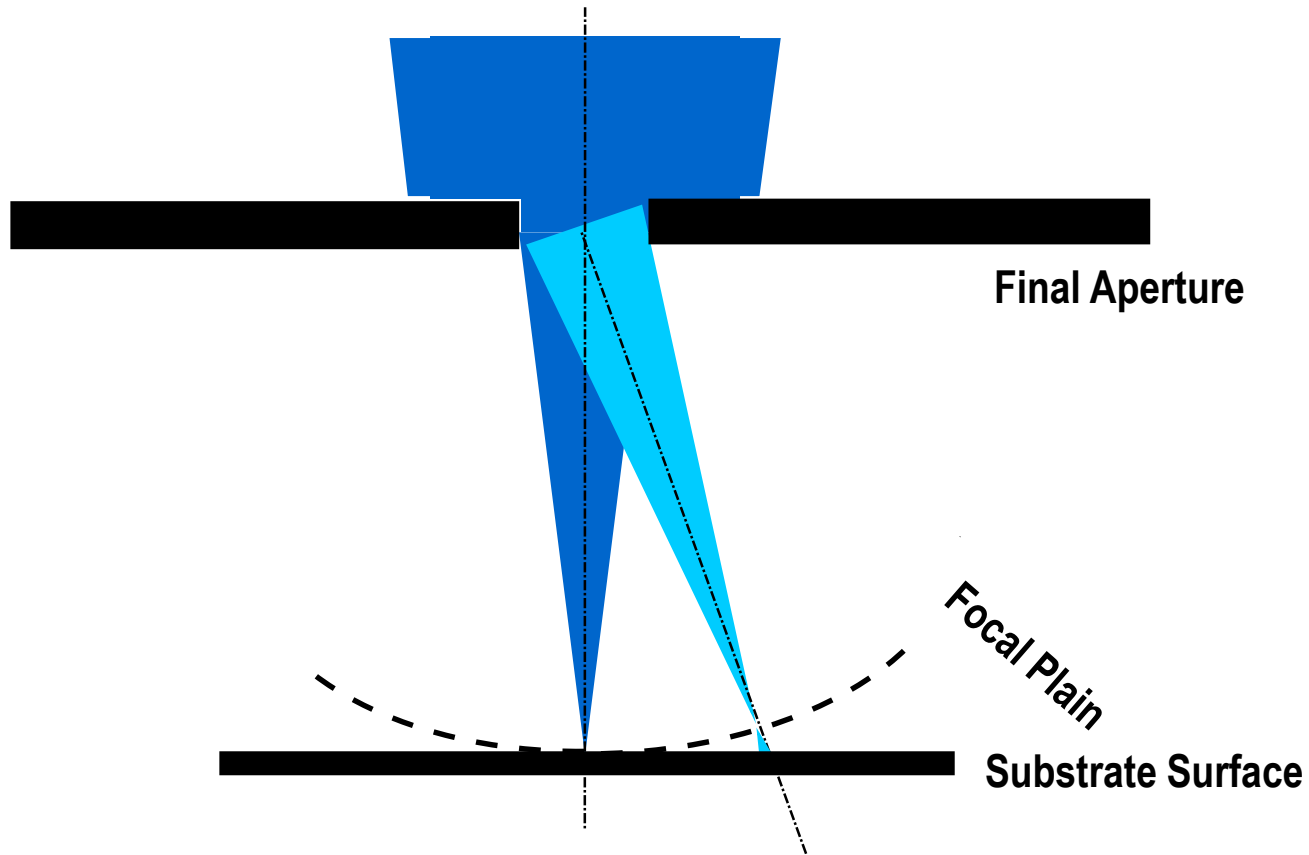
$\text{AlF}_3$  at 200kV



# Electron beam lithography

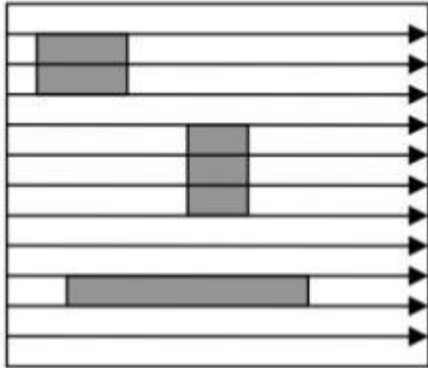
- High resolution
- No mask needed
- Slow : not compatible for microelectronics
- Intermediate cost
  - 200k€-500k€ for an SEM based equipment
  - 2M€-3M€ for an E beam writer

# Writing strategy



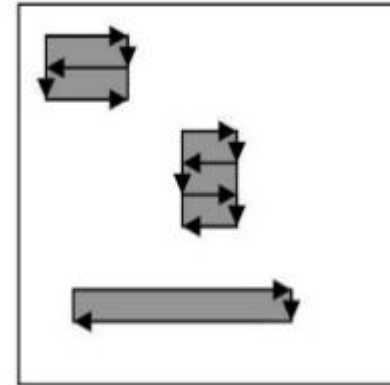
Need to divide the pattern into writing field

# Scanning methods



Raster Scan

- The whole field is scanned
- The beam is turn on and off
- Time for a field is always the same whatever is the filling factor of the pattern
- Difficult to change the dose inside a field

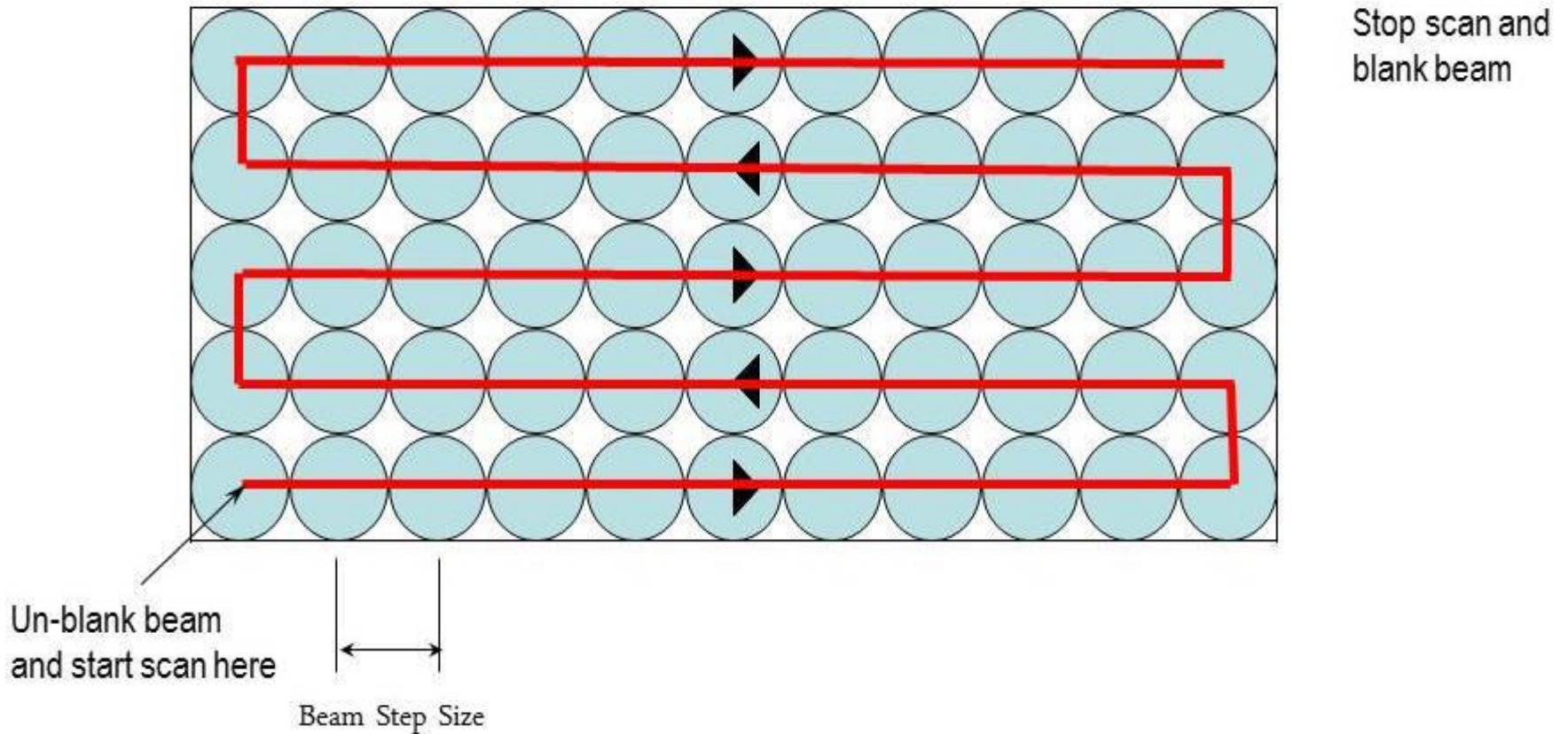


Vector Scan

- The beam scan only the patterned area
- Easy to change the dose inside a field.
- Fast if small filling factor
- Need accurate placement of the beam

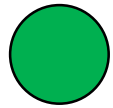


# Beam step size

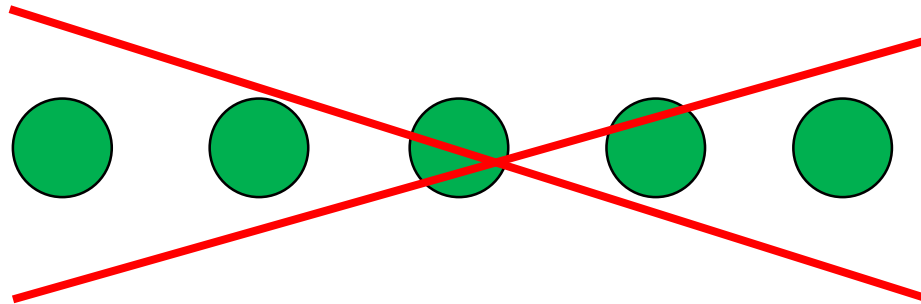
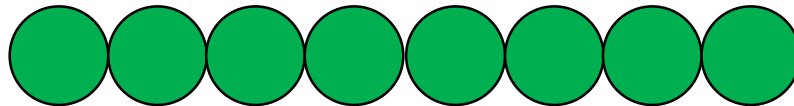
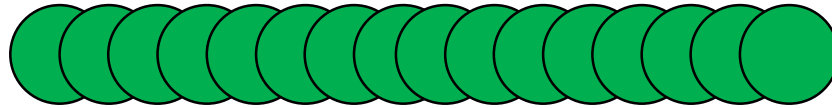


Beam step size needs to be adjust with the beam spot size

# Beam step size

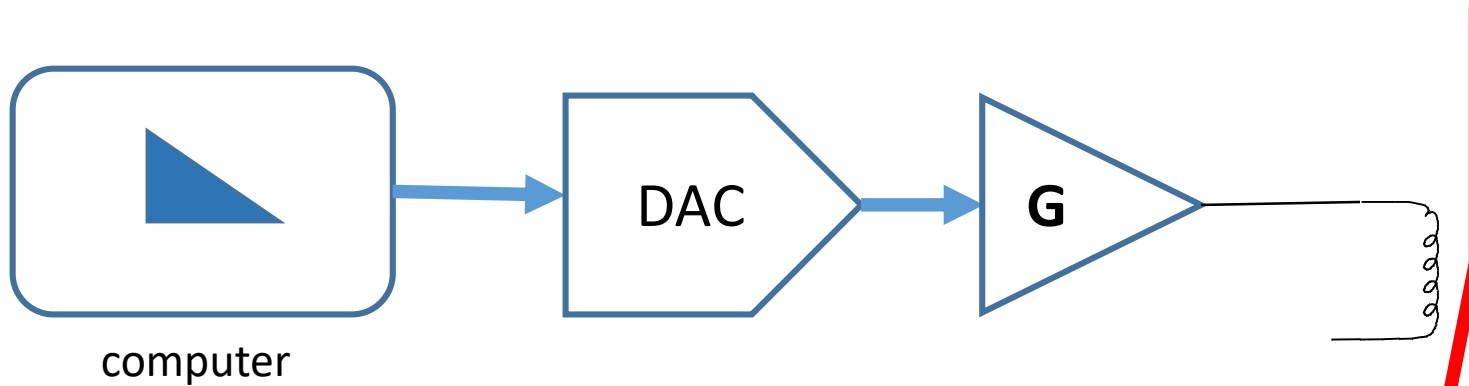


Spot size



Need also to be consistent with the maximum speed of the scanning and the minimum beam step size

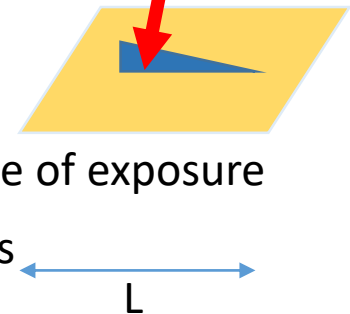
# Scanning speed and minimum beam step size



The minimum beam step size depends on the field size and the DAC size.

14bits field 100 $\mu$ m gives stepmin= 6nm

Dose  $D = \frac{It}{S}$  where  $I$ =probe current ,  $S$  (1cm<sup>2</sup>) area and  $t$  time of exposure  
 $p$ =beam step size (nm) then in 1 cm<sup>2</sup> there are  $N=(10^7/p)^2$  points



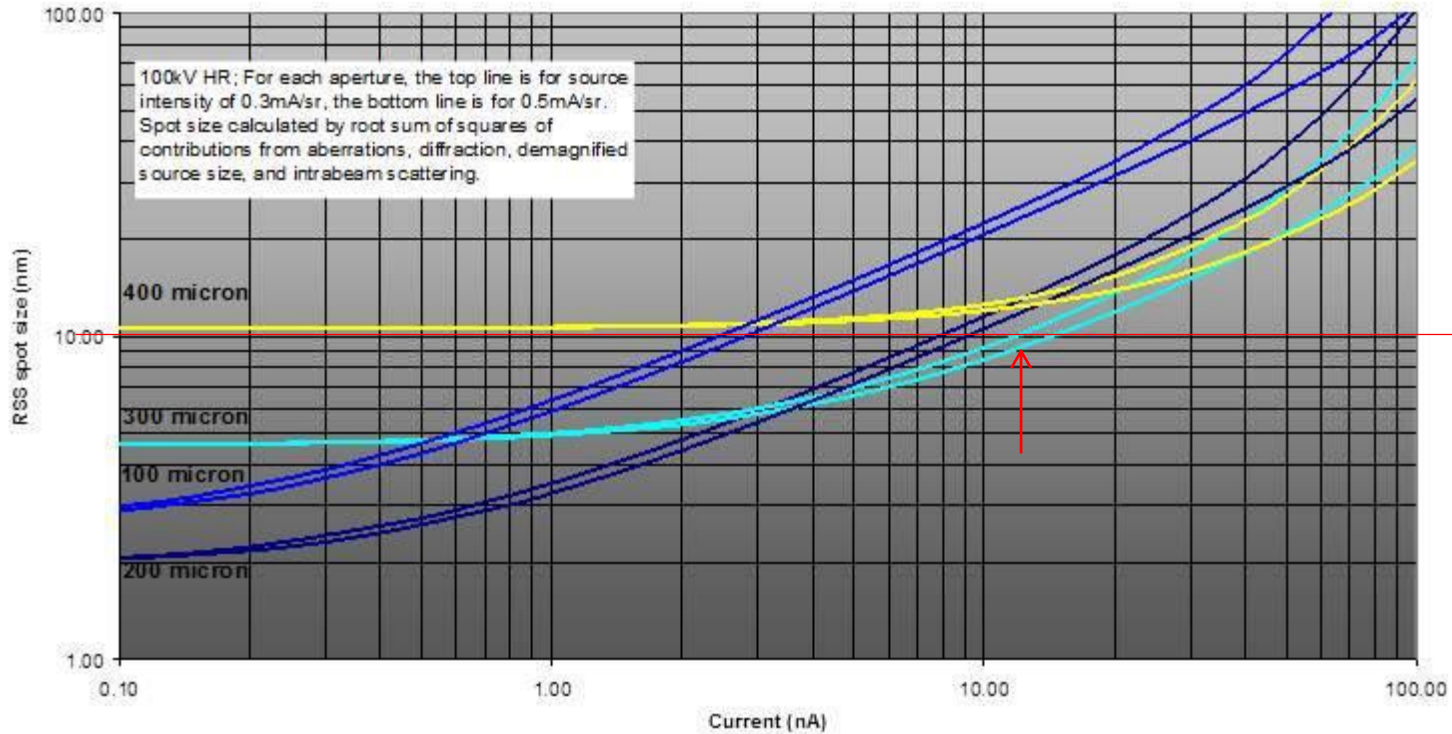
Time between two pixels:  $t = \frac{D}{I} \times \frac{p^2}{10^{14}}$  or max frequency of the DAC

$$f_{max} = \frac{I \times 10^{14}}{D \times p^2}$$

Example: 14bits DAC  $I=1$ nA  $D= 500$ uC/cm<sup>2</sup>  $p=1$ nm  $f_{max} = 200$ MHz!

# Choosing writing parameters

EBPG/HR on axis spot size against current 100kV



First one chooses a spot size according to the minimum feature of the pattern

This will fix a maximum beam step size

Then one chooses the highest current in order to short the writing time keeping the DAC frequency below the max.

Example  $D=500 \mu\text{C}/\text{cm}^2$  Line 100nm  $\rightarrow$  spot 10nm  $\rightarrow$  beam step 5nm

Diaph 300  $I=12\text{nA}$   $\rightarrow$  freq = 96MHz

Diaph 400  $I=2,5\text{nA}$   $\rightarrow$  freq = 20MHz or idem with beam step 8nm freq = 7,8MHz

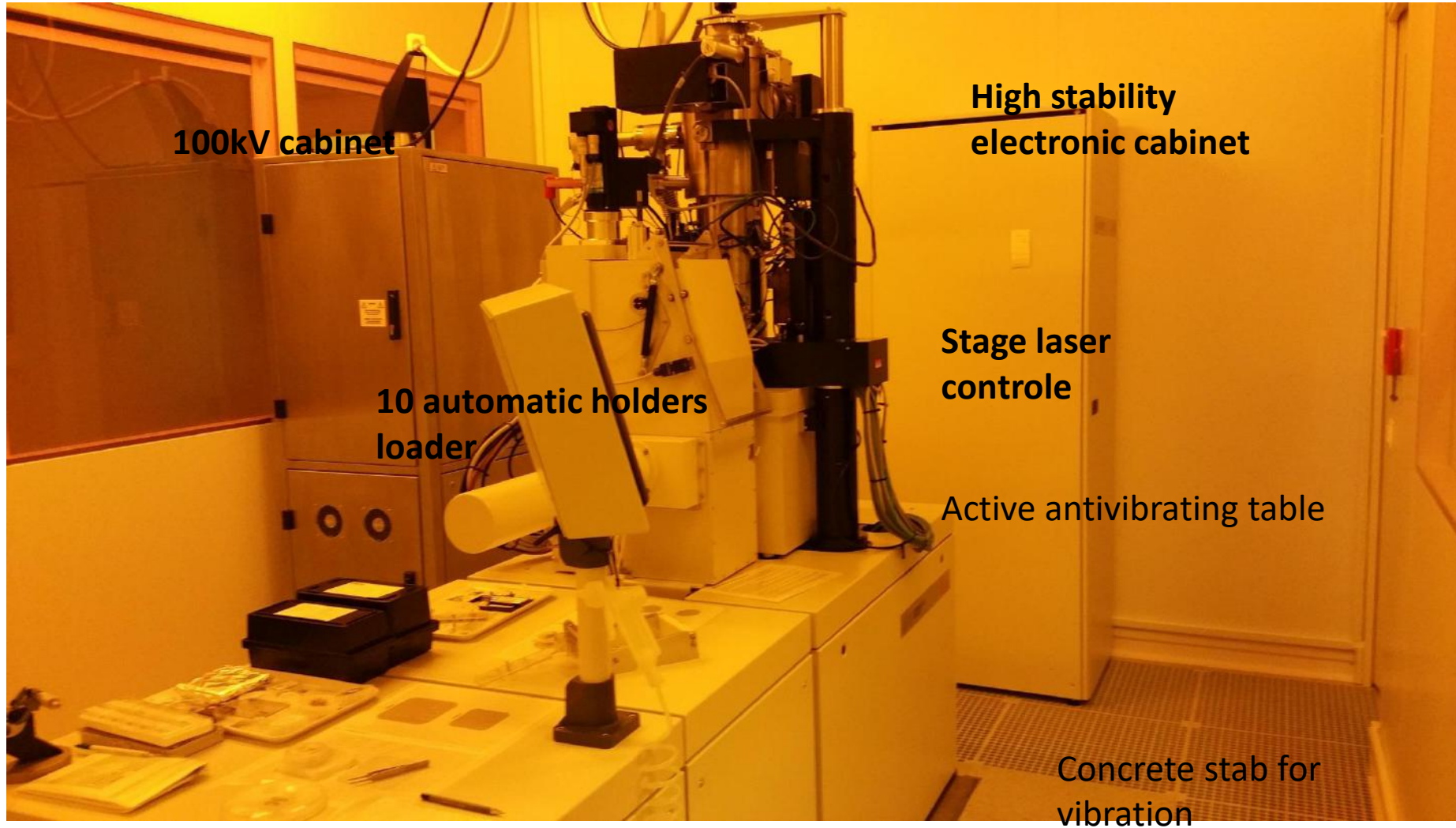
# SEM based writer



- Low voltage system 30kV
- Need a dedicated scan generator
- Need to add a beam blanking
- One field writing
- High inductance coil :
  - Hysteresis
  - Dwell time stabilisation
  - Low speed
- Thermal and mechanical stability (enough to make a picture)
- Field distortions
- Stability of the DC amplifiers (drift, temperature)

cost: 400k€ to 1M€ if more dedicated equipment

# The e-beam writer





Clean room class 10

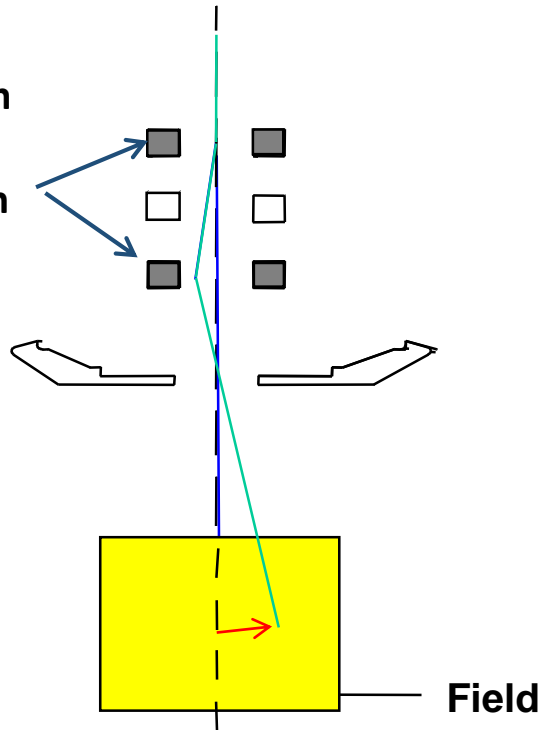
$\Delta T = 0.1^{\circ}\text{C}$

Cost : 2M€ to 3M€

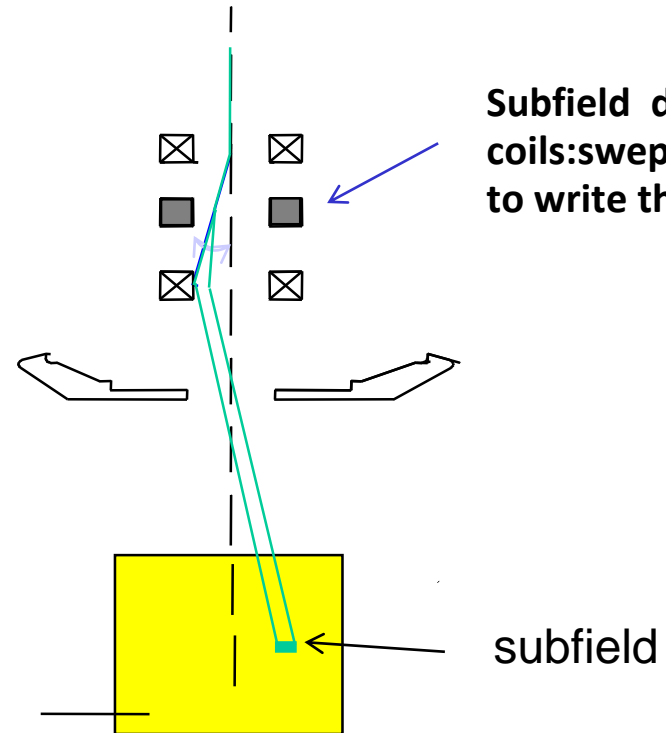
Maintenance of an equipment  $\sim 7\%$  of the price/year

# Writing strategy

**Main field deflection coils: place the spot in a given position in the field. Beam blanked**



**Subfield deflection coils: sweep the beam to write the pattern**



Main DAC doesn't need to be fast one can use a high accuracy DAC 24bits  
Subfield small doesn't need large DAC but fast one : 14bits 50MHz  
Small subfield → small current in coils → small hysteresis, no heating

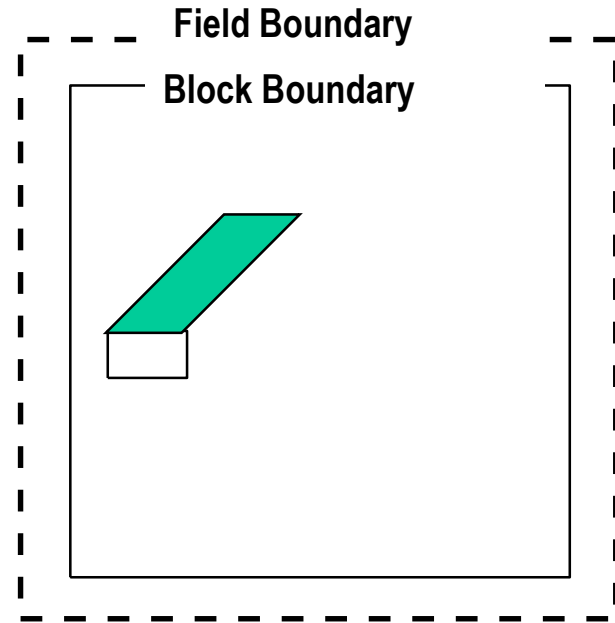
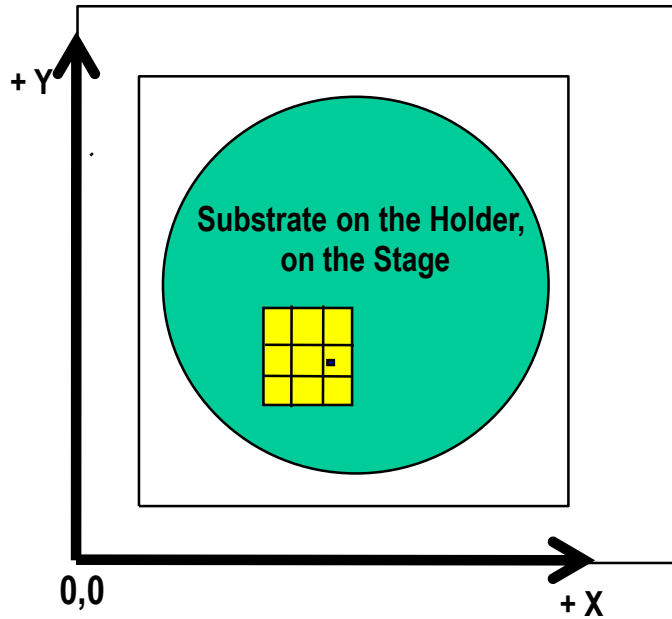
Typical size:

Field :  $300\mu\text{m} \times 300\mu\text{m}$  → 1mmx1mm mechanical displacement from field to field

Subfield :  $2\mu\text{m} \times 2\mu\text{m}$  →  $10\mu\text{m} \times 10\mu\text{m}$  depending on beam step size



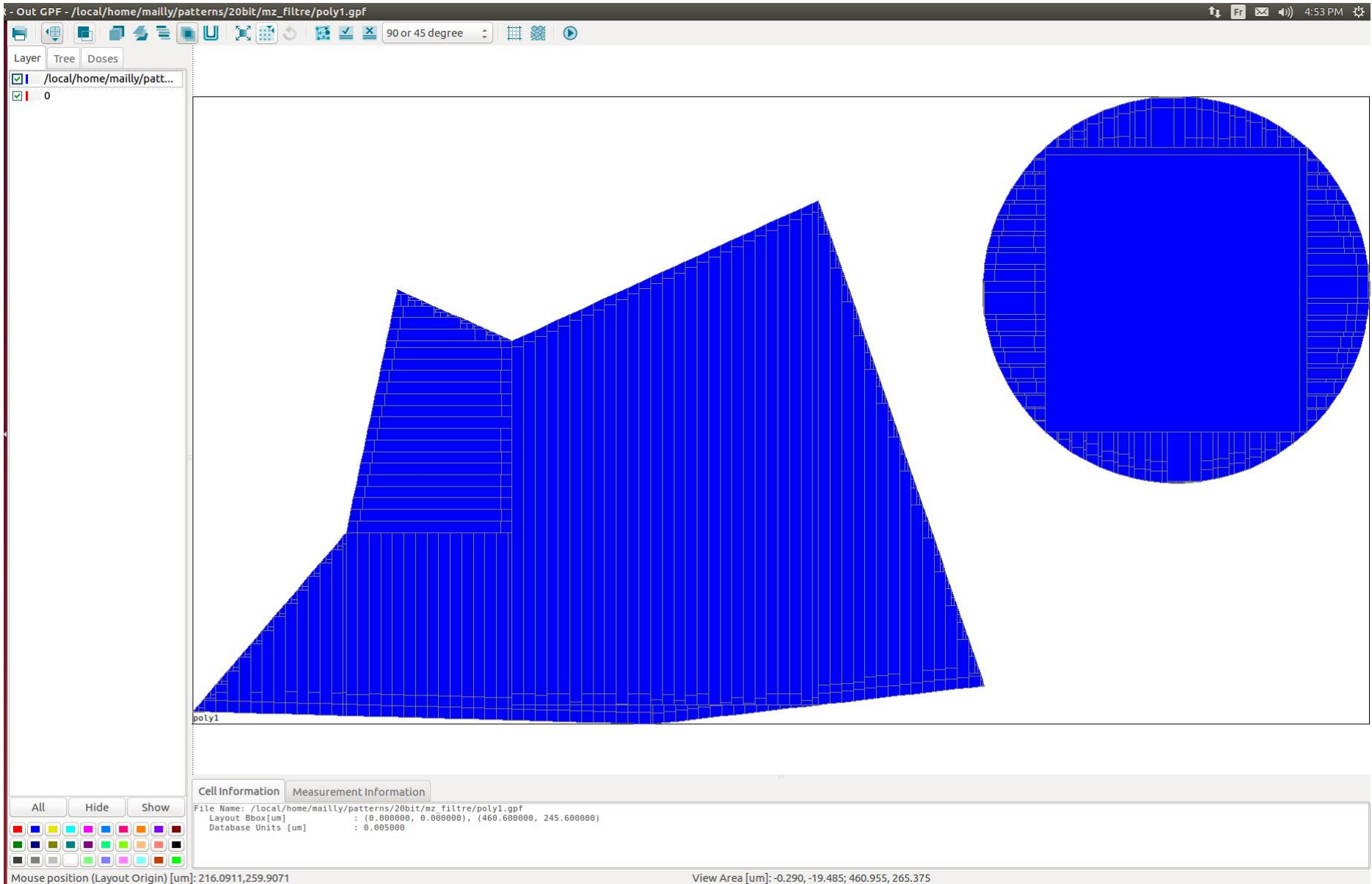
# Writing strategy



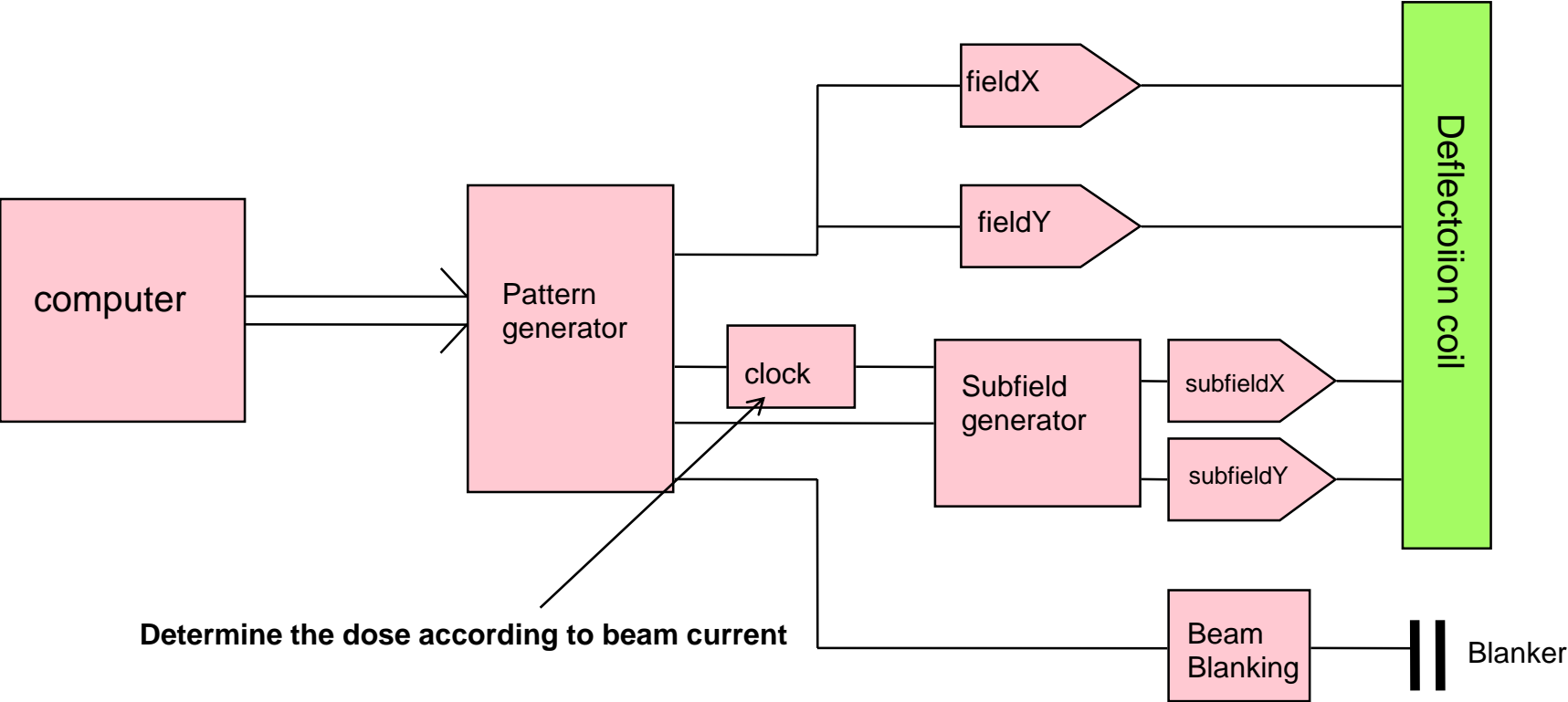
## Trapezia

- Positioned by main deflection
- Written by Trapezia Scan

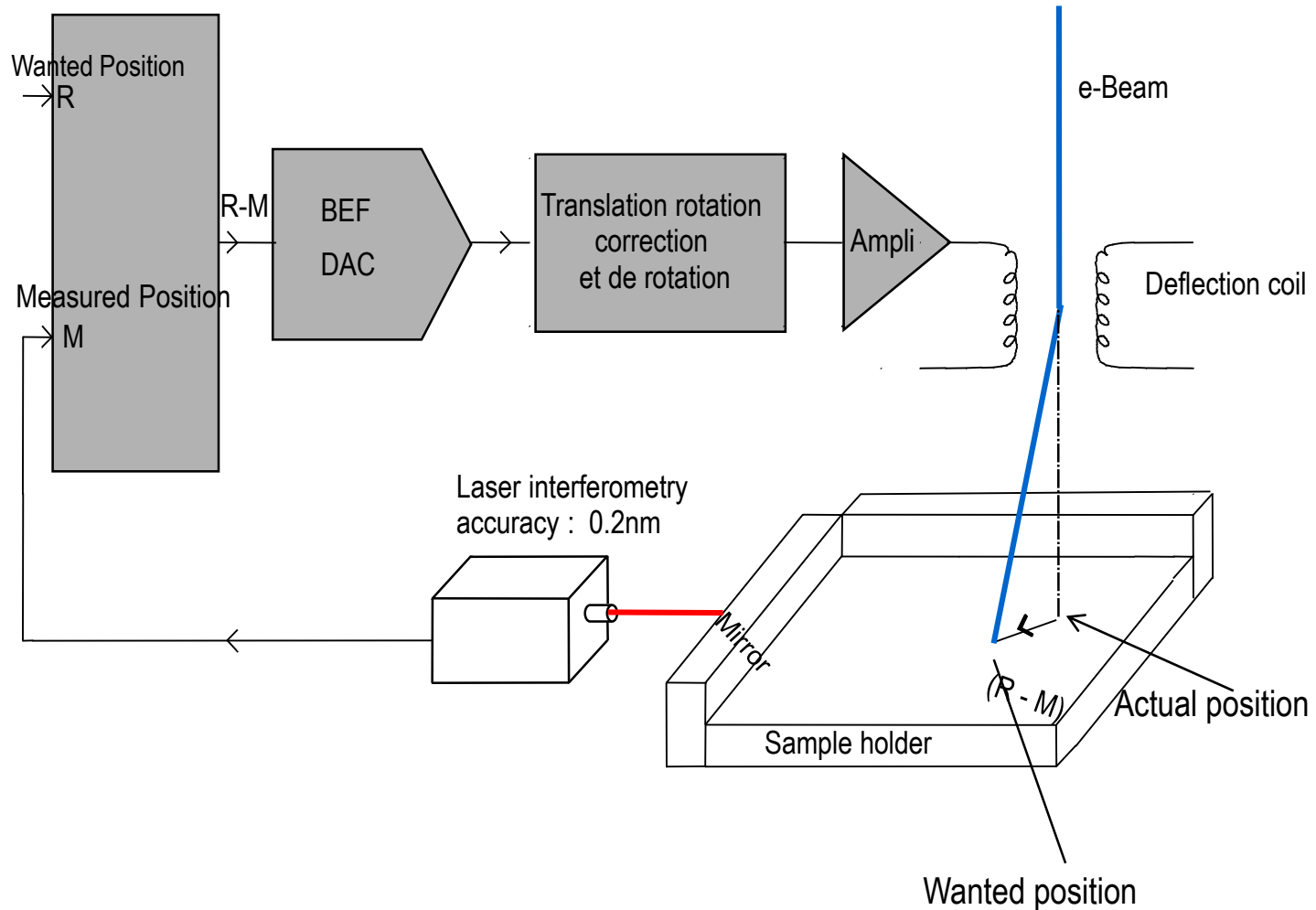
# Exemple of subfield fractionning



# schematic

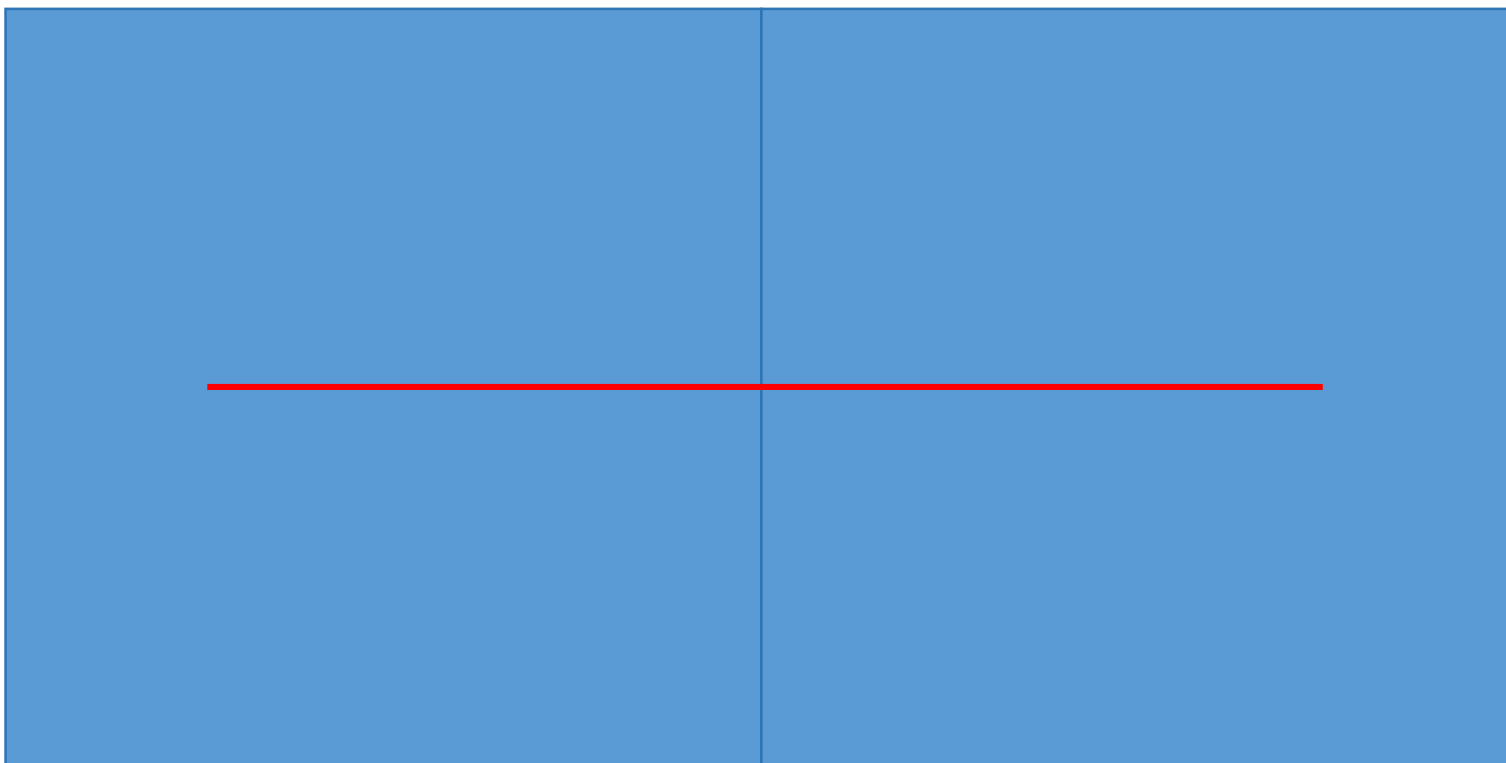


## Sample displacement : stitching

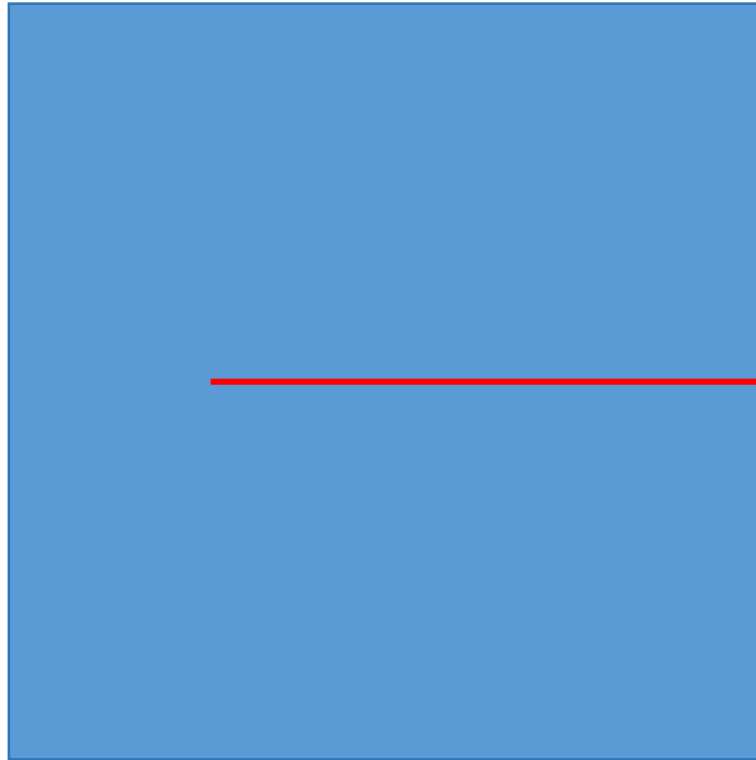


One does not control the displacement of the motors but correct the error by the beam deflection : conserve a very fast displacement.

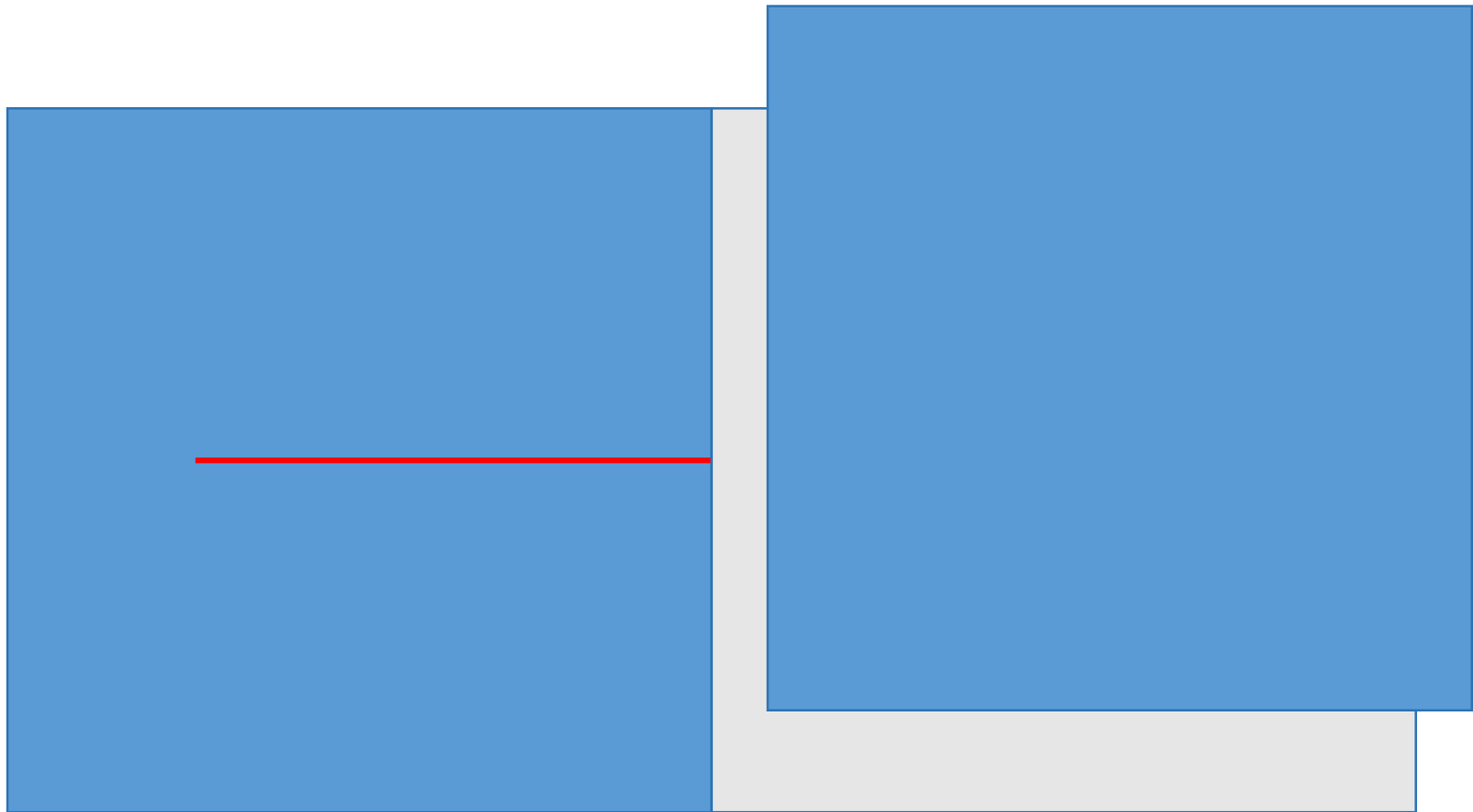
# Example a line over two fields



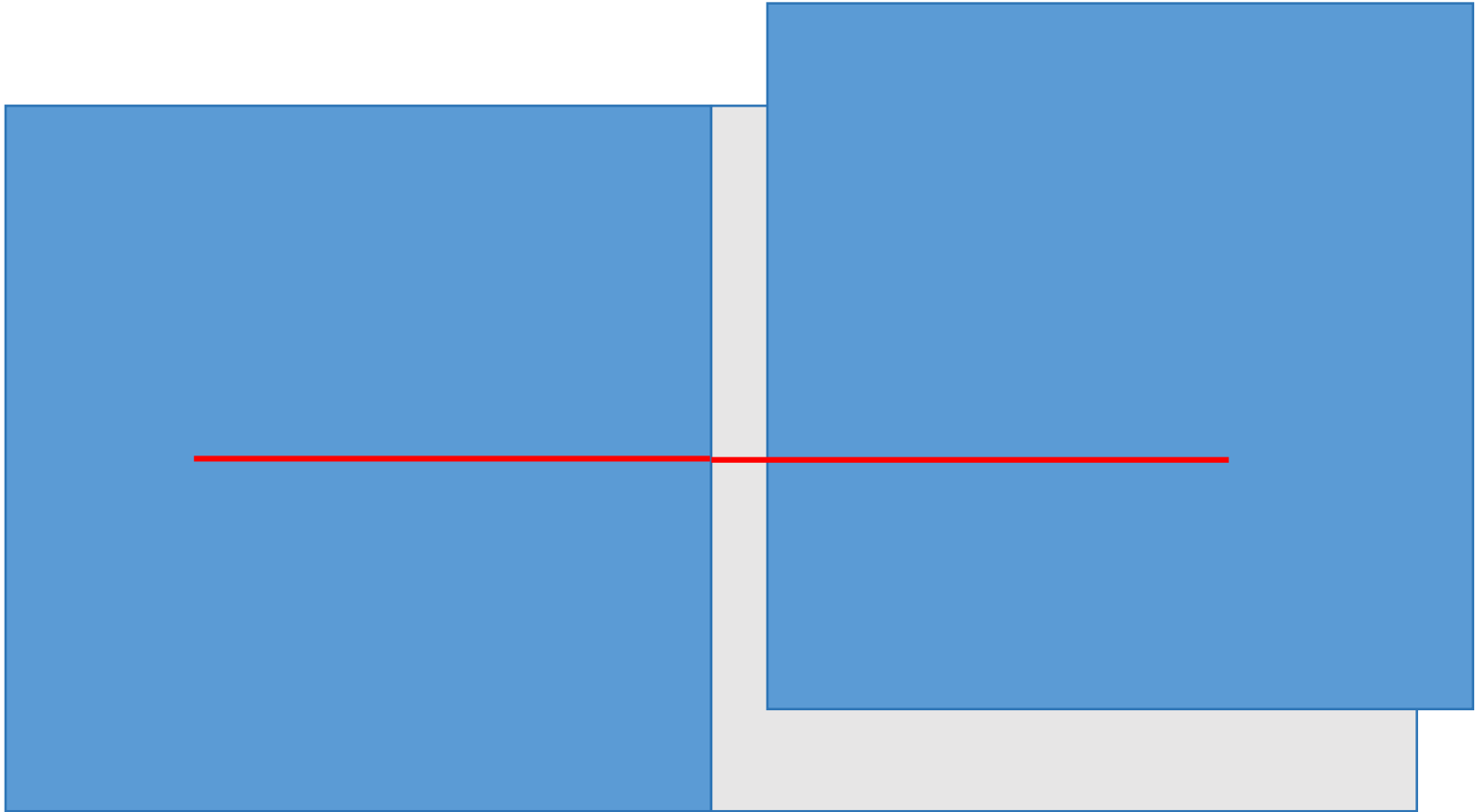
# First field



## Second field no correction

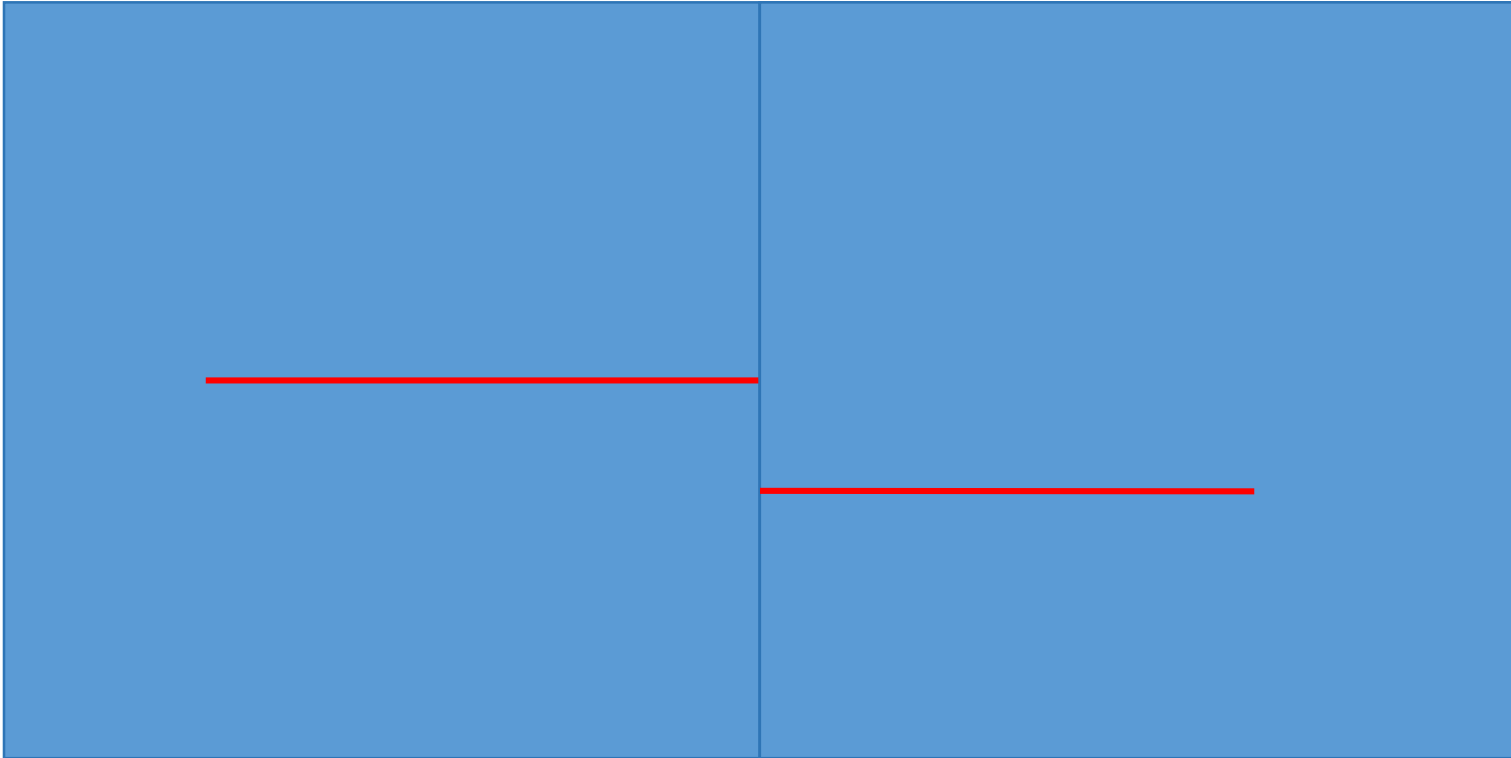


## Second field no correction





# Second field no correction

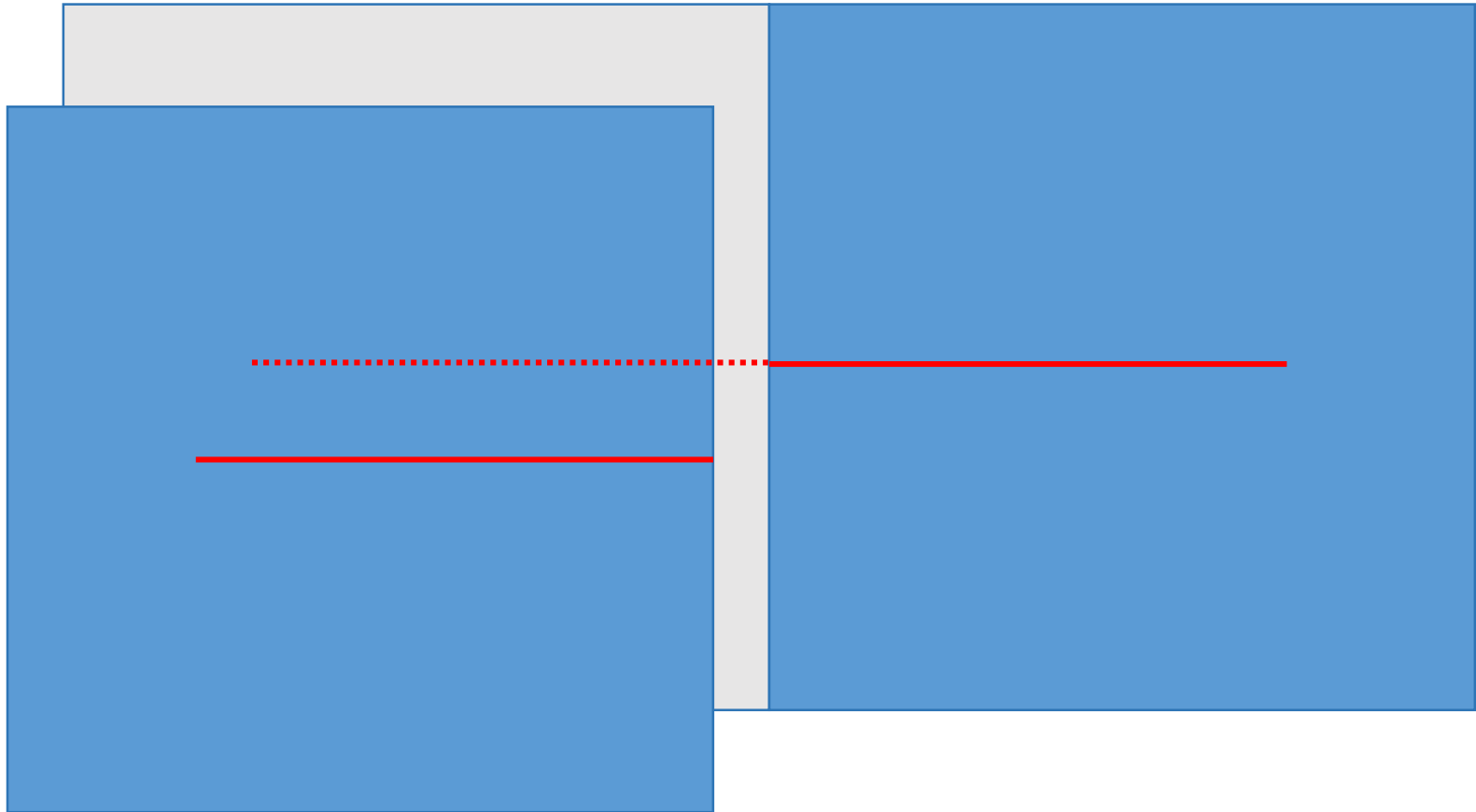


# Second field no correction

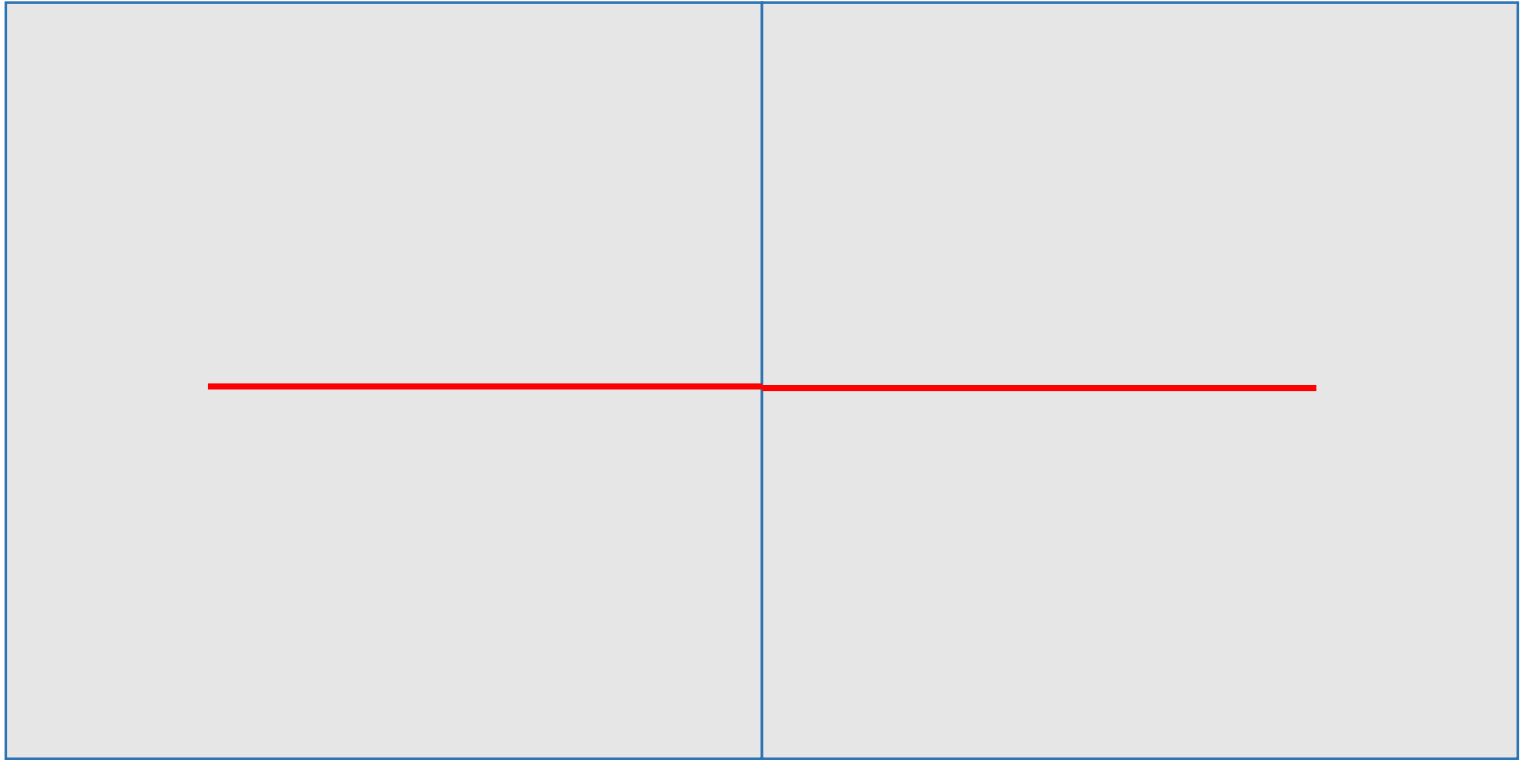
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# Second field beam correction



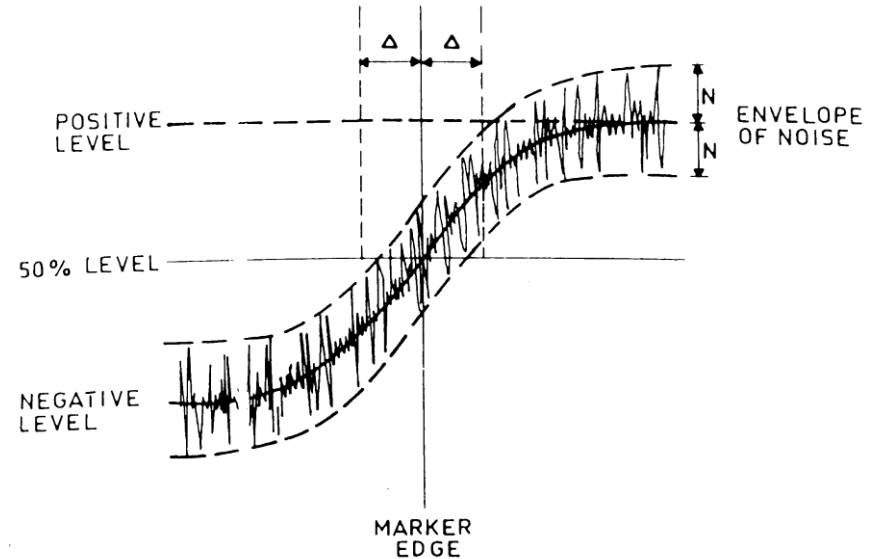
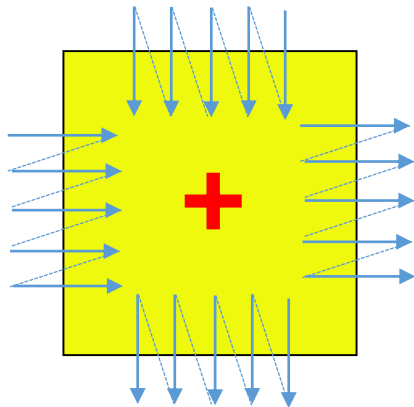
## Second field with beam correction



Stiching accuracy is 20nm at  $3\sigma$

# Mark registration

Mark detection by scanning the beam

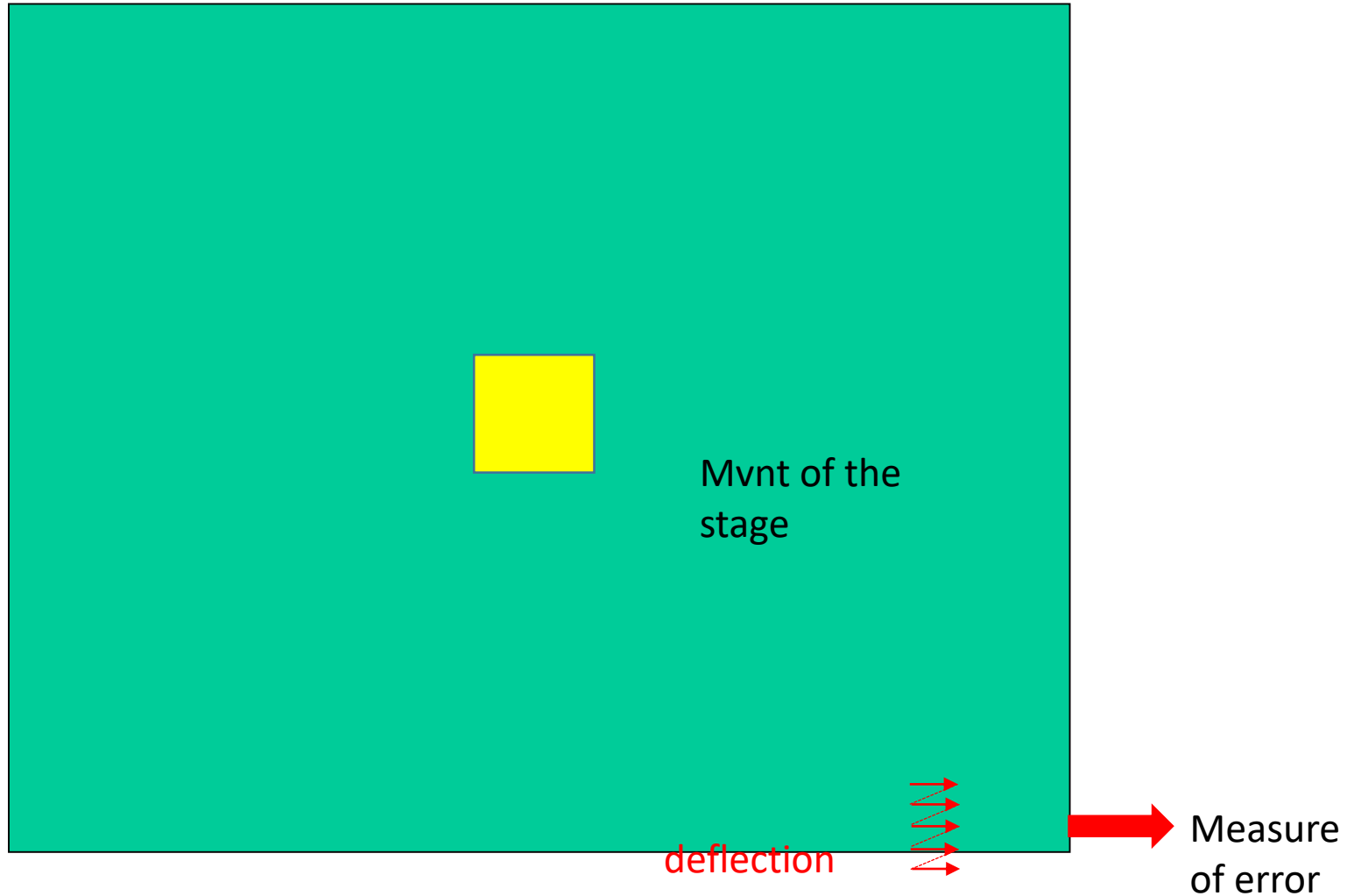


Signal on the SE detector

Allows to precisely determine the center of the mark

Will be used to align two layers

# Deflection calibration



# Deflection calibration

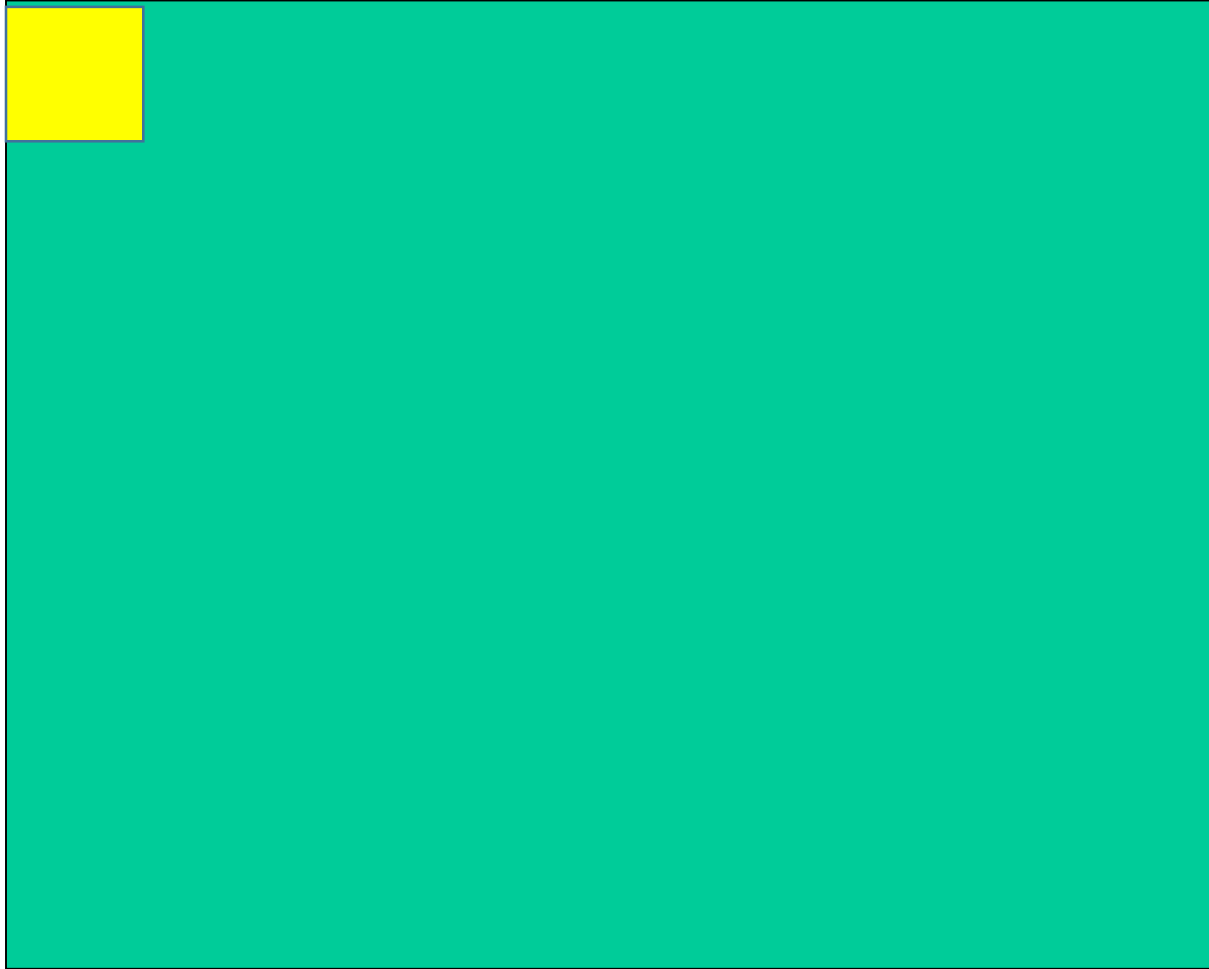


# Deflection calibration



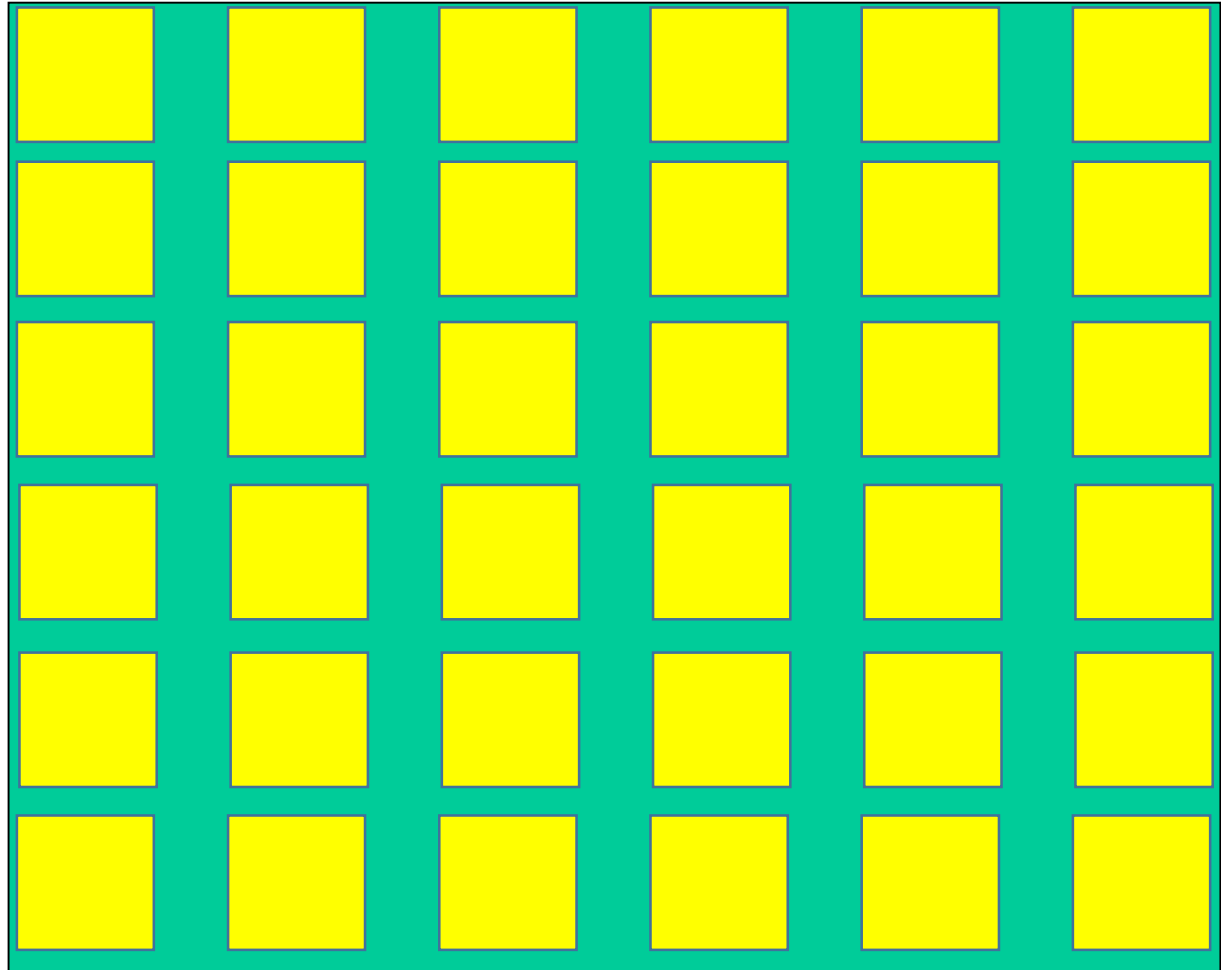


# Deflection calibration



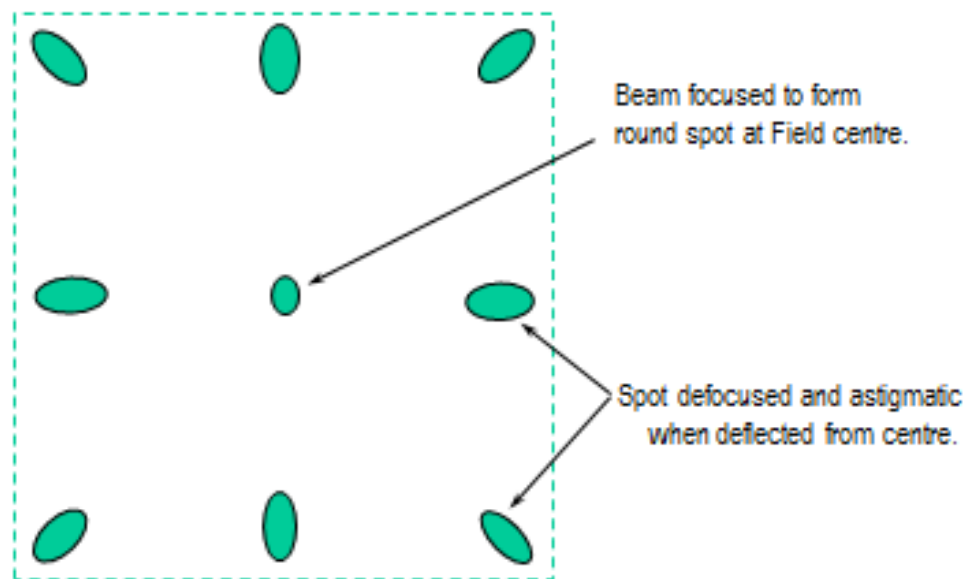
Allows a precise calibration of the deflection amplifiers for gain and rotation

# Distorsion correction



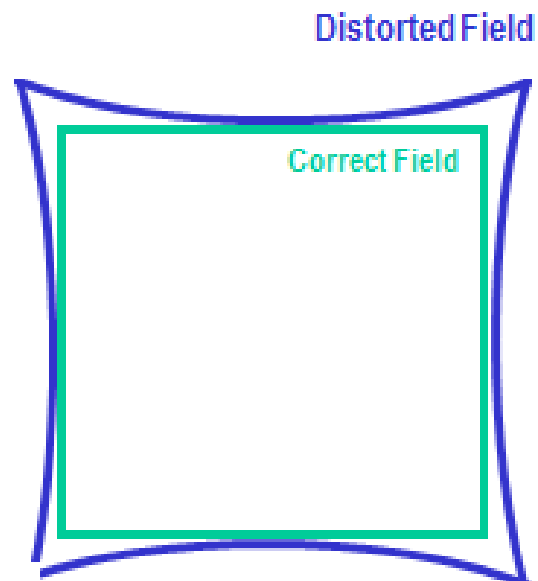
Allows to correct the distorsion in each part of the field (spherical aberrations)

## Effect of Beam Deflection on the Focus

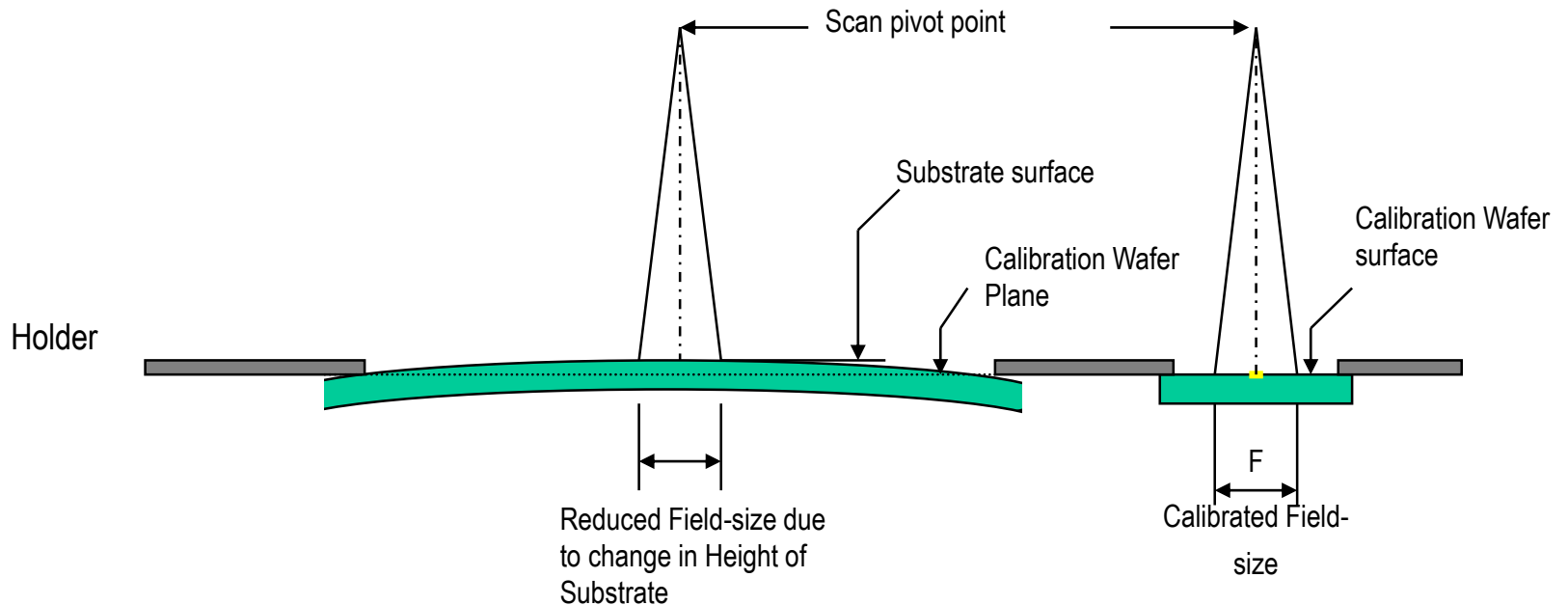


# Field Distortion caused by Beam Deflection

Field distortion is removed by applying corrections to the Main and Subfield scanning system



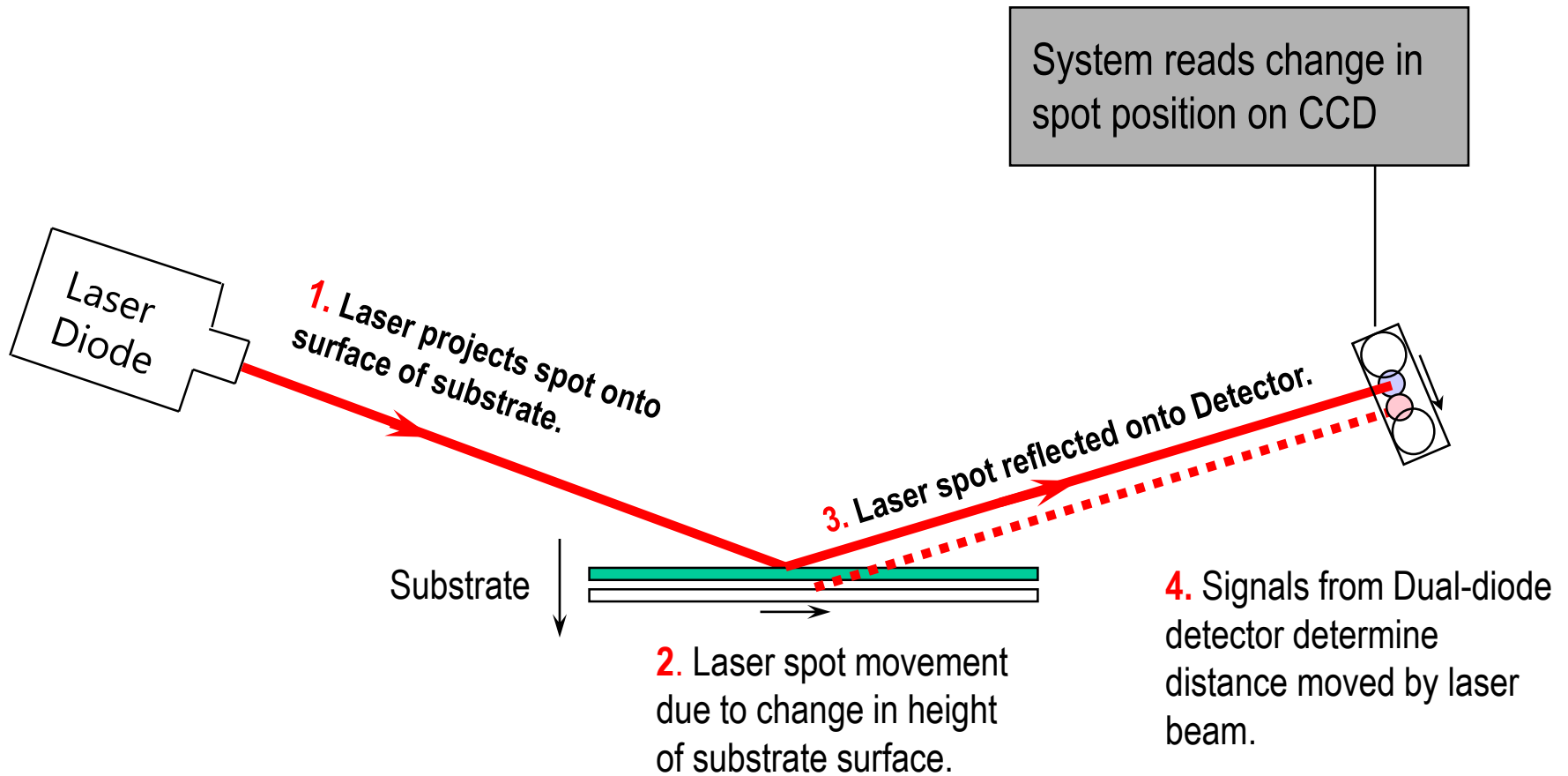
# Effects of Substrate Height Variation



Changes in Substrate surface height relative to plane of the Calibration wafer result in

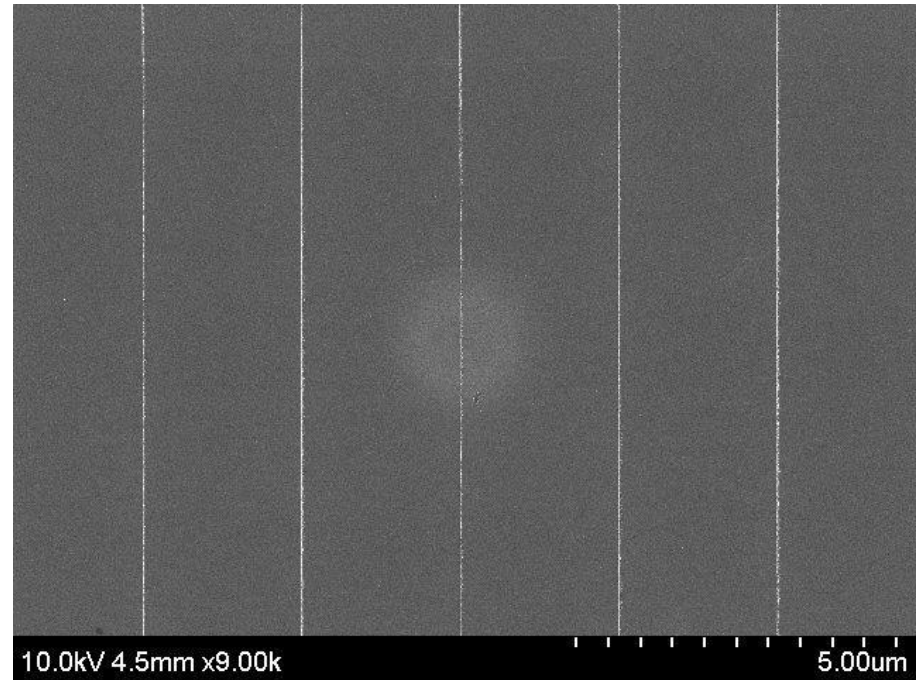
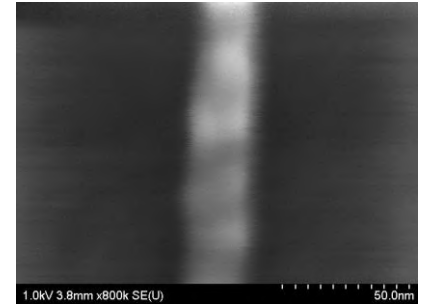
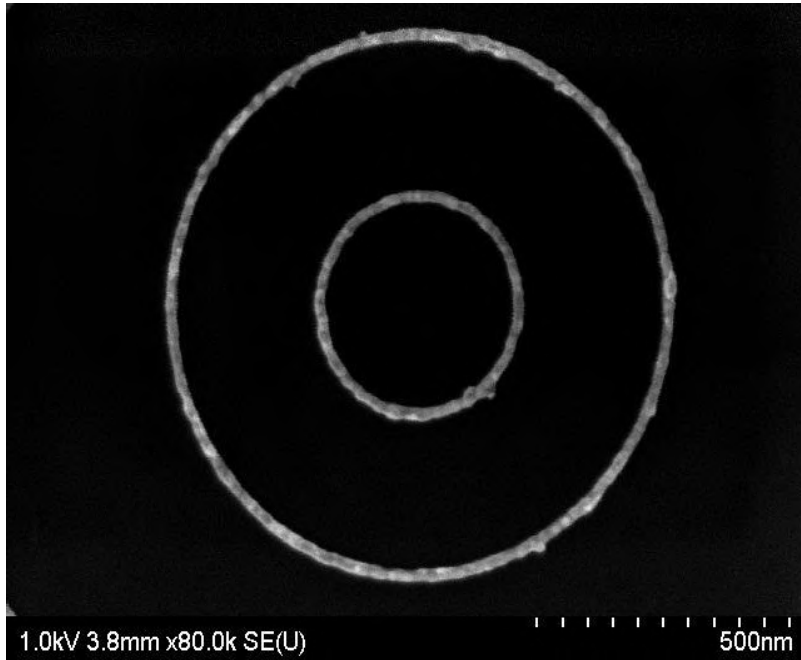
- Incorrect Field/Block/trapezia size
- Defocused beam

# Principles of Laser Height Sensor

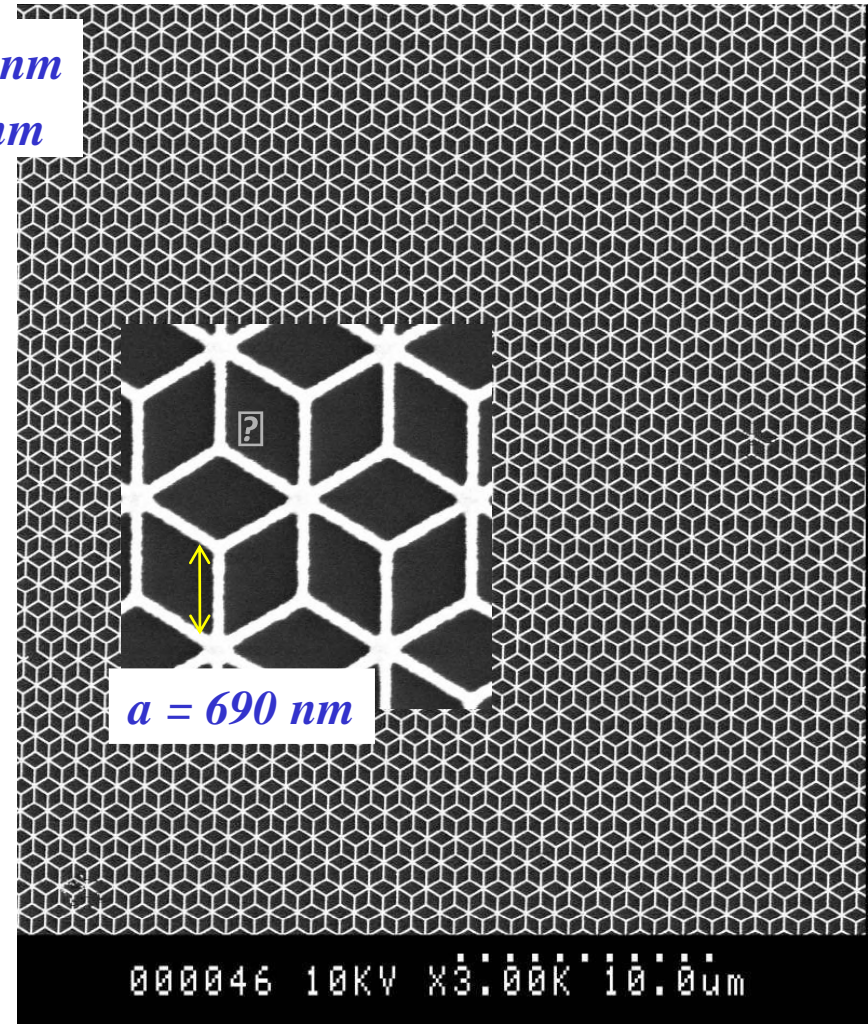
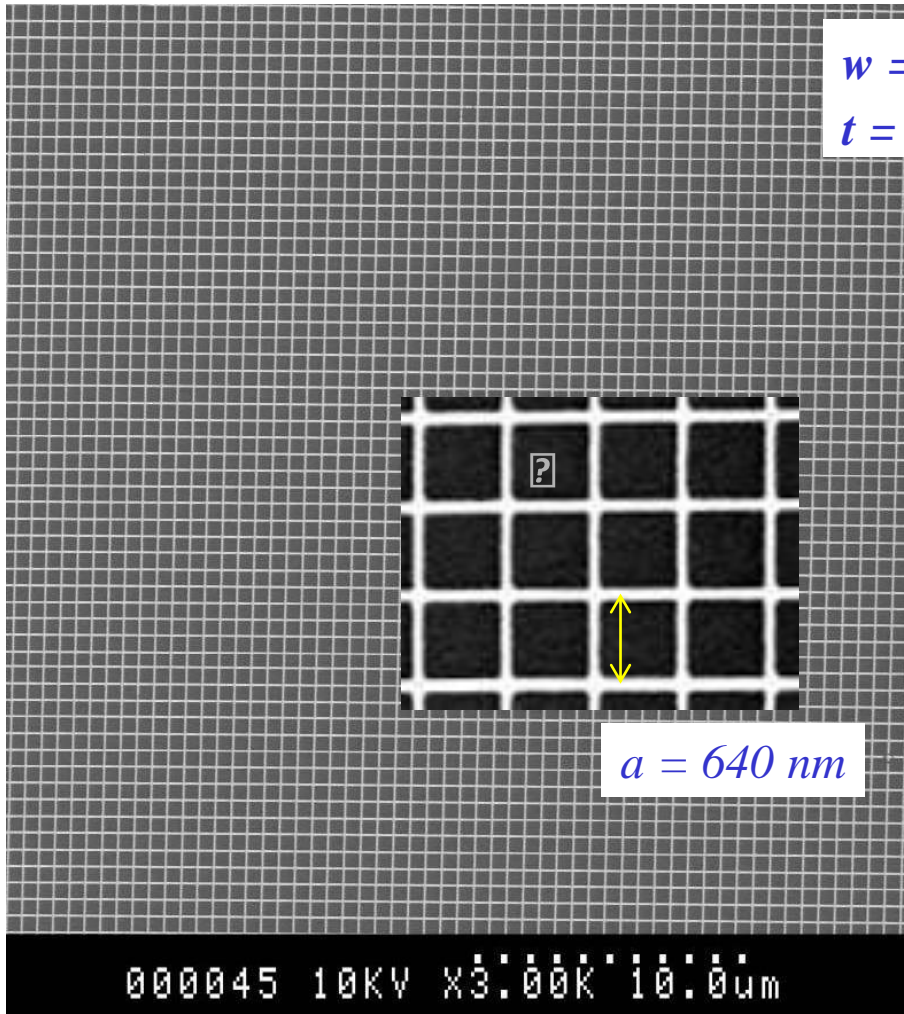


Correction up to 50 $\mu$ m defocusing

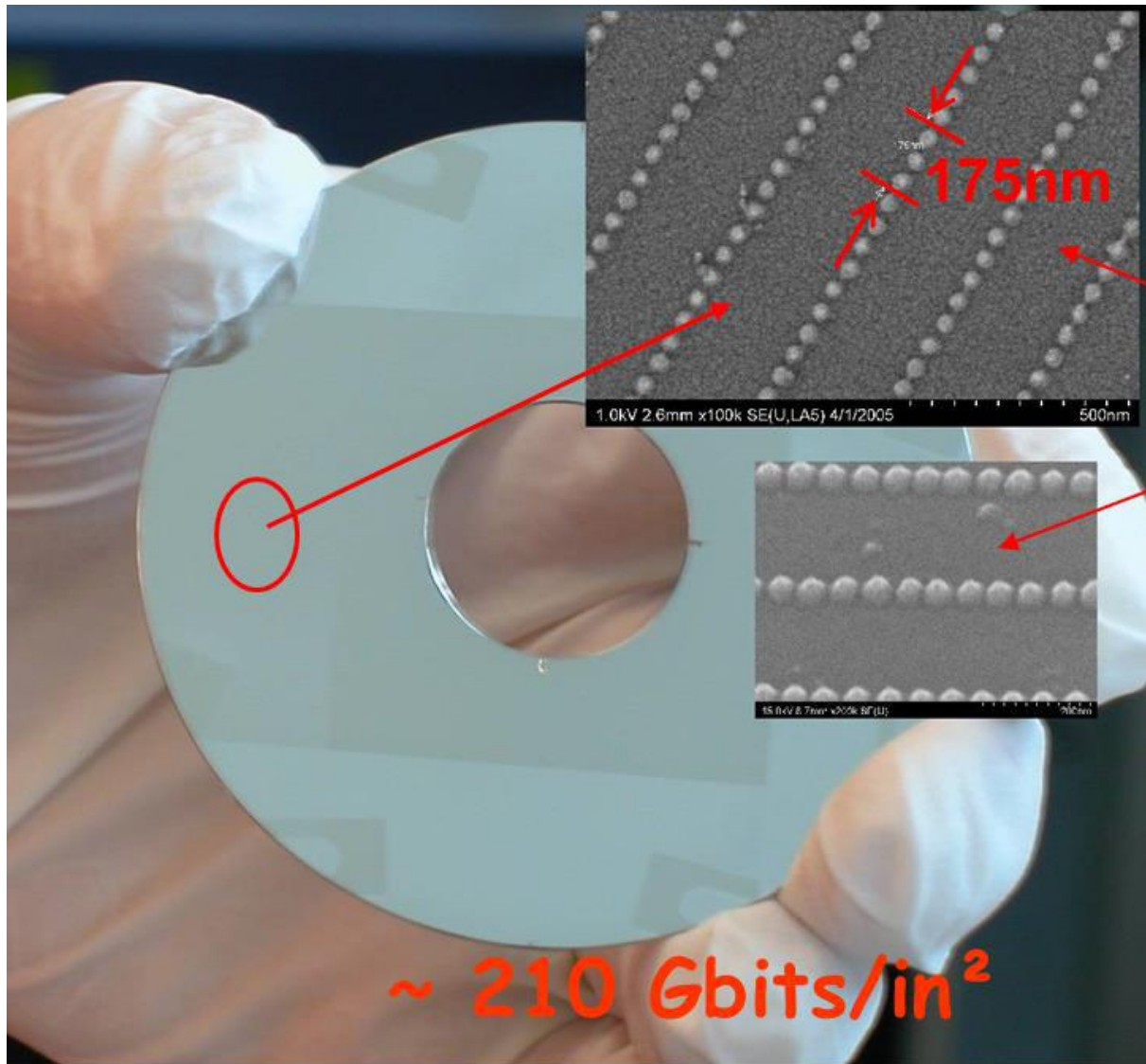
# Examples



# Examples: large area





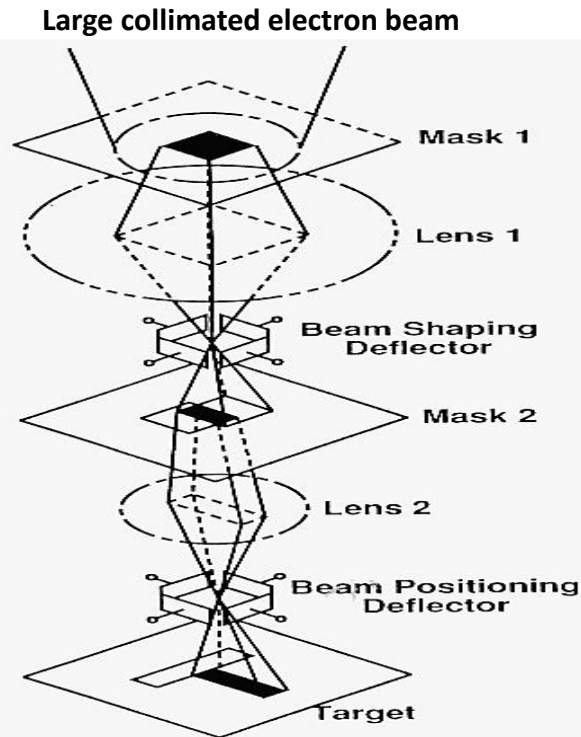


pitch ~ 60nm

~ 210 Gbits/in<sup>2</sup>

# Improving the throughput

## Variable shape beam



Simple geometrical shapes are produced through aperture system

(mask 1 et mask 2)

Example: Leica ZBA 31/32

Figure 71. Schematic of a compound-shape electron-beam system.

Resolution about 100nm  
Use for mask making

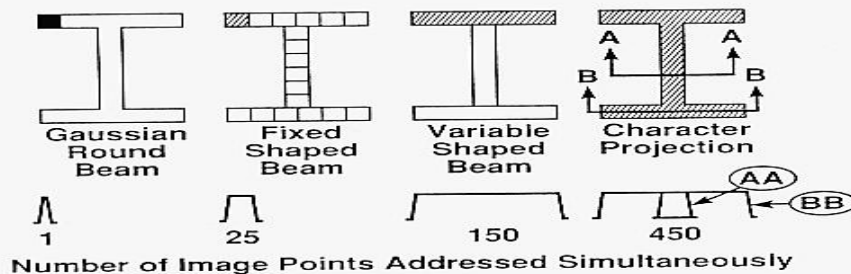
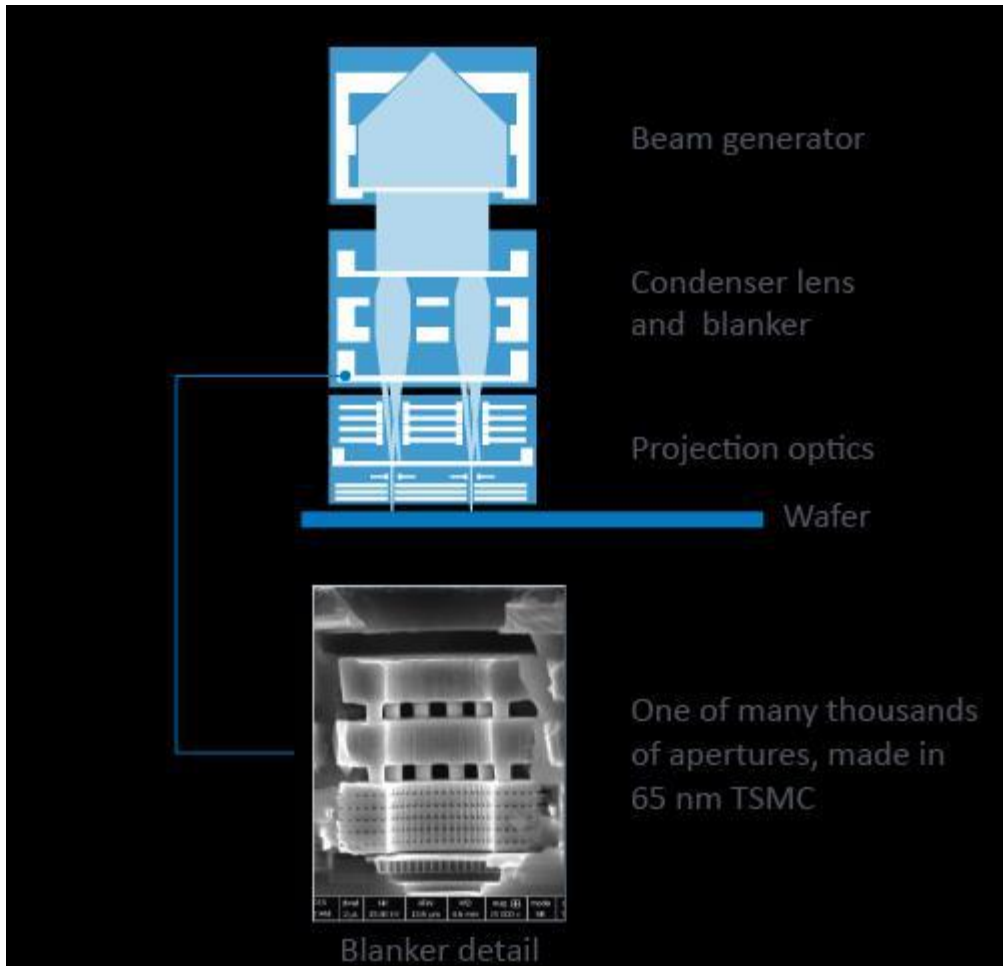


Figure 72. Comparison of pattern generation techniques and resultant profiles.

## Multi-beams technique : Mapper



A large (3cm) 5kV beam is produced

A module split this beam into 6500 beamlets with individual blanker (raster scan)

projection optics micro-lens array with thousands of electrostatic lenses

deflectors scan the beamlets over a 2  $\mu\text{m}$  range so the whole area can be covered

Spot size on the wafer 25nm

MEMS technology for the optics

Extension to 650000 beams for 40 wafers/h production

# Mapper results

